

# Pride ~ Progress ~ Possibilities

**Riverside Municipal Building  
5200 Springfield Street, Suite 100  
Riverside, Ohio 45431**

**April 21, 2022**

Council Meeting

**6:00 P.M.**

City Council

PETER J. WILLIAMS, MAYOR

MIKE DENNING  
APRIL FRANKLIN  
BRENDA FRY  
ZACHARY JOSEPH  
SARA LOMMATZSCH  
JESSE MAXFIELD

Josh Rauch, City Manager

Katie Lewallen, Clerk of Council

# Calendar for year 2022 (United States)



## January

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**Jan 6** • Council Meeting  
**Jan 13** • Work Session  
**Jan 20** • Council Meeting  
**Feb 3** • Council Meeting  
**Feb 10** • Work Session  
**Feb 17** • Council Meeting  
**Feb 21** • Offices Closed  
**Mar 3** • Council Meeting  
**Mar 10** • Work Session  
**Mar 17** • Council Meeting  
**Apr 7** • Council Meeting  
**Apr 14** • Work Session  
**Apr 21** • Council Meeting  
**May 5** • Council Meeting  
**May 12** • Work Session  
**May 19** • Council Meeting

**May 30** • Offices Closed  
**Jun 2** • Council Meeting  
**Jun 9** • Work Session  
**Jun 16** • Council Meeting  
**Jul 4** • Offices Closed  
**Jul 7** • Council Meeting  
**Jul 14** • Work Session  
**Jul 21** • Council Meeting  
**Aug 4** • Council Meeting  
**Aug 11** • Work Session  
**Aug 18** • Council Meeting  
**Sep 1** • Council Meeting  
**Sep 5** • Offices Closed  
**Sep 8** • Work Session  
**Sep 15** • Council Meeting  
**Oct 6** • Council Meeting

**Oct 13** • Work Session  
**Oct 20** • Council Meeting  
**Nov 3** • Council Meeting  
**Nov 8** • Election Day  
**Nov 10** • Work Session  
**Nov 11** • Offices Closed  
**Nov 17** • Council Meeting  
**Nov 24** • Offices Closed  
**Nov 25** • Offices Closed  
**Dec 1** • Council Meeting  
**Dec 8** • Work Session  
**Dec 15** • Council Meeting  
**Dec 23** • Offices Closed  
**Dec 26** • Offices Closed

# AGENDA

Please place all cell phones in silent mode before the meeting begins.

## **RIVERSIDE CITY COUNCIL**

**Riverside Administrative Offices  
5200 Springfield Street, Suite 100  
Riverside, Ohio 45431**

**Thursday, April 21, 2022  
Business Meeting 6:00 P.M.**

- 1) CALL TO ORDER
- 2) ROLL CALL
- 3) EXCUSE ABSENT MEMBERS
- 4) ADDITIONS OR CORRECTIONS TO AGENDA
- 5) APPROVAL OF AGENDA
- 6) PLEDGE OF ALLEGIANCE/MOMENT OF SILENCE
- 7) PROCLAMATION – AUTISM ACCEPTANCE MONTH
- 8) MINUTES – Approval of minutes from the April 7, 2022, council meeting.
- 9) FINANCIAL REPORT
- 10) ACCEPTANCE OF CITIZEN PETITIONS
- 11) DEPARTMENT UPDATES:
  - A) Police Department
  - B) Fire Department
  - C) Public Services Department
  - D) City Manager Report
- 12) PUBLIC COMMENT ON AGENDA ITEMS
- 13) OLD BUSINESS
  - A) ORDINANCES
    - I) **Ordinance No. 22-O-792** – An ordinance by the council of the City of Riverside, Ohio approving a change in the district boundaries as shown on the zoning map of the City of Riverside, Ohio for the property located at 4555 Northern Circle, Parcel ID N. I39 00802 0033 from R-1 Low-Density Single-

*If you need special accommodations to attend this meeting,  
please notify the City of Riverside at least 72 hours in advance by calling 937.233.1801.*

Family Residential District to R-3, Medium-Density Residential District. (2<sup>nd</sup> reading, public hearing, adoption)

14) NEW BUSINESS

A) ORDINANCES

- I) **Ordinance No. 22-O-793** – An ordinance providing for the adoption of post-issuance compliance policies and procedures for tax exempt obligations and continuing disclosure obligations. (1<sup>st</sup> reading)

B) RESOLUTIONS

- I) **Resolution No. 22-R-2760** – LPA agreement with ODOT for Spinning Road Phase 2 improvements.
- II) **Resolution No. 22-R-2761** – A resolution amending Resolution No. 21-R-2667 for the purchase of one 2022 Freightliner Truck Chassis through Stoops Freightliner purchased through the Ohio Cooperative Bid Program and (1) Henderson Single Axle Build package be purchased through Henderson Truck and Equipment for use by the service department.
- III) **Resolution No. 22-R-2762** – A resolution by the Council of the City of Riverside, Ohio authorizing the city manager to enter into a contract for the purchase of Code Red Emergency Notification Services.
- IV) **Resolution No. 22-R-2763** – A resolution by the Council of the City of Riverside, Ohio authorizing the city manager to enter into a lease renewal agreement with Goodrich Corporation/Collins Aerospace.
- V) **Resolution No. 22-R-2764** – A resolution authorizing the city manager to enter into a contract for the purchase of in-car and body worn cameras for use by the police department.
- VI) **Resolution No. 22-R-2765** – A resolution authorizing the city manager to enter into a contract for the purchase of financial software from Software Solutions, Inc.

15) PUBLIC COMMENT ON NON-AGENDA ITEMS

16) COUNCIL MEMBER COMMENTS

17) EXECUTIVE SESSION – PERSONNEL

- A) 103.01 (1) - Unless the City employee or official requests a public hearing; to consider the appointment, employment, dismissal, discipline, promotion, demotion or compensation of a city employee or official or the investigation of charges or complaints against a City employee or official.

18) RECONVENE

19) ADJOURNMENT

PROCLAMATION

*City of Riverside, Ohio*  
*A Proclamation Recognizing*

# *National Autism Acceptance Month*

**Whereas**, the prevalence of autism in the United States is 1 in 54 children, and both children and adults with autism share a vision for a good life with over 11 million residents in the state of Ohio; and

**Whereas**, children and adults with autism are family members, friends, neighbors, and coworkers to all Ohioans; and

**Whereas**, children and adults with autism, families, professionals, and community members are supported through connections and sharing of resources; and

**Whereas**, the resilience of people with autism and their families is strengthened through acceptance and support; and

**Whereas**, Ohio honors self-advocates, family members, professionals, and all who work every day to create more opportunities for those with autism; and

**Whereas**, positive outcomes and acceptance for people with autism increases when training is provided to professionals across all systems, including those in education, justice, healthcare, and emergency response settings; and

**Whereas**, Ohio prioritizes, enacts, and implements policies to break down the barriers to access for people with autism living in their chosen communities; and

**Whereas**, the Interagency Work Group on Autism was convened in January 2008 and continues to meet to improve the coordination of Ohio's efforts to address the service needs of children and adults with autism and their families; and

**Whereas**, the purpose of Autism Acceptance Month in Ohio is to spread awareness, foster acceptance, and identify the public policy improvements needed for people with autism to live fully across all areas of life.

**Now, Therefore**, I, Peter J. Williams, Mayor of the City of Riverside, Ohio and the Council of the City of Riverside, Ohio do hereby declare April 2022 as National Autism Acceptance Month and recognize the value and contributions people with autism have made to society and encourage our citizens to join us in this special observance.

Signed under my hand and seal this 21<sup>st</sup> day of April 2022.

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**Peter J. Williams, MAYOR**

MINUTES

**CALL TO ORDER:** Mayor Williams called the Riverside, Ohio City Council Meeting to order at 6:00 p.m. at the Riverside Administrative Offices located at 5200 Springfield Street, Suite 100, Riverside, Ohio, 45431.

**ROLL CALL:** Council attendance was as follows: Mr. Denning, absent; Mrs. Franklin, present; Ms. Fry, present; Mr. Joseph, present; Ms. Lommatzsch, present; Mr. Maxfield, present; and Mayor Williams, present.

Staff present was as follows: Josh Rauch, City Manager; Chris Lohr, Assistant City Manager; Tom Garrett, Finance Director; Frank Robinson, Police Chief; Kathy Bartlett, Public Service Director; Nia Holt, Zoning Administrator; Jim Miller, Associate Law Director; and Katie Lewallen, Clerk of Council.

**EXCUSE ABSENT MEMBERS:** Ms. Lommatzsch moved, seconded by Mr. Joseph, to excuse Deputy Mayor Denning. All were in favor. **Motion carried.**

**ADDITIONS OR CORRECTIONS TO AGENDA:** There were no additions/corrections.

**APPROVAL OF AGENDA:** Mr. Joseph moved, seconded by Mrs. Franklin, to approve the agenda. All were in favor. **Motion carried.**

**PLEDGE OF ALLEGIANCE/MOMENT OF SILENCE:** Mayor Williams led the pledge of allegiance. He asked for a moment of silence for the people of Ukraine.

**PROCLAMATION:** Mayor Williams presented a proclamation to Youseff Elzein recognizing April 2022 as National Arab-American Month. Mr. Elzein spoke a few words stating he has been in the community since 2001 with his kids graduating from Stebbins High School. He stated education is one of his core values. He spoke of other Arab-Americans who want businesses in Riverside and to work with the community.

**BOARDS, COMMISSIONS, AND COMMITTEE APPOINTMENTS:** Mr. Christopher Broach, 13 Halsey Drive, applied for the Budget Committee and provided his background to council indicating he is an Air Force veteran and is now a student at Wright State University and he wants to be involved in the community. He added that the city manager has filled him in on the budget committee thus far. Ms. Lommatzsch moved, seconded by Mr. Maxfield, to appoint Mr. Broach to the Budget Committee. All were in favor. **Motion carried.**

Mr. Troy Ward, 4331 Silverberry Avenue, applied for the Parks and Recreation Commission and provided his background indicating he was a graduate of Stebbins High School and is finishing his second degree at the Ohio State University. He had previously worked for the City of Kettering as a landscaper. He would like to serve his own community. Ms. Fry asked what he will bring to the parks and rec committee. Mr. Ward stated once he learns the needs, he can provide a better answer, but he thought maybe previously working with Kettering there may be some joint efforts there. He also stated with his degree in economics and econometrics he would want to see how investing in the parks can better the city and bring

more growth. Mr. Maxfield moved, seconded by Mrs. Franklin, to appoint Mr. Ward to the Parks and Recreation Commission. All were in favor. **Motion carried.**

**MINUTES:** Mrs. Franklin moved, seconded by Mr. Maxfield, to approve the minutes of the March 3, 2022 council meeting, and the March 24, 2022 work session. All were in favor. **Motion carried.**

**FINANCIAL REPORT:** Mr. Garrett indicated the report in the packet is from February and March just closed yesterday. He stated through March for the quarter the net revenue excluding note proceeds and interfund transfers is about \$4.7 million. Mr. Rauch presented a graph of the first quarter income tax revenues. This is 'year zero' for the new income tax of 2.5%. He stated they have done better than previous years, but not where they thought they would be for this budget. They are still experiencing the changes of the impact with the new income tax. They will have a better idea in July of trends with an update of the chart. He stated this will be plugged into a budget book indicating where they have been, where they are now, and where they would like to go. Ms. Lommatzsch asked when they would receive their first quarter income tax bills from CCA. Mr. Garrett replied that they pay the first quarter on their own initiative when they file their income tax return. Mrs. Franklin moved, seconded by Mr. Joseph, to approve the February financial report. All were in favor. **Motion carried.**

**LIQUOR LICENSE:** Mr. Rauch stated that the liquor license process is operated by the state of Ohio. For every liquor license that comes before council, a background check is done and provided to council. On the application for Bleachers Sports Bar & Grill, some concerns came up for the owner Mr. Todd Anthony Smith. Chief Robinson stated they found the applicant had several different violations and have been arrested for some of those violations. The one that sticks out is that he has been cited already for a permit violation for providing or furnishing beer. He recommends the city have a hearing with regard to this. Mr. Rauch stated these things have come up as part of the city's due diligence process for looking at liquor license permits. It is the jurisdiction of liquor control to issue the license, but they need to ask for a hearing to get to that point and request a hearing. Chief Robinson added that they have already been to the location recently and there is no permit currently. There were open containers on the bar and they have filed this with the state of Ohio Division of Liquor Control.

Ms. Fry moved, seconded by Ms. Lommatzsch, to request a hearing in the county seat prior to any issuance of a liquor permit. All were in favor. **Motion carried.**

**WRITTEN CITIZEN PETITIONS:** Mayor Williams stated any citizen wishing to speak should fill out a petition and turn it in to the clerk. Some forms have already been turned in.

**DEPARTMENT UPDATES:**

**A) Finance Department** – Mr. Garrett stated they have participated in two rounds of demonstrations for software. They are now reviewing what they learned in the demos and will come back later with recommendations with which firm they want to go with. Mr. Rauch

stated they are getting references for the vendors and will try to get this to them by the first meeting in May.

**B) Administration Department** – Mr. Lohr stated they have had seven applicants for the finance administrator. Five candidates were fully qualified for the position, unfortunately, three of them took positions at other places so they will be interviewing two candidates. Both are very qualified. He added they are updating the public records retention schedules, so they can incorporate the way they are using electronic records.

**C) City Manager Report** – Mr. Rauch stated they initially scheduled a work session next week to cover fireworks, noise, and other nuisance enforcement issues. He made a detailed request to Huber Heights, which does all the dispatch for Riverside, and they are having a difficult time with custom reports and are not able to fulfill his request rapidly. Because of that and with next Thursday being Holy Thursday, he thought to push the work session to the fourth Thursday of the month or until May. Secondly, he stated there will be a special meeting on Saturday, April 30, a council orientation/Riverside 101. They will have a presentation first then a tour of the building, down to 1791 with public service, police and fire, and the pole barn. The meeting will begin at 8:00 am ending around 11:00 am or noon.

**PUBLIC COMMENT ON AGENDA ITEMS:** Mayor Williams stated he will call people up to the podium in the order he received the forms. Speakers should state their name and address and keep their comments to three minutes.

Mr. Frank Smith, 4703 Byesville Blvd., stated he is tired of fighting Jerry Salmon's needing to spray his property as he continually produces a lot of dust. He stated he has been fighting this for 13 years, and the city has provided no help. He added that the city has something in place to make Jerry spray his property and they still get on his grass. He has put stakes up and stated they are staying up. He stated Salmon's hasn't fixed the right-of-way where he tore it up before. Trucks continue to drive on his grass as recently as last night. He spent \$16,000 for new siding and it is covered with dust from Salmon's. He stated the city gave him an illegal permit to be there and they shouldn't have. He stated he can't get help from anyone else and somebody needs to do something. He complained that the city does not come down to clean the street and it is dirty. He stated that just because it rains it doesn't mean the dust isn't there. He stated Salmon's has not sprayed since last June.

Mr. Dale Wilsdon, 4586 Northern Circle, expressed his concern for the 84 homes to be built north of his street. There are continual flooding issues throughout the neighborhood that need resolved. He stated additional homes would add to the problem. He discussed the issues with the creek being at its peak and continuous runoffs from the east side of the creek. He presented pictures to council and hopes they have looked at them. He commented on the rate of increase of the creek during rain at various times. He stated he and neighbors do not want the area to be rezoned from an R-1 to an R-3. He feels Dayton has already done them wrong by approving the project on their side. He doesn't want Riverside to follow Dayton. There are other purposes that land could be used for such as a botanical garden. He thanked Mr. Rauch and Ms. Holt for visiting their neighborhood.

Mr. Roy Owens, 4618 Northern Circle, stated he has already contacted the city of Dayton for information. He worked for the government for 44 years, 26 years active duty and the rest as a civil servant. Part of his job has been to do analytical reviews. He stated the city of Dayton is punting everything to Riverside with regards to the drainage situation, environmental issues, and public safety. He stated that Riverside runs a deficit and has for 20 years; they are always behind and have levies. They just raised the income tax 60% to support EMS/Fire and police. Now, they are adding 230 homes, and while some are in Dayton, that portion will be in the Mad River School district and then there will be mutual aid as he believes Riverside is the closest fire station. He asked for a public safety study and was told it was not required. He asked for an environmental study and from what he read someone walked through the field and pulled the history and said there hasn't been toxic waste there, so they are good to go. He discussed what would happen in post development with regard to water, ground pollution, and lawn fertilizers that will be used on the properties. He asked what would happen to his well water if it becomes unusable. Everyone back in that neighborhood is in the same situation. He stated they are just asking the city to delay this until they figure out what is going happen. He would like to know the volume of water coming off that real estate. His training required him to figure out how much square footage of real estate, how much rain per hour, and how much goes into the drains. He stated it would be the same thing with the schools and that is their burden. He doesn't know how many kids that will add. He is asking them to delay the project.

Mr. Lance Oakes, 8534 Yankee Street, stated he is the applicant for the project near Northern Circle. He stated the site in Dayton that was approved was 45 acres. It was zoned industrial and there could have been a much more burdensome user that could have gone in rather than a housing development. All he is trying to do is carry the same theme to Riverside. He stated that he may not be able to solve all the problems or concerns, but they will engineer and make sure they are doing everything on their property to make it better. They have done all the required studies and he will share with anyone who would like the information. He stated they as the developer will not be using fertilizer or chemicals as that is up to the homeowner. They will not use anything that contaminates the ground water. A traffic study on Needmore is in process but not complete. He thinks the project will be successful. It is a product the Riverside housing market does not have today. He added he is working with Huber Heights to sell them the 9 acres on the northeast side of the property, so it would reduce the density to around 71 lots.

Richard Wacht, 4640 Northern Circle, stated he lives a little further than the 300' range to where everyone was notified. He stated this does concern him as all the properties around there now have acre size lots. If they were to apply the medium density to their properties that would mean he would have a house in his front yard and a house in his backyard. He moved out there to have a little bit of room. He asked where they are looking for additional access to this development or will all traffic come in through Needmore. This is additional traffic that will come through the area and he doesn't know how that will affect them.

Mayor Williams stated they are not considering a site plan for a housing subdivision this evening. They are only considering a change in the zoning of a private property from an R-1 residential to an R-3 residential. They are not discussing what might go where.

Mr. Joe Cornor, 4669 Gary Drive, asked the mayor to further talk about what he just said. Mayor Williams stated they do not have the ability to comment tonight on what may or may not happen on a piece of private property currently zoned residential that has been recommended by the planning commission. He is happy to take any comments; they aren't answering any questions because they can't comment on a site plan that currently does not exist. Mr. Cornor stated he thinks Riverside has their hands full handling the property in the area at this time. He looks at Needmore Road and it is one of the worst roads in the area. He has to sit on Gary Drive 5-10 minutes just to get out. The traffic issues to allow any access to Northern Circle or Gary Drive would be a catastrophe. He added they don't have sidewalks, drainage, city water or city sewer. To populate the area behind them with that access to their area, people would be walking up and down the streets causing problems with residents as far as being in the middle of the street. They are somewhat rural where they live. It will affect all of them in the area. He stated it would great to understand the traffic study and the impacts on the road. To turn all the access to Needmore Road is a big consideration. They need to provide safety for the community and the Riverside people.

Mr. Stan Grizzell, 4696 Gary Drive, stated his area is a common interest shared community. The proposed development lacks continuity and is disproportionate to the existing neighborhood. He shares the concerns of his neighbors and the traffic adding to the volume is absurd. There is one access point as Huber Heights has shut it down. Huber Heights residents went to their council and they listened to them. They denied all access and to his knowledge it is a done deal. It will all get dumped out on Needmore. That is a safety concern; there are numerous fatalities there. His neighborhood is completely self-sufficient. They have no city water, no sewer, no storm drains, no street lights, no sidewalks, and no fire hydrants. If his house was in the Riverside portion of Forest Ridge, he would have all those amenities. He pays the taxes and receives none of that. His neighborhood was laid out and zoned as it was for a reason, for the continuity; they need to keep it that way. He discussed accidents in the area that are common. He asked they not remove the only benefit to living there - peace and serenity.

Mr. Todd A. Smith, 4245 Old Riverside Drive, introduced himself as a business owner to the city of Riverside. He is a Dayton native with a business in Riverside. He is an army veteran and has an associate degree in business management. He is the owner of Bleachers Sports Bar & Grill. His business is for family and friends to have food, fun, and a great time. He stated his background has caused him some issues. He has already had patrons who like the restaurant and stated it will not thrive if it does not have a liquor license. He stated it will bring jobs to Riverside; he will employ up to 12 employees. It will bring people to the restaurant to spend money there and at other establishments in Riverside. He just wanted to introduce himself to put a face with his name and place.

## **NEW BUSINESS**

### **A. ORDINANCES**

- I) Ordinance No. 22-O-792 – An ordinance by the council of the City of Riverside, Ohio approving a change in the district boundaries as shown**

**on the zoning map of the City of Riverside, Ohio for the property located at 4555 Northern Circle, Parcel ID N. 139 00802 0033 from R-1 Low-Density Single-Family Residential District to R-3, Medium-Density Residential District. (1<sup>st</sup> reading)**

Mrs. Franklin moved, seconded by Ms. Lommatzsch, to approve the first reading of Ordinance No. 22-O-792. The clerk read the ordinance by title only.

Mr. Joseph asked about an access point for emergency services and by changing it from an R-1 to an R-3 there will be more traffic and it is not going to be accessible from Northern Circle. Mr. Rauch stated what he can say in reference to earlier discussions is that this only is on the rezoning. Ideas and suggestions from the city and from the developer along with concerns from residents assuming this moves forward will get finalized and formed into a subdivision plan. That all will come after the zoning process. With what he is bringing up, that is something that would have an internal review as part of the subdivision plan process. Public safety will need to review assuming this goes forward. As the plan goes forward it would be subject to a number of changes depending on the final layout, how many units, and other factors. Mr. Joseph asked about the part of the parcel being sold to Huber Heights. Mr. Oakes stated that there is not going to be any access in or off of Northern Circle. Regarding the nine acres on the other side of the stream adjacent to Thomas Cloud Park, he is working with Huber Heights not knowing if they will fulfill their end of the agreement, but wants it included in the rezoning and he may bring that back at a later date. He wants to make sure it is a win-win for all three parties.

Roll call went as follows: Mrs. Franklin, yes; Ms. Lommatzsch, yes; Ms. Fry, yes; Mr. Joseph, yes; Mr. Maxfield, no; and Mayor Williams, yes. **Motion carried.**

## **B. RESOLUTIONS**

**I) Resolution No. 22-R-2754 – A resolution authorizing the city manager to enter into a contract with Fillmore Construction LLC as the lowest and best bidder for the 2022 Paving Program.**

Mr. Rauch stated this legislation authorizing repaving of Eastman, Lynnhaven, and Meyer.

Mrs. Franklin moved, seconded by Mr. Joseph, to approve Resolution No. 22-R-2754. All were in favor. **Motion carried.**

**II) Resolution No. 22-R-2755 – A resolution authorizing the city manager to enter into a contract with J&A Construction, Inc. for the 2022 Crack Sealing Program.**

Mr. Rauch stated this resolution is to perform crack sealing. They will use monies allocated to the Airway intersection project that they did not move forward with. The crack sealing will help to extend the life of the streets.

Mr. Joseph moved, seconded by Mrs. Franklin, to approve Resolution No. 22-R-2755. All were in favor. **Motion carried.**

**III) Resolution No. 22-R-2756 – A resolution by the Riverside City Council authorizing the assessment of delinquent charges for the cutting and removal of weeds, vegetation and/or grass to be placed on the Montgomery County Property Tax Duplicate.**

Mr. Rauch stated this allows them to assess back delinquent charges for nuisance abatement on property parcels that have not paid and to recover the city's costs.

Mrs. Franklin moved, seconded by Ms. Fry, to approve Resolution No. 22-R-2756. All were in favor. **Motion carried.**

**IV) Resolution No. 22-R-2757 – A resolution withdrawing the City's objection to Liquor Permit Number 0765030 held by Blue Nile Investment Group, LLC.**

Mr. Rauch stated this resolution corrects an objection to two liquor permits associated with Blue Nile aka Oddbody's. One of the permits is being transferred to a legal entity in Cincinnati that has no connection to Oddbody's. This will lift the objection, so the business can proceed in Cincinnati. The remaining objection remains in place and they will see that process through.

Mrs. Franklin moved, seconded by Mr. Joseph, to approve Resolution No. 22-R-2757. All were in favor. **Motion carried.**

**PUBLIC COMMENT ON NON-AGENDA ITEMS:** Mayor Williams stated two people have requested to speak. He asked Ms. Freda Patterson to come to the podium and state her name and address and keep comments to three minutes.

Ms. Freda Patterson, 335 Marjorie Avenue, stated she has spoken to the city manager about securing the Rohrer Park building for use by Project Riverside. They wish to use it as a meeting place and storage for things related to Project Riverside, no personal storage, for this year. Eventually, they would like to use the concession. She stated Dan Ryan is a part of Project Riverside and has agreed to take care of any light maintenance issues at their cost. The only cost to the city would be major maintenance like electrical. They discussed an actual legal contract to rent from the city the first year period and would pay upfront. She wanted to see if they had any objections. Secondly, the first 2022 event is going to be the festival, and they plan to have a car show. She was seeking judges for the car show event. There will be a simple for they will prepare. They will also have a 'Mayor's Pick' as one of the winners as well. Mayor Williams stated any council member who has questions about the Rohrer building rental should contact the city manager. Mr. Rauch stated he just needs to know if anyone has any objections. Project Riverside did receive a 501(c)3 status from the state, so they can easily go into an agreement and have the legal team look at that. If

there is no opposition, he will proceed and bring before council once it is ready. Ms. Patterson stated they have basic liability insurance as Project Riverside.

Ms. Francesca Hary, 913 Twin Oaks Drive, provided an update on the Burkhardt branch library that serves the citizens of Riverside. They have moved over to the new building, 4704 Burkhardt Road. They are learning about a “smart building” right now. They went from a good building serving the community to a very opulent and necessary building for the community. They are currently closed, but the book drop is open. They will have a soft opening on April 25, 2022, but they do not have a supporting parking lot. So, if they would like to come they need to park on the street. There will be a bigger grand opening in August. Mayor Williams stated that the new branch will be open from April 25 on, but will need to park on the street.

**COUNCIL MEMBER COMMENTS:** Mr. Maxfield stated the Riverside Amateur Baseball Association is having their annual fish fry this Saturday. They are trying to fundraise to build backstops for the baseball and softball diamonds in the community. It is \$15 pre-sale at the fields from 6:00 – 7:00 pm today and tomorrow or \$20 at the door. It will be all you can eat fish, hot dogs, fries, adult beverage or pop at the union hall close to Fricker’s on Woodman. There will also be lots of great raffle baskets and stuff to play.

Mrs. Franklin wished everyone a happy Easter and to enjoy this time with your family. She thanked Ms. Patterson for bringing good things to the community.

Mr. Joseph thanked Ms. Patterson for what she and Dan are doing in Riverside and bringing community engagement. He stated it was exciting to see the city recognize Arab-American heritage month. He added the weather is going to get nicer and it is important to get outside if you can for 30 minutes a day and be active. He lost his dad to a sudden heart attack at 60 years old, so any age should get out and walk and be active.

Mayor Williams thanked a few people at Spinning Hills who invited him to speak to a class. The teacher, Mr. Dodson, stated the students wanted to know how to become a leader. He stated the marker at Stebbins says, ‘Enter to Learn; Go forth to Serve’, not to lead but to serve. He was able to share that leadership is service and service is leadership. He heard it from one of the applicants who applied for a board/commission seat that young people starting their careers and trying to figure out how they can serve. He appreciates being asked to speak to the classroom. He added he was glad to see staff from the Burkhardt library. He shared how he would hang out at that library after school and that was how he met kids from other schools. He is excited for the new branch. He told how he has great memories of his brother Matt and they were only able to go to the library after school if Matt was with him and his brothers. He is thankful for the library that serves the residents of Riverside.

Ms. Lommatzsch stated she was at MVRPC this morning and a lot of information is online. She commented on the speed on Woodman/Harshman Road and they need to do something about it. She stated she spoke to two neighboring communities where she knows not to speed because they give tickets. She is aware they don’t have a lot of staff, but the speed on that road is a real issue. She asked about getting the speed signs that are stationary and solar

powered and see if there is a grant for those. She stated that in the neighboring communities most of the people getting tickets don't live there. They are making money on those tickets. The traffic on Harshman and Woodman are for people going and coming from work and they are letting them go 70 mph. She stated the same problem is on Burkhardt and they need to do something about it. She stated she was glad to hear about the library.

Ms. Fry thanked Mayor Williams on his words on service and leadership. She was happy to see many community leaders in council this evening. She is excited to see new up and coming leaders join the boards and commissions. She shared that there is also an opportunity to help by donating blood on Monday, April 18, 2022. Anyone eligible to donate can sign up on [www.donortime.com](http://www.donortime.com) and look for Riverside to sign up. This will be the seventh blood drive they have conducted for the city. It is a much-needed resource.

**EXECUTIVE SESSION:** Mr. Maxfield moved, seconded by Mrs. Franklin, to go into executive session for matters related to trade secrets. Roll call went as follows: Mr. Maxfield, yes; Mrs. Franklin, yes; Ms. Fry, yes; Mr. Joseph, yes; Ms. Lommatzsch, yes; and Mayor Williams, yes. **Motion carried.** Council went into executive session at 7:29 pm.

**RECONVENE:** Council reconvened at 7:46 pm.

**LEGISLATION:** Lease arrangements at the Wright Point Building

**I) Resolution No. 22-R-2758 – A resolution by the Council of the City of Riverside, Ohio authorizing the city manager to enter into a lease with American Data Solutions, Inc.**

Mr. Maxfield moved, seconded by Mr. Joseph, to approve Resolution No. 22-R-2758. All were in favor. **Motion carried.**

**II) Resolution No. 22-R-2759 - A resolution by the Council of the City of Riverside, Ohio authorizing the city manager to enter into a lease with CDO Technologies, Inc.**

Mrs. Franklin moved, seconded by Mr. Maxfield, to approve Resolution No. 22-R-2759. All were in favor. **Motion carried.**

**ADJOURNMENT:** Mr. Joseph moved, seconded by Mr. Maxfield, to adjourn. All were in favor. The meeting adjourned at 7:47 pm.

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Peter J. Williams, Mayor

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Clerk of Council

# FINANCIAL REPORT

| Fund Description.....              | Beg Mo Bal..... | Mtd Receipts.. | Mtd Expenses.. | Unexp bal..... | Encumbrances.. | Unenc bal..... |
|------------------------------------|-----------------|----------------|----------------|----------------|----------------|----------------|
| 100 GENERAL FUND                   | 2,286,190.21    | 323,266.51     | 378,327.20     | 2,231,129.52   | 261,265.95     | 1,969,863.57   |
| 201 FIRE FUND                      | 954,711.23      | 291,259.00     | 263,905.38     | 982,064.85     | 56,444.16      | 925,620.69     |
| 202 STREET FUND                    | 503,019.41      | 38,151.29      | 164,954.91     | 376,215.79     | 267,125.67     | 109,090.12     |
| 203 POLICE FUND                    | 439,040.29      | 119,200.04     | 406,340.56     | 151,899.77     | 64,705.04      | 87,194.73      |
| 205 STATE HIGHWAY FUND             | 324,161.37      | 8,484.37       | 3,612.76       | 329,032.98     | 19,176.16      | 309,856.82     |
| 209 PERMISSIVE TAX FUND            | 507,955.18      | 21,550.00      | 6,540.00       | 522,965.18     | 487,686.55     | 35,278.63      |
| 210 OPWC UNION SCHOOLHOUSE RD      | 35,400.72       | 0.00           | 0.00           | 35,400.72      | 0.00           | 35,400.72      |
| 211 FEWA GRANT FUND                | 0.00            | 1,104.24       | 0.00           | 1,104.24       | 0.00           | 1,104.24       |
| 212 GENERAL ASSESSMENTS            | 242,563.13      | 0.00           | 9,400.00       | 233,163.13     | 9,400.00       | 223,763.13     |
| 213 OPWC VALLEY PIKE FUND          | 371,221.51      | 0.00           | 0.00           | 371,221.51     | 348,837.30     | 22,384.21      |
| 214 OPWC NEEDMORE RD FUND          | 514,299.00      | 0.00           | 0.00           | 514,299.00     | 514,286.15     | 12.85          |
| 215 COUNTY GRANT FUND              | 40,176.70       | 0.00           | 0.00           | 40,176.70      | 0.00           | 40,176.70      |
| 216 BRANTWOOD II SUBDIVISION FUND  | 0.00            | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           |
| 217 FEDERAL GRANTS                 | 0.00            | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           |
| 218 BRANTWOOD SUBDIVISION FUND     | 0.00            | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           |
| 219 DRUG LAW FUND                  | 3,790.50        | 30.00          | 0.00           | 3,820.50       | 0.00           | 3,820.50       |
| 220 DUI ENFORCEMENT FUND           | 326.00          | 0.00           | 0.00           | 326.00         | 0.00           | 326.00         |
| 223 CDBG PROJECTS                  | 58,079.50       | 0.00           | 0.00           | 58,079.50      | 0.00           | 58,079.50      |
| 224 NATURE WORKS GRANT FUND        | 0.00            | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           |
| 225 N.E.P. GRANT FUND              | 0.00            | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           |
| 227 SCHOOL CONSTRUCTION            | 0.00            | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           |
| 228 VOCA/SVAA                      | 8,768.32        | 3,425.91       | 5,672.04       | 6,522.19       | 1,053.59       | 5,468.60       |
| 229 FEDERAL TRANSPORTATION GRANTS  | 20,231.38       | 0.00           | 0.00           | 20,231.38      | 11,600.00      | 8,631.38       |
| 231 STATE LAW ENFORCEMENT TRUST    | 4,176.83        | 0.00           | 0.00           | 4,176.83       | 0.00           | 4,176.83       |
| 232 FEDERAL EQUITABLE SHARING      | 624.60          | 0.00           | 0.00           | 624.60         | 0.00           | 624.60         |
| 233 CRIMINAL GANG LAW ENFORCEMENT  | 0.00            | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           |
| 236 LOCAL CORONAVIRUS RELIEF FUND  | 0.03            | 0.00           | 0.00           | 0.03           | 0.00           | 0.03           |
| 237 LOCAL FISCAL RECOVERY FUND     | 874,285.20      | 5,255.17       | 379,155.00     | 500,385.37     | 50,130.00      | 450,255.37     |
| 300 G.O. DEBT RETIREMENT           | 775,791.42      | 0.00           | 0.00           | 775,791.42     | 31,174.71      | 744,616.71     |
| 402 WRIGHT POINT FUND              | 547,547.73      | 5,113,357.56   | 5,216,992.87   | 443,912.42     | 287,078.32     | 156,834.10     |
| 404 EINTRACHT FUND                 | 6,465.27        | 0.00           | 0.00           | 6,465.27       | 750.00         | 5,715.27       |
| 405 DANIS LAND ACQUISITION         | 0.00            | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           |
| 406 CENTER OF FLIGHT               | 95,490.93       | 550.00         | 47.93          | 95,993.00      | 45,123.72      | 50,869.28      |
| 407 AIRWAY/WOODMAN IMPROVEMT FUND  | 244,835.65      | 0.00           | 0.00           | 244,835.65     | 44,585.46      | 200,250.19     |
| 408 POLICE VEHICLE & EQUIP REPLACE | 0.00            | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           |
| 409 GENERAL EQUIPMENT REPLACEMENT  | 0.00            | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           |
| 410 FIRE/EMS & POLICE INCOME TAX   | 2,404.02        | 330,405.00     | 330,445.80     | 2,363.22       | 285.97         | 2,077.25       |
| 600 CEMETERY FUND                  | 4,289.69        | 1,100.00       | 3,750.00       | 1,639.69       | 1,188.00       | 451.69         |
| 702 CAPITAL INFRASTRUCTURE FUND    | 1,159,746.44    | 1,967,218.32   | 113,863.27     | 3,013,101.49   | 566,731.58     | 2,446,369.91   |
| 703 CAPITAL EQUIP IMPROVEMENT FD   | 308,506.66      | 91,757.38      | 96,051.63      | 304,212.41     | 266,967.34     | 37,245.07      |
| 803 CONTINGENCY RESERVE FUND       | 331,284.79      | 0.00           | 0.00           | 331,284.79     | 0.00           | 331,284.79     |
| 804 INSURANCE DEPOSITS             | 53,435.86       | 0.00           | 0.00           | 53,435.86      | 41,435.86      | 12,000.00      |
| ***                                | 10,718,819.57   | 8,316,114.79   | 7,379,059.35   | 11,655,875.01  | 3,377,031.53   | 8,278,843.48   |

| Fund Description.....              | Beg Yr Bal.... | Ytd Receipts.. | Ytd Expenses.. | Unexp bal..... | Encumbrances.. | Unenc bal..... |
|------------------------------------|----------------|----------------|----------------|----------------|----------------|----------------|
| 100 GENERAL FUND                   | 2,877,532.61   | 1,137,533.18   | 1,783,936.27   | 2,231,129.52   | 261,265.95     | 1,969,863.57   |
| 201 FIRE FUND                      | 719,491.75     | 953,605.86     | 691,032.76     | 982,064.85     | 56,444.16      | 925,620.69     |
| 202 STREET FUND                    | 342,170.95     | 455,423.56     | 421,378.72     | 376,215.79     | 267,125.67     | 109,090.12     |
| 203 POLICE FUND                    | 65,343.64      | 1,186,142.76   | 1,099,586.63   | 151,899.77     | 64,705.04      | 87,194.73      |
| 205 STATE HIGHWAY FUND             | 311,680.42     | 25,859.93      | 8,507.37       | 329,032.98     | 19,176.16      | 309,856.82     |
| 209 PERMISSIVE TAX FUND            | 468,379.89     | 67,665.29      | 13,080.00      | 522,965.18     | 487,686.55     | 35,278.63      |
| 210 OPWC UNION SCHOOLHOUSE RD      | 35,400.72      | 0.00           | 0.00           | 35,400.72      | 0.00           | 35,400.72      |
| 211 FEWA GRANT FUND                | 0.00           | 1,104.24       | 0.00           | 1,104.24       | 0.00           | 1,104.24       |
| 212 GENERAL ASSESSMENTS            | 211,258.98     | 50,004.15      | 28,100.00      | 233,163.13     | 9,400.00       | 223,763.13     |
| 213 OPWC VALLEY PIKE FUND          | 371,221.51     | 0.00           | 0.00           | 371,221.51     | 348,837.30     | 22,384.21      |
| 214 OPWC NEEDMORE RD FUND          | 514,299.00     | 0.00           | 0.00           | 514,299.00     | 514,286.15     | 12.85          |
| 215 COUNTY GRANT FUND              | 40,176.70      | 0.00           | 0.00           | 40,176.70      | 0.00           | 40,176.70      |
| 216 BRANTWOOD II SUBDIVISION FUND  | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           |
| 217 FEDERAL GRANTS                 | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           |
| 218 BRANTWOOD SUBDIVISION FUND     | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           |
| 219 DRUG LAW FUND                  | 3,765.50       | 55.00          | 0.00           | 3,820.50       | 0.00           | 3,820.50       |
| 220 DUI ENFORCEMENT FUND           | 326.00         | 0.00           | 0.00           | 326.00         | 0.00           | 326.00         |
| 223 CDBG PROJECTS                  | 58,079.50      | 0.00           | 0.00           | 58,079.50      | 0.00           | 58,079.50      |
| 224 NATURE WORKS GRANT FUND        | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           |
| 225 N.E.P. GRANT FUND              | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           |
| 227 SCHOOL CONSTRUCTION            | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           |
| 228 VOCA/SVAA                      | 2,261.17       | 17,417.73      | 13,156.71      | 6,522.19       | 1,053.59       | 5,468.60       |
| 229 FEDERAL TRANSPORTATION GRANTS  | 20,231.38      | 0.00           | 0.00           | 20,231.38      | 11,600.00      | 8,631.38       |
| 231 STATE LAW ENFORCEMENT TRUST    | 4,176.83       | 0.00           | 0.00           | 4,176.83       | 0.00           | 4,176.83       |
| 232 FEDERAL EQUITABLE SHARING      | 624.60         | 0.00           | 0.00           | 624.60         | 0.00           | 624.60         |
| 233 CRIMINAL GANG LAW ENFORCEMENT  | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           |
| 236 LOCAL CORONAVIRUS RELIEF FUND  | 0.03           | 0.00           | 0.00           | 0.03           | 0.00           | 0.03           |
| 237 LOCAL FISCAL RECOVERY FUND     | 879,791.45     | 5,255.17       | 384,661.25     | 500,385.37     | 50,130.00      | 450,255.37     |
| 300 G.O. DEBT RETIREMENT           | 793,418.56     | 0.00           | 17,627.14      | 775,791.42     | 31,174.71      | 744,616.71     |
| 402 WRIGHT POINT FUND              | 599,827.88     | 5,152,559.52   | 5,308,474.98   | 443,912.42     | 287,078.32     | 156,834.10     |
| 404 EINTRACHT FUND                 | 6,465.27       | 0.00           | 0.00           | 6,465.27       | 750.00         | 5,715.27       |
| 405 DANIS LAND ACQUISITION         | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           |
| 406 CENTER OF FLIGHT               | 102,071.59     | 1,650.00       | 7,728.59       | 95,993.00      | 45,123.72      | 50,869.28      |
| 407 AIRWAY/WOODMAN IMPROVEMT FUND  | 251,811.19     | 0.00           | 6,975.54       | 244,835.65     | 44,585.46      | 200,250.19     |
| 408 POLICE VEHICLE & EQUIP REPLACE | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           |
| 409 GENERAL EQUIPMENT REPLACEMENT  | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           |
| 410 FIRE/EMS & POLICE INCOME TAX   | 1,709.12       | 1,052,704.84   | 1,052,050.74   | 2,363.22       | 285.97         | 2,077.25       |
| 600 CEMETERY FUND                  | 1,389.69       | 4,000.00       | 3,750.00       | 1,639.69       | 1,188.00       | 451.69         |
| 702 CAPITAL INFRASTRUCTURE FUND    | 647,734.49     | 2,535,418.97   | 170,051.97     | 3,013,101.49   | 566,731.58     | 2,446,369.91   |
| 703 CAPITAL EQUIP IMPROVEMENT FD   | 230,714.14     | 336,757.38     | 263,259.11     | 304,212.41     | 266,967.34     | 37,245.07      |
| 803 CONTINGENCY RESERVE FUND       | 331,284.79     | 0.00           | 0.00           | 331,284.79     | 0.00           | 331,284.79     |
| 804 INSURANCE DEPOSITS             | 57,987.43      | 0.00           | 4,551.57       | 53,435.86      | 41,435.86      | 12,000.00      |
| ***                                | 9,950,626.78   | 12,983,157.58  | 11,277,909.35  | 11,655,875.01  | 3,377,031.53   | 8,278,843.48   |

# EXPENSE REPORT



| Account.....           | Description.....    | Revised app... | MTD Expense... | YTD Expense... | Unexpended.... | Encumbrance.... | Unencumbered... | % Rema |
|------------------------|---------------------|----------------|----------------|----------------|----------------|-----------------|-----------------|--------|
| 100.102.5121           | CM - PENSION        | 44,000.00      | 6,450.96       | 9,719.54       | 34,280.46      | 0.00            | 34,280.46       | 77.91  |
| 100.102.5122           | CM - WC             | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00            | 0.00   |
| 100.102.5123           | CM - H INS          | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00            | 0.00   |
| 100.102.5125           | CM - ME             | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00            | 0.00   |
| 100.102.5130           | CM - RELOCATION EXP | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00            | 0.00   |
| 100.102.5131           | CM-WORKERS COMP     | 9,300.00       | 449.68         | 2,100.51       | 7,199.49       | 0.00            | 7,199.49        | 77.41  |
| 100.102.5141           | CM-HEALTH INSUR     | 56,500.00      | 3,466.52       | 5,218.48       | 51,281.52      | 0.00            | 51,281.52       | 90.76  |
| 100.102.5143           | HEALTH INSUR DEDUCT | 7,600.00       | 0.00           | 1,064.00       | 6,536.00       | 0.00            | 6,536.00        | 86.00  |
| 100.102.5151           | CM-LIFE INSUR       | 853.00         | 54.99          | 109.98         | 743.02         | 54.99           | 688.03          | 80.66  |
| 100.102.5161           | CM-MEDICARE         | 4,725.00       | 344.75         | 1,025.49       | 3,699.51       | 0.00            | 3,699.51        | 78.30  |
| 100.102.5171           | CM-UNEMPLOYMENT     | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00            | 0.00   |
| Subtotal for object 51 |                     | 435,478.00     | 34,798.91      | 90,748.26      | 344,729.74     | 54.99           | 344,674.75      |        |
| 100.102.5222           | CM - RENTS / LEASE  | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00            | 0.00   |
| 100.102.5223           | INSURANCE/BONDS     | 375.00         | 100.00         | 100.00         | 275.00         | 100.00          | 175.00          | 46.67  |
| 100.102.5230           | CM - CS             | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00            | 0.00   |
| 100.102.5235           | CM - CONSULTANT     | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00            | 0.00   |
| 100.102.5241           | CM - EDUCATION/TRAI | 2,000.00       | 140.63         | 262.26         | 1,737.74       | 312.74          | 1,425.00        | 71.25  |
| 100.102.5242           | CM - TRAVEL/TRANSP  | 1,000.00       | 55.46          | 55.46          | 944.54         | 194.54          | 750.00          | 75.00  |
| 100.102.5246           | SUBSCRIPTS/MEMBERSH | 2,000.00       | 0.00           | 835.00         | 1,165.00       | 65.00           | 1,100.00        | 55.00  |
| 100.102.5251           | CM - MBR            | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00            | 0.00   |
| 100.102.5274           | CM - VEH            | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00            | 0.00   |
| 100.102.5284           | CM - INS            | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00            | 0.00   |
| 100.102.5289           | CONTRACTED SERVICES | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00            | 0.00   |
| 100.102.5299           | OPERATING MISC      | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00            | 0.00   |
| Subtotal for object 52 |                     | 5,375.00       | 296.09         | 1,252.72       | 4,122.28       | 672.28          | 3,450.00        |        |
| 100.102.5311           | CM - FUEL           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00            | 0.00   |
| 100.102.5511           | CM - CAPITAL EQUIPM | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00            | 0.00   |
| 100.102.5521           | CM - MOTOR VEHICLES | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00            | 0.00   |
| Subtotal for object 55 |                     | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00            |        |
| * Dept 102             |                     | 440,853.00     | 35,095.00      | 92,000.98      | 348,852.02     | 727.27          | 348,124.75      |        |
| 100.103.5111           | FIN - SALARIES/WAGE | 210,088.00     | 10,371.20      | 31,063.60      | 179,024.40     | 0.00            | 179,024.40      | 85.21  |
| 100.103.5117           | FIN - OT            | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00            | 0.00   |
| 100.103.5119           | RETIREMENT PAYOUT   | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00            | 0.00   |
| 100.103.5121           | FIN - PENSION       | 29,615.00      | 2,896.94       | 4,347.70       | 25,267.30      | 0.00            | 25,267.30       | 85.32  |
| 100.103.5122           | FIN - WC            | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00            | 0.00   |
| 100.103.5123           | FIN - H INS         | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00            | 0.00   |
| 100.103.5125           | FIN - ME            | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00            | 0.00   |
| 100.103.5127           | FIN - FICA          | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00            | 0.00   |
| 100.103.5128           | FIN - UN            | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00            | 0.00   |
| 100.103.5131           | FIN-WORKERS COMP    | 6,350.00       | 167.64         | 806.46         | 5,543.54       | 0.00            | 5,543.54        | 87.30  |
| 100.103.5141           | FIN-HEALTH INSUR    | 36,111.00      | 1,348.80       | 2,030.51       | 34,080.49      | 0.00            | 34,080.49       | 94.38  |
| 100.103.5143           | HEALTH INSUR DEDUCT | 5,800.00       | 0.00           | 532.00         | 5,268.00       | 0.00            | 5,268.00        | 90.83  |
| 100.103.5151           | FIN-LIFE INSUR      | 325.00         | 14.85          | 29.70          | 295.30         | 14.85           | 280.45          | 86.29  |
| 100.103.5161           | FIN-MEDICARE        | 3,100.00       | 147.21         | 440.80         | 2,659.20       | 0.00            | 2,659.20        | 85.78  |
| 100.103.5171           | FIN-UNEMPLOYMENT    | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00            | 0.00   |

| Account.....           | Description.....    | Revised app... | MTD Expense... | YTD Expense... | Unexpended.... | Encumbrance... | Unencumbered... | % Remn |
|------------------------|---------------------|----------------|----------------|----------------|----------------|----------------|-----------------|--------|
| Subtotal for object 51 |                     | 291,389.00     | 14,946.64      | 39,250.77      | 252,138.23     | 14.85          | 252,123.38      |        |
| 100.103.5222           | FIN - PRINTING      | 200.00         | 0.00           | 0.00           | 200.00         | 0.00           | 200.00          | 100.00 |
| 100.103.5223           | INSURANCE/BONDING   | 300.00         | 0.00           | 0.00           | 300.00         | 225.00         | 75.00           | 25.00  |
| 100.103.5230           | FIN-CS              | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| 100.103.5231           | FIN - AUDIT         | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| 100.103.5241           | FIN - EDUCATION/TRA | 102.00         | 0.00           | 0.00           | 102.00         | 0.00           | 102.00          | 100.00 |
| 100.103.5242           | FIN - TRAVEL/TRANSP | 145.00         | 0.00           | 0.00           | 145.00         | 0.00           | 145.00          | 100.00 |
| 100.103.5246           | FIN-SUBSCRIPTS/MEMB | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| 100.103.5251           | FIN - MBR           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| 100.103.5256           | EQUIP MAINT-OUTSOUR | 12,000.00      | 0.00           | 7,553.34       | 4,446.66       | 825.00         | 3,621.66        | 30.18  |
| 100.103.5284           | FIN-INS             | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| 100.103.5299           | OPERATING MISC      | 1,510.00       | 0.00           | 0.00           | 1,510.00       | 0.00           | 1,510.00        | 100.00 |
| Subtotal for object 52 |                     | 14,257.00      | 0.00           | 7,553.34       | 6,703.66       | 1,050.00       | 5,653.66        |        |
| 100.103.5314           | ANNUAL AUDIT CONTRA | 34,701.00      | 2,700.00       | 2,700.00       | 32,001.00      | 32,001.00      | 0.00            | 0.00   |
| 100.103.5334           | FIN - OP SUPPLY     | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| Subtotal for object 53 |                     | 34,701.00      | 2,700.00       | 2,700.00       | 32,001.00      | 32,001.00      | 0.00            |        |
| 100.103.5511           | OFFICE FURNITURE &  | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| 100.103.5514           | FIN - COMPUTER EQUI | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| Subtotal for object 55 |                     | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            |        |
| * Dept 103             |                     | 340,347.00     | 17,646.64      | 49,504.11      | 290,842.89     | 33,065.85      | 257,777.04      |        |
| 100.105.5111           | LEGAL - SALARIES    | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| 100.105.5121           | LEGAL - PENSION CON | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| 100.105.5122           | LEGAL-WORKERS COMP  | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| 100.105.5123           | LEGAL - HEALTH INSU | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| 100.105.5125           | LEGAL - MEDICARE    | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| Subtotal for object 51 |                     | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            |        |
| 100.105.5230           | LEGAL SERVICES-LABO | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| 100.105.5232           | LEGAL SERVICES-LITI | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| 100.105.5236           | LEGAL - COURT FEES  | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| 100.105.5241           | LEGAL - TRNG        | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| 100.105.5251           | LEGAL-SUBSCRIPTIONS | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| Subtotal for object 52 |                     | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            |        |
| 100.105.5316           | LEGAL SERVICE-GENER | 90,933.00      | 3,829.50       | 9,478.90       | 81,454.10      | 13,254.10      | 68,200.00       | 75.00  |
| 100.105.5317           | LEGAL SERVICE-LABOR | 25,000.00      | 1,450.84       | 1,517.99       | 23,482.01      | 4,732.01       | 18,750.00       | 75.00  |
| 100.105.5318           | LEGAL SERVICE-PROSE | 52,000.00      | 4,166.66       | 8,333.32       | 43,666.68      | 16,666.66      | 27,000.02       | 51.92  |
| 100.105.5319           | LEGAL SERVICE-LITIG | 22,000.00      | 460.00         | 713.00         | 21,287.00      | 4,787.00       | 16,500.00       | 75.00  |
| 100.105.5334           | LEGAL - OP SUPPLY   | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| Subtotal for object 53 |                     | 189,933.00     | 9,907.00       | 20,043.21      | 169,889.79     | 39,439.77      | 130,450.02      |        |
| * Dept 105             |                     | 189,933.00     | 9,907.00       | 20,043.21      | 169,889.79     | 39,439.77      | 130,450.02      |        |

| Account.....           | Description.....    | Revised app... | MTD Expense... | YTD Expense... | Unexpended.... | Encumbrance... | Unencumbered... | % Remn |
|------------------------|---------------------|----------------|----------------|----------------|----------------|----------------|-----------------|--------|
| 100.107.5111           | P&PM-SALARIES/WAGES | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| 100.107.5113           | P&PM-PART-TIME WAGE | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| 100.107.5117           | P&PM - OT           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| 100.107.5119           | RETIREMENT PAYOUT   | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| 100.107.5121           | P&PM-PENSION        | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| 100.107.5131           | P&PM-WORKERS COMP   | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| 100.107.5141           | P&PM-HEALTH INSUR   | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| 100.107.5143           | HEALTH INSUR DEDUCT | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| 100.107.5151           | P&PM-LIFE INSUR     | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| 100.107.5161           | P&PM-MEDICARE       | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| 100.107.5171           | P&PM-UNEMPLOYMENT   | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| Subtotal for object 51 |                     | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| 100.107.5221           | P&PM-ADVERTISING    | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| 100.107.5222           | P&PM-PRINTING       | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| 100.107.5223           | INSURANCE/BONDS     | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| 100.107.5241           | P&PM-EDUC/TRNG      | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| 100.107.5242           | P&PM-TRAVEL/TRANS   | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| 100.107.5246           | P&PM-SUBSCRIPTS/MBR | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| 100.107.5247           | WELLFIELD TRAINING  | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| 100.107.5254           | ECON DEVEL INCENTIV | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| 100.107.5281           | P&PM-INTERNAL ENGIN | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| 100.107.5282           | SITE PLAN REVIEW EN | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| 100.107.5283           | ARCHITECT/PLANNING  | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| 100.107.5289           | CONTRACTED SERVICES | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| Subtotal for object 52 |                     | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| 100.107.5309           | BUSINESS BANQUET/OU | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| 100.107.5311           | NEIGHBORHOOD REVITA | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| 100.107.5321           | P&PM-OFFICE SUPPLIE | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| 100.107.5391           | NUISANCE ABATEMENT  | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| Subtotal for object 53 |                     | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| * Dept 107             |                     | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| 100.108.5111           | P&ED - SALARIES/WAG | 265,000.00     | 12,833.60      | 62,045.37      | 202,954.63     | 0.00           | 202,954.63      | 76.59  |
| 100.108.5113           | P&ED-PART-TIME WAGE | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| 100.108.5117           | P&ED - OT           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| 100.108.5119           | RETIREMENT PAYOUT   | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| 100.108.5121           | P&ED - PENSION      | 39,588.00      | 5,376.39       | 7,876.23       | 31,711.77      | 0.00           | 31,711.77       | 80.10  |
| 100.108.5122           | P&ED - WC           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| 100.108.5123           | P&ED - H INS        | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| 100.108.5125           | P&ED - ME           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| 100.108.5131           | P&ED-WORKERS COMP   | 8,000.00       | 382.72         | 1,714.51       | 6,285.49       | 0.00           | 6,285.49        | 78.57  |
| 100.108.5141           | P&ED-HEALTH INSUR   | 52,400.00      | 6,464.08       | 9,722.13       | 42,677.87      | 0.00           | 42,677.87       | 81.45  |
| 100.108.5143           | HEALTH INSUR DEDUCT | 29,016.00      | 0.00           | 1,596.00       | 27,420.00      | 0.00           | 27,420.00       | 94.50  |
| 100.108.5151           | P&ED-LIFE INSUR     | 476.00         | 24.84          | 55.53          | 420.47         | 36.54          | 383.93          | 80.66  |
| 100.108.5161           | P&ED-MEDICARE       | 4,088.00       | 178.89         | 877.20         | 3,210.80       | 0.00           | 3,210.80        | 78.54  |
| 100.108.5171           | P&ED-UNEMPLOYMENT   | 12,181.00      | 0.00           | 0.00           | 12,181.00      | 0.00           | 12,181.00       | 100.00 |
| Subtotal for object 51 |                     | 410,749.00     | 25,260.52      | 83,886.97      | 326,862.03     | 36.54          | 326,825.49      |        |

| Account.....           | Description.....    | Revised app... | MTD Expense... | YTD Expense... | Unexpended..... | Encumbrance... | Unencumbered... | % Rem  |
|------------------------|---------------------|----------------|----------------|----------------|-----------------|----------------|-----------------|--------|
| 100.108.5221           | ADVERTISING         | 3,000.00       | 630.01         | 904.19         | 2,095.81        | 2,095.81       | 0.00            | 0.00   |
| 100.108.5222           | P&ED - PRINTING     | 0.00           | 0.00           | 0.00           | 0.00            | 0.00           | 0.00            | 0.00   |
| 100.108.5223           | INSURANCE/BONDS     | 210.00         | 0.00           | 0.00           | 210.00          | 0.00           | 210.00          | 100.00 |
| 100.108.5230           | P&ED-CONTRACTED SER | 0.00           | 0.00           | 0.00           | 0.00            | 0.00           | 0.00            | 0.00   |
| 100.108.5231           | P&ED-CONT ENG/PLANN | 0.00           | 0.00           | 0.00           | 0.00            | 0.00           | 0.00            | 0.00   |
| 100.108.5233           | P&ED - PROJ ENGR RE | 0.00           | 0.00           | 0.00           | 0.00            | 0.00           | 0.00            | 0.00   |
| 100.108.5241           | P&ED - EDUCATION/TR | 5,000.00       | 30.00          | 129.00         | 4,871.00        | 1,371.00       | 3,500.00        | 70.00  |
| 100.108.5242           | P&ED - TRAVEL/TRANS | 3,995.00       | 27.16          | 27.16          | 3,967.84        | 972.84         | 2,995.00        | 74.97  |
| 100.108.5246           | P&ED-SUBSCRIPTS/MEM | 6,000.00       | 220.26         | 420.26         | 5,579.74        | 1,079.74       | 4,500.00        | 75.00  |
| 100.108.5247           | WELLFIELD TRAINING/ | 0.00           | 0.00           | 0.00           | 0.00            | 0.00           | 0.00            | 0.00   |
| 100.108.5251           | P&ED - MBR          | 0.00           | 0.00           | 0.00           | 0.00            | 0.00           | 0.00            | 0.00   |
| 100.108.5252           | P&ED - PRINTING     | 0.00           | 0.00           | 0.00           | 0.00            | 0.00           | 0.00            | 0.00   |
| 100.108.5254           | ECON DEVEL INCENTIV | 0.00           | 0.00           | 0.00           | 0.00            | 0.00           | 0.00            | 0.00   |
| 100.108.5281           | P&ED-INTERNAL ENGIN | 5,962.00       | 0.00           | 0.00           | 5,962.00        | 2,000.00       | 3,962.00        | 66.45  |
| 100.108.5282           | SITE PLAN REVIEW EN | 15,000.00      | 0.00           | 0.00           | 15,000.00       | 7,500.00       | 7,500.00        | 50.00  |
| 100.108.5283           | ARCHITECT/PLANNING  | 0.00           | 0.00           | 0.00           | 0.00            | 0.00           | 0.00            | 0.00   |
| 100.108.5284           | P&ED-INS            | 0.00           | 0.00           | 0.00           | 0.00            | 0.00           | 0.00            | 0.00   |
| 100.108.5289           | CONTRACTED SERVICES | 82,000.00      | 2,955.00       | 2,993.35       | 79,006.65       | 12,006.65      | 67,000.00       | 81.71  |
| Subtotal for object 52 |                     | 121,167.00     | 3,862.43       | 4,473.96       | 116,693.04      | 27,026.04      | 89,667.00       |        |
| 100.108.5309           | BUSINESS BANQUET/OU | 0.00           | 0.00           | 0.00           | 0.00            | 0.00           | 0.00            | 0.00   |
| 100.108.5311           | P&ED - FUEL         | 0.00           | 0.00           | 0.00           | 0.00            | 0.00           | 0.00            | 0.00   |
| 100.108.5321           | P&ED - OFF SUPPLY   | 0.00           | 0.00           | 0.00           | 0.00            | 0.00           | 0.00            | 0.00   |
| 100.108.5334           | P&ED-OP SUPPLY      | 0.00           | 0.00           | 0.00           | 0.00            | 0.00           | 0.00            | 0.00   |
| 100.108.5391           | NUISANCE ABATEMENT  | 120,000.00     | 0.00           | 372.50         | 119,627.50      | 29,627.50      | 90,000.00       | 75.00  |
| Subtotal for object 53 |                     | 120,000.00     | 0.00           | 372.50         | 119,627.50      | 29,627.50      | 90,000.00       |        |
| 100.108.5514           | P&ED-COMPUTER EQUIP | 0.00           | 0.00           | 0.00           | 0.00            | 0.00           | 0.00            | 0.00   |
| 100.108.5515           | PROPERTY ACQUISITIO | 5,000.00       | 0.00           | 0.00           | 5,000.00        | 0.00           | 5,000.00        | 100.00 |
| 100.108.5521           | VEHICLES            | 0.00           | 0.00           | 0.00           | 0.00            | 0.00           | 0.00            | 0.00   |
| Subtotal for object 55 |                     | 5,000.00       | 0.00           | 0.00           | 5,000.00        | 0.00           | 5,000.00        |        |
| * Dept 108             |                     | 656,916.00     | 29,122.95      | 88,733.43      | 568,182.57      | 56,690.08      | 511,492.49      |        |
| 100.110.5211           | GOVT - POSTAGE      | 4,104.00       | 27.70          | 27.70          | 4,076.30        | 2,572.30       | 1,504.00        | 36.65  |
| 100.110.5212           | GOVT - UTILITIES    | 0.00           | 0.00           | 0.00           | 0.00            | 0.00           | 0.00            | 0.00   |
| 100.110.5215           | AUDITOR/TREAS FEES/ | 10,000.00      | 0.00           | 0.00           | 10,000.00       | 0.00           | 10,000.00       | 100.00 |
| 100.110.5216           | RITA CCA FEES & COS | 140,000.00     | 7,207.20       | 22,777.35      | 117,222.65      | 190.65         | 117,032.00      | 83.59  |
| 100.110.5217           | TAXES - CITY PROPER | 22,533.00      | 0.00           | 8,448.72       | 14,084.28       | 0.00           | 14,084.28       | 62.51  |
| 100.110.5218           | ELECTION EXPENSES   | 35,442.00      | 0.00           | 0.00           | 35,442.00       | 0.00           | 35,442.00       | 100.00 |
| 100.110.5219           | COMMUNITY PROMOTION | 510.00         | 450.00         | 450.00         | 60.00           | 0.00           | 60.00           | 11.76  |
| 100.110.5223           | INSURANCE/BONDS     | 30,000.00      | 0.00           | 0.00           | 30,000.00       | 0.00           | 30,000.00       | 100.00 |
| 100.110.5224           | VOLUNTEER/EMPLOYEE  | 1,000.00       | 429.95         | 429.95         | 570.05          | 220.05         | 350.00          | 35.00  |
| 100.110.5225           | CLAIMS & JUDGMENTS  | 13,000.00      | 1,251.50       | 1,251.50       | 11,748.50       | 1,018.31       | 10,730.19       | 82.54  |
| 100.110.5226           | REAP REFUNDS        | 0.00           | 0.00           | 0.00           | 0.00            | 0.00           | 0.00            | 0.00   |
| 100.110.5227           | EMPLOYEE CARE - MVH | 3,669.00       | 0.00           | 0.00           | 3,669.00        | 800.00         | 2,869.00        | 78.20  |
| 100.110.5228           | EMPLOYEE HEALTH EXA | 1,221.00       | 46.00          | 334.00         | 887.00          | 116.00         | 771.00          | 63.14  |
| 100.110.5229           | WORKERS COMP MANAGE | 4,374.00       | 0.00           | 0.00           | 4,374.00        | 1,000.00       | 3,374.00        | 77.14  |
| 100.110.5230           | GOVT-CS             | 0.00           | 0.00           | 0.00           | 0.00            | 0.00           | 0.00            | 0.00   |
| 100.110.5234           | GOVT-RETAINER FEE   | 0.00           | 0.00           | 0.00           | 0.00            | 0.00           | 0.00            | 0.00   |

| Account.....           | Description.....    | Revised app... | MTD Expense... | YTD Expense... | Unexpended.... | Encumbrance... | Unencumbered... | % Remn |
|------------------------|---------------------|----------------|----------------|----------------|----------------|----------------|-----------------|--------|
| 100.110.5235           | AUDITOR/TREAS-R.E.  | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| 100.110.5238           | OFFICE SUPPLIES     | 10,000.00      | 414.87         | 1,876.38       | 8,123.62       | 2,123.62       | 6,000.00        | 60.00  |
| 100.110.5241           | GOVT-EDUC/TRAINING  | 3,000.00       | 0.00           | 1,500.00       | 1,500.00       | 750.00         | 750.00          | 25.00  |
| 100.110.5246           | SUBSCRIPTS/MEMBERSH | 29,251.00      | 100.00         | 4,369.14       | 24,881.86      | 13,630.86      | 11,251.00       | 38.46  |
| 100.110.5248           | ADMIN OFFICER SEARC | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| 100.110.5251           | GOVT-SUBS           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| 100.110.5252           | FACILITY/GROUND MAI | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| 100.110.5254           | GOVT - ADV          | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| 100.110.5255           | GOVT - NLTR         | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| 100.110.5259           | FUEL                | 3,904.00       | 665.96         | 1,001.83       | 2,902.17       | 1,473.17       | 1,429.00        | 36.60  |
| 100.110.5261           | UTILITIES-ELECTRIC  | 750.00         | 103.20         | 155.15         | 594.85         | 48.75          | 546.10          | 72.81  |
| 100.110.5262           | UTILITIES-GAS       | 680.00         | 0.00           | 0.00           | 680.00         | 0.00           | 680.00          | 100.00 |
| 100.110.5263           | UTILITIES-WATER/SEW | 494.00         | 0.00           | 0.00           | 494.00         | 0.00           | 494.00          | 100.00 |
| 100.110.5264           | UTILITIES-TELECOM   | 26,012.00      | 2,026.03       | 5,441.35       | 20,570.65      | 1,061.65       | 19,509.00       | 75.00  |
| 100.110.5265           | UTILITIES - MISC    | 34,580.00      | 2,874.89       | 5,838.96       | 28,741.04      | 3,125.43       | 25,615.61       | 74.08  |
| 100.110.5267           | EE                  | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| 100.110.5268           | GOVT-TC             | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| 100.110.5271           | GOVT-FM             | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| 100.110.5281           | INTERNAL ENGINEERIN | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| 100.110.5282           | GOVT-GL             | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| 100.110.5283           | ARCHITECT/PLANNING  | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| 100.110.5284           | GOVT-PL             | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| 100.110.5285           | IT/WEBSITE SERVICES | 50,000.00      | 3,152.18       | 4,695.84       | 45,304.16      | 5,996.16       | 39,308.00       | 78.62  |
| 100.110.5286           | OFF-SITE RECORDS MA | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| 100.110.5289           | CONTRACTED SERVICES | 100,000.00     | 1,986.14       | 6,158.45       | 93,841.55      | 30,882.95      | 62,958.60       | 62.96  |
| 100.110.5291           | CORONAVIRUS COVID19 | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| Subtotal for object 52 |                     | 524,524.00     | 20,735.62      | 64,756.32      | 459,767.68     | 65,009.90      | 394,757.78      |        |
| 100.110.5321           | NEWSLETTER          | 16,712.00      | 687.22         | 1,353.70       | 15,358.30      | 2,746.30       | 12,612.00       | 75.47  |
| 100.110.5334           | GOVT-OP SUPPLY      | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| 100.110.5343           | LICENSES & PERMIT F | 122.00         | 0.00           | 0.00           | 122.00         | 0.00           | 122.00          | 100.00 |
| 100.110.5347           | SAFE ROUTE TO SCHOO | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| 100.110.5391           | NUISANCE ABATEMENT  | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| Subtotal for object 53 |                     | 16,834.00      | 687.22         | 1,353.70       | 15,480.30      | 2,746.30       | 12,734.00       |        |
| 100.110.5514           | COMPUTER NETWORK    | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| 100.110.5532           | GOVT-FACILITY IMPRO | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| Subtotal for object 55 |                     | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            |        |
| 100.110.5801           | ADVANCES OUT        | 0.00           | 0.00           | 480,000.00     | -480,000.00    | 0.00           | -480,000.00     | 000.00 |
| 100.110.5901           | TRANSFER TO FIRE FU | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| 100.110.5902           | TRANSFER TO STREET/ | 1,346,688.00   | 0.00           | 340,000.00     | 1,006,688.00   | 0.00           | 1,006,688.00    | 74.75  |
| 100.110.5903           | TRANSFER TO POLICE  | 171,392.00     | 0.00           | 40,000.00      | 131,392.00     | 0.00           | 131,392.00      | 76.66  |
| 100.110.5904           | TRANSFER TO DEBT SE | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| 100.110.5905           | TRANSFER TO VICTIM  | 49,150.00      | 0.00           | 12,000.00      | 37,150.00      | 0.00           | 37,150.00       | 75.58  |
| 100.110.5906           | TRANSFER TO PERMISS | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| 100.110.5907           | TRANSFER TO OPWC/IS | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| 100.110.5908           | TRANSFER TO OPWC/IS | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| 100.110.5910           | TRANSFER-CAPITAL EQ | 1,019,115.00   | 75,000.00      | 320,000.00     | 699,115.00     | 0.00           | 699,115.00      | 68.60  |
| 100.110.5911           | TRANSFERS TO OTHER  | 678,812.00     | 171,000.00     | 173,500.00     | 505,312.00     | 0.00           | 505,312.00      | 74.44  |

| Account.....           | Description.....    | Revised app... | MTD Expense... | YTD Expense... | Unexpended.... | Encumbrance... | Unencumbered... | % Remn |
|------------------------|---------------------|----------------|----------------|----------------|----------------|----------------|-----------------|--------|
| 100.110.5912           | TRANSFER TO OPWC VA | 10,700.00      | 0.00           | 0.00           | 10,700.00      | 0.00           | 10,700.00       | 100.00 |
| 100.110.5913           | TRANSFER TO OPWC NE | 18,538.00      | 0.00           | 0.00           | 18,538.00      | 0.00           | 18,538.00       | 100.00 |
| 100.110.5990           | ED/GE GRANT MATCH   | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| Subtotal for object 59 |                     | 3,294,395.00   | 246,000.00     | 885,500.00     | 2,408,895.00   | 0.00           | 2,408,895.00    |        |
| * Dept 110             |                     | 3,835,753.00   | 267,422.84     | 1,431,610.02   | 2,404,142.98   | 67,756.20      | 2,336,386.78    |        |
| 100.120.5271           | PLANNING            | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| 100.120.5272           | ZONING APPEALS      | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| 100.120.5273           | PROPERTY MAINT APPE | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| 100.120.5274           | HEALTH & SAFETY     | 2,000.00       | 0.00           | 0.00           | 2,000.00       | 0.00           | 2,000.00        | 100.00 |
| 100.120.5275           | PARKS & RECREATION  | 2,000.00       | 0.00           | 0.00           | 2,000.00       | 250.00         | 1,750.00        | 87.50  |
| 100.120.5276           | HISTORICAL SOCIETY  | 5,000.00       | 0.00           | 0.00           | 5,000.00       | 2,500.00       | 2,500.00        | 50.00  |
| 100.120.5277           | RIVERSIDE SENIORS   | 2,500.00       | 0.00           | 0.00           | 2,500.00       | 0.00           | 2,500.00        | 100.00 |
| Subtotal for object 52 |                     | 11,500.00      | 0.00           | 0.00           | 11,500.00      | 2,750.00       | 8,750.00        |        |
| * Dept 120             |                     | 11,500.00      | 0.00           | 0.00           | 11,500.00      | 2,750.00       | 8,750.00        |        |
| 100.140.5111           | PARKS - SALARIES    | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| 100.140.5121           | PARKS - PENSION CON | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| 100.140.5122           | PARKS - WORKERS COM | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| 100.140.5125           | PARKS - MEDICARE    | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| Subtotal for object 51 |                     | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            |        |
| 100.140.5212           | PARKS-UTILITIES     | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| 100.140.5237           | PARKS-OPERATING SUP | 7,000.00       | 83.01          | 309.96         | 6,690.04       | 1,440.04       | 5,250.00        | 75.00  |
| 100.140.5251           | FACILITY/GROUND MAI | 20,000.00      | 5,920.00       | 5,920.00       | 14,080.00      | 5,000.00       | 9,080.00        | 45.40  |
| 100.140.5252           | FACILITY/GROUND MAI | 12,000.00      | 0.00           | 0.00           | 12,000.00      | 3,000.00       | 9,000.00        | 75.00  |
| 100.140.5261           | UTILITIES-ELECTRIC  | 7,890.00       | 553.45         | 1,080.07       | 6,809.93       | 559.02         | 6,250.91        | 79.23  |
| 100.140.5262           | UTILITIES-GAS       | 1,145.00       | 209.19         | 539.66         | 605.34         | 190.81         | 414.53          | 36.20  |
| 100.140.5263           | UTILITIES-WATER/SEW | 2,865.00       | 0.00           | 264.60         | 2,600.40       | 451.40         | 2,149.00        | 75.01  |
| 100.140.5264           | UTILITIES-TELECOM   | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| 100.140.5265           | UTILITIES - MISC    | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| 100.140.5272           | PARKS-EM            | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| 100.140.5282           | PARKS-GL            | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| 100.140.5289           | CONTRACTED SERVICES | 6,000.00       | 0.00           | 0.00           | 6,000.00       | 1,500.00       | 4,500.00        | 75.00  |
| Subtotal for object 52 |                     | 56,900.00      | 6,765.65       | 8,114.29       | 48,785.71      | 12,141.27      | 36,644.44       |        |
| 100.140.5334           | PARKS-OPERATING SUP | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| 100.140.5381           | PARK IMPROVEMENTS   | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| Subtotal for object 53 |                     | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            |        |
| 100.140.5415           | PARKS-PROGRAMS      | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| 100.140.5416           | PARKS-SENIORS PROGR | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| 100.140.5425           | PARKS-FESTIVAL      | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| 100.140.5426           | PARKS-BEAUTIFICATIO | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| Subtotal for object 54 |                     | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            |        |

| Account..... Description..... Revised app.... MTD Expense... YTD Expense... Unexpended.... Encumbrance... Unencumbered... % Remn |                     |              |            |              |              |            |              |        |  |
|--|---------------------|--------------|------------|--------------|--------------|------------|--------------|--------|--|
| * Dept 140   |                     | 56,900.00    | 6,765.65   | 8,114.29     | 48,785.71    | 12,141.27  | 36,644.44    |        |  |
| ** 100 GENERAL FUND  |                     | 5,694,500.00 | 378,327.20 | 1,723,106.28 | 3,971,393.72 | 217,627.70 | 3,753,766.02 |        |  |
| 201.201.5111   | FIRE-SALARIES/WAGES | 1,445,000.00 | 105,791.11 | 324,275.85   | 1,120,724.15 | 0.00       | 1,120,724.15 | 77.56  |  |
| 201.201.5113   | FIRE-PART-TIME WAGE | 392,000.00   | 28,737.09  | 85,205.22    | 306,794.78   | 0.00       | 306,794.78   | 78.26  |  |
| 201.201.5114   | FIRE-OVERTIME       | 77,000.00    | 4,907.19   | 9,820.84     | 67,179.16    | 0.00       | 67,179.16    | 87.25  |  |
| 201.201.5116   | FIRE-HOLIDAY PAY    | 37,000.00    | 0.00       | 0.00         | 37,000.00    | 0.00       | 37,000.00    | 100.00 |  |
| 201.201.5117   | FIRE-OT             | 0.00         | 0.00       | 0.00         | 0.00         | 0.00       | 0.00         | 0.00   |  |
| 201.201.5119   | RETIREMENT PAYOUT   | 35,000.00    | 0.00       | 0.00         | 35,000.00    | 0.00       | 35,000.00    | 100.00 |  |
| 201.201.5121   | FIRE PENSION        | 375,000.00   | 53,300.23  | 82,844.34    | 292,155.66   | 0.00       | 292,155.66   | 77.91  |  |
| 201.201.5122   | FIRE-WC             | 0.00         | 0.00       | 0.00         | 0.00         | 0.00       | 0.00         | 0.00   |  |
| 201.201.5123   | FIRE-HI             | 0.00         | 0.00       | 0.00         | 0.00         | 0.00       | 0.00         | 0.00   |  |
| 201.201.5125   | FIRE-ME             | 0.00         | 0.00       | 0.00         | 0.00         | 0.00       | 0.00         | 0.00   |  |
| 201.201.5127   | FIRE-SS             | 0.00         | 0.00       | 0.00         | 0.00         | 0.00       | 0.00         | 0.00   |  |
| 201.201.5128   | FIRE-UN             | 0.00         | 0.00       | 0.00         | 0.00         | 0.00       | 0.00         | 0.00   |  |
| 201.201.5131   | FIRE-WORKERS COMP   | 56,150.00    | 2,084.40   | 10,697.00    | 45,453.00    | 0.00       | 45,453.00    | 80.95  |  |
| 201.201.5141   | FIRE-HEALTH INSUR   | 356,600.00   | 39,686.21  | 59,646.38    | 296,953.62   | 0.00       | 296,953.62   | 83.27  |  |
| 201.201.5143   | HEALTH INSUR DEDUCT | 21,500.00    | 0.00       | 9,282.00     | 12,218.00    | 0.00       | 12,218.00    | 56.83  |  |
| 201.201.5151   | FIRE-LIFE INSUR     | 2,075.00     | 153.00     | 315.00       | 1,760.00     | 198.00     | 1,562.00     | 75.28  |  |
| 201.201.5161   | FIRE-MEDICARE       | 27,500.00    | 1,960.50   | 5,880.86     | 21,619.14    | 0.00       | 21,619.14    | 78.62  |  |
| 201.201.5162   | FIRE-FICA           | 22,000.00    | 1,548.15   | 4,681.95     | 17,318.05    | 0.00       | 17,318.05    | 78.72  |  |
| 201.201.5171   | FIRE-UNEMPLOYMENT   | 0.00         | 0.00       | 0.00         | 0.00         | 0.00       | 0.00         | 0.00   |  |
| Subtotal for object 51   |                     | 2,846,825.00 | 238,167.88 | 592,649.44   | 2,254,175.56 | 198.00     | 2,253,977.56 |        |  |
| 201.201.5211   | FIRE-POSTAGE        | 0.00         | 0.00       | 0.00         | 0.00         | 0.00       | 0.00         | 0.00   |  |
| 201.201.5212   | FIRE-UTILITIES      | 0.00         | 0.00       | 0.00         | 0.00         | 0.00       | 0.00         | 0.00   |  |
| 201.201.5213   | FIRE-COMMUNICATIONS | 0.00         | 0.00       | 0.00         | 0.00         | 0.00       | 0.00         | 0.00   |  |
| 201.201.5215   | AUDITOR/TREAS FEES/ | 11,057.00    | 0.00       | 0.00         | 11,057.00    | 0.00       | 11,057.00    | 100.00 |  |
| 201.201.5222   | FIRE-PRINTING       | 0.00         | 0.00       | 0.00         | 0.00         | 0.00       | 0.00         | 0.00   |  |
| 201.201.5223   | INSURANCE/BONDS     | 47,940.00    | 0.00       | 0.00         | 47,940.00    | 0.00       | 47,940.00    | 100.00 |  |
| 201.201.5230   | FIRE-CS             | 0.00         | 0.00       | 0.00         | 0.00         | 0.00       | 0.00         | 0.00   |  |
| 201.201.5231   | FIRE-TR FEE         | 0.00         | 0.00       | 0.00         | 0.00         | 0.00       | 0.00         | 0.00   |  |
| 201.201.5233   | CLOTHING/UNIFORMS   | 15,500.00    | 0.00       | 1,128.45     | 14,371.55    | 2,746.55   | 11,625.00    | 75.00  |  |
| 201.201.5235   | PROTECTIVE CLOTHING | 20,000.00    | 0.00       | 1,260.00     | 18,740.00    | 3,740.00   | 15,000.00    | 75.00  |  |
| 201.201.5237   | OPERATING SUPPLIES  | 9,000.00     | 1,759.28   | 2,981.72     | 6,018.28     | 648.28     | 5,370.00     | 59.67  |  |
| 201.201.5238   | OFFICE SUPPLIES     | 0.00         | 0.00       | 0.00         | 0.00         | 0.00       | 0.00         | 0.00   |  |
| 201.201.5239   | EMPLOYEE PHYSICALS  | 5,828.00     | 391.00     | 2,149.00     | 3,679.00     | 301.00     | 3,378.00     | 57.96  |  |
| 201.201.5241   | FIRE-EDUCATION/TRAI | 8,700.00     | 260.74     | 761.14       | 7,938.86     | 1,413.86   | 6,525.00     | 75.00  |  |
| 201.201.5242   | FIRE-TRAVEL/TRANSP  | 1,000.00     | 0.00       | 0.00         | 1,000.00     | 250.00     | 750.00       | 75.00  |  |
| 201.201.5246   | FIRE-SUBSCRIPTS/MEM | 41,148.00    | 3,451.00   | 17,861.50    | 23,286.50    | 1,138.50   | 22,148.00    | 53.83  |  |
| 201.201.5251   | FIRE-SUBS           | 0.00         | 0.00       | 0.00         | 0.00         | 0.00       | 0.00         | 0.00   |  |
| 201.201.5252   | FACILITY/GROUND MAI | 0.00         | 0.00       | 0.00         | 0.00         | 0.00       | 0.00         | 0.00   |  |
| 201.201.5253   | FIRE-VEHICLE MAINT  | 2,956.00     | 506.84     | 1,046.85     | 1,909.15     | 192.15     | 1,717.00     | 58.09  |  |
| 201.201.5254   | FIRE-VEHICLE MAINT- | 40,000.00    | 1,584.91   | 2,809.88     | 37,190.12    | 7,190.12   | 30,000.00    | 75.00  |  |
| 201.201.5255   | FIRE-EQUIP MAINT    | 2,500.00     | 291.99     | 717.14       | 1,782.86     | 532.86     | 1,250.00     | 50.00  |  |
| 201.201.5256   | FIRE-EQUIP MAINT-OU | 9,300.00     | 0.00       | 0.00         | 9,300.00     | 2,325.00   | 6,975.00     | 75.00  |  |
| 201.201.5259   | FUEL                | 35,386.00    | 4,549.29   | 7,371.81     | 28,014.19    | 8,475.19   | 19,539.00    | 55.22  |  |
| 201.201.5261   | UTILITIES-ELECTRIC  | 14,707.00    | 1,406.15   | 2,210.53     | 12,496.47    | 690.94     | 11,805.53    | 80.27  |  |
| 201.201.5262   | UTILITIES-GAS       | 7,000.00     | 1,652.36   | 3,025.15     | 3,974.85     | 1,224.85   | 2,750.00     | 39.29  |  |
| 201.201.5263   | UTILITIES-WATER/SEW | 3,900.00     | 0.00       | 129.73       | 3,770.27     | 845.27     | 2,925.00     | 75.00  |  |
| 201.201.5264   | UTILITIES-TELECOM   | 21,638.00    | 1,922.20   | 5,063.33     | 16,574.67    | 557.79     | 16,016.88    | 74.02  |  |
| 201.201.5265   | UTILITIES-MISC      | 1,596.00     | 48.17      | 134.95       | 1,461.05     | 265.05     | 1,196.00     | 74.94  |  |

| Account.....           | Description.....    | Revised app... | MTD Expense... | YTD Expense... | Unexpended.... | Encumbrance... | Unencumbered... | % Remn |
|------------------------|---------------------|----------------|----------------|----------------|----------------|----------------|-----------------|--------|
| 201.201.5271           | FIRE-FM             | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| 201.201.5272           | FIRE-EM             | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| 201.201.5274           | FIRE-VM             | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| 201.201.5282           | FIRE-GL             | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| 201.201.5284           | FIRE-INS            | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| 201.201.5289           | CONTRACTED SERVICES | 10,000.00      | 385.71         | 1,670.33       | 8,329.67       | 829.67         | 7,500.00        | 75.00  |
| Subtotal for object 52 |                     | 309,156.00     | 18,209.64      | 50,321.51      | 258,834.49     | 33,367.08      | 225,467.41      |        |
| 201.201.5311           | FIRE - FUEL         | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| 201.201.5321           | FIRE-OFFICE SUPPLIE | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| 201.201.5324           | FIRE-EMS SUPPLIES   | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| 201.201.5331           | FIRE-TOOLS & EQUIPM | 12,300.00      | 0.00           | 5,179.70       | 7,120.30       | 895.30         | 6,225.00        | 50.61  |
| 201.201.5332           | EMS BILLING FEES    | 49,725.00      | 4,019.54       | 4,019.54       | 45,705.46      | 10,980.46      | 34,725.00       | 69.83  |
| 201.201.5333           | EMS REFUNDS         | 5,000.00       | 0.00           | 0.00           | 5,000.00       | 1,250.00       | 3,750.00        | 75.00  |
| 201.201.5334           | EMS MERCHANT CARD E | 1,509.00       | 0.00           | 271.70         | 1,237.30       | 0.00           | 1,237.30        | 81.99  |
| 201.201.5335           | EMS/FIRE SUPPLIES   | 15,000.00      | 195.54         | 2,599.96       | 12,400.04      | 1,150.04       | 11,250.00       | 75.00  |
| 201.201.5338           | DISPATCH/RADIO FEES | 50,435.00      | 0.00           | 20,396.39      | 30,038.61      | 2,603.61       | 27,435.00       | 54.40  |
| 201.201.5339           | ANNUAL SAFETY INSPE | 500.00         | 0.00           | 0.00           | 500.00         | 125.00         | 375.00          | 75.00  |
| 201.201.5342           | FIRE-CL             | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| 201.201.5343           | LICENSES & PERMIT F | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| 201.201.5345           | FIRE - MEDICAL EXPE | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| Subtotal for object 53 |                     | 134,469.00     | 4,215.08       | 32,467.29      | 102,001.71     | 17,004.41      | 84,997.30       |        |
| 201.201.5511           | TOOLS/EQUIPMENT     | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| 201.201.5515           | HEART MONITORS      | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| 201.201.5521           | VEHICLES            | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| Subtotal for object 55 |                     | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            |        |
| 201.201.5711           | FIRE STA PRINCIPAL  | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| 201.201.5712           | FIRE STA INTEREST P | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| Subtotal for object 57 |                     | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            |        |
| 201.201.5810           | ADVANCES OUT        | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| 201.201.5920           | TRANSFER TO CAPITAL | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| * Dept 201             |                     | 3,290,450.00   | 260,592.60     | 675,438.24     | 2,615,011.76   | 50,569.49      | 2,564,442.27    |        |
| ** 201 FIRE FUND       |                     | 3,290,450.00   | 260,592.60     | 675,438.24     | 2,615,011.76   | 50,569.49      | 2,564,442.27    |        |
| 202.202.5111           | STREET-SALARIES/WAG | 768,000.00     | 58,528.90      | 174,156.46     | 593,843.54     | 0.00           | 593,843.54      | 77.32  |
| 202.202.5114           | STREET-OVERTIME     | 25,000.00      | 0.00           | 5,261.13       | 19,738.87      | 0.00           | 19,738.87       | 78.96  |
| 202.202.5117           | STREET-OT           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| 202.202.5119           | RETIREMENT PAYOUT   | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| 202.202.5121           | STREET-PENSION      | 112,000.00     | 16,868.42      | 24,960.91      | 87,039.09      | 0.00           | 87,039.09       | 77.71  |
| 202.202.5122           | STREET-WC           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| 202.202.5123           | STREET-HI           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| 202.202.5124           | STREET-LI           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| 202.202.5125           | STREET-ME           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| 202.202.5131           | STREET-WORKERS COMP | 24,000.00      | 884.52         | 4,421.57       | 19,578.43      | 0.00           | 19,578.43       | 81.58  |



| Account.....           | Description.....    | Revised app... | MTD Expense... | YTD Expense... | Unexpended..... | Encumbrance.... | Unencumbered... | % Rem  |
|------------------------|---------------------|----------------|----------------|----------------|-----------------|-----------------|-----------------|--------|
| 202.202.5342           | ROAD SALT           | 70,000.00      | 17,656.15      | 17,656.15      | 52,343.85       | 11,952.21       | 40,391.64       | 57.70  |
| 202.202.5343           | LICENSES & PERMIT F | 2,500.00       | 0.00           | 0.00           | 2,500.00        | 625.00          | 1,875.00        | 75.00  |
| 202.202.5344           | DUMPING FEES        | 3,000.00       | 16.83          | 106.94         | 2,893.06        | 510.65          | 2,382.41        | 79.41  |
| 202.202.5345           | SIGNAL MAINT-LOCAL  | 0.00           | 0.00           | 0.00           | 0.00            | 0.00            | 0.00            | 0.00   |
| 202.202.5346           | SIGNALS-LOCAL ELECT | 10,440.00      | 1,136.48       | 1,136.48       | 8,561.73        | 558.65          | 8,003.08        | 76.66  |
| 202.202.5347           | SIGNAL/TRAFFIC CONT | 0.00           | 0.00           | 0.00           | 0.00            | 0.00            | 0.00            | 0.00   |
| 202.202.5348           | BRIDGE INSPECTION F | 0.00           | 0.00           | 0.00           | 0.00            | 0.00            | 0.00            | 0.00   |
| 202.202.5349           | CONTRACTED REPAIRS  | 0.00           | 0.00           | 0.00           | 0.00            | 0.00            | 0.00            | 0.00   |
| 202.202.5355           | REIMBURSABLE REPAIR | 50,000.00      | 0.00           | 567.75         | 49,432.25       | 11,932.25       | 37,500.00       | 75.00  |
| 202.202.5357           | PROP DAMAGE REPAIRS | 25,000.00      | 0.00           | 150.00         | 24,850.00       | 6,100.00        | 18,750.00       | 75.00  |
| 202.202.5371           | CONSTRUCTION MATERI | 40,000.00      | 1,498.77       | 5,571.23       | 34,428.77       | 4,428.77        | 30,000.00       | 75.00  |
| 202.202.5372           | PARTS & ACCESSORIES | 0.00           | 0.00           | 0.00           | 0.00            | 0.00            | 0.00            | 0.00   |
| 202.202.5373           | RENTS & LEASES - TE | 5,000.00       | 170.00         | 170.00         | 4,830.00        | 4,830.00        | 0.00            | 0.00   |
| 202.202.5374           | SAFETY SUPPLIES     | 3,000.00       | 0.00           | 0.00           | 3,000.00        | 750.00          | 2,250.00        | 75.00  |
| Subtotal for object 53 |                     | 214,494.00     | 20,478.23      | 26,100.34      | 188,393.66      | 43,075.53       | 145,318.13      |        |
| 202.202.5511           | TOOLS/EQUIPMENT     | 0.00           | 0.00           | 0.00           | 0.00            | 0.00            | 0.00            | 0.00   |
| 202.202.5522           | HEAVY EQUIPMENT     | 0.00           | 0.00           | 0.00           | 0.00            | 0.00            | 0.00            | 0.00   |
| Subtotal for object 55 |                     | 0.00           | 0.00           | 0.00           | 0.00            | 0.00            | 0.00            |        |
| 202.202.5642           | WATER MAIN BREAK CO | 0.00           | 0.00           | 0.00           | 0.00            | 0.00            | 0.00            | 0.00   |
| 202.202.5643           | STORMWATER ENHANCEM | 0.00           | 0.00           | 0.00           | 0.00            | 0.00            | 0.00            | 0.00   |
| Subtotal for object 56 |                     | 0.00           | 0.00           | 0.00           | 0.00            | 0.00            | 0.00            |        |
| 202.202.5711           | BOND PRINCIPAL      | 0.00           | 0.00           | 0.00           | 0.00            | 0.00            | 0.00            | 0.00   |
| 202.202.5712           | BOND INTEREST       | 0.00           | 0.00           | 0.00           | 0.00            | 0.00            | 0.00            | 0.00   |
| 202.202.5741           | WPCLF LOAN          | 0.00           | 0.00           | 0.00           | 0.00            | 0.00            | 0.00            | 0.00   |
| Subtotal for object 57 |                     | 0.00           | 0.00           | 0.00           | 0.00            | 0.00            | 0.00            |        |
| 202.202.5810           | ADVANCES OUT        | 0.00           | 0.00           | 0.00           | 0.00            | 0.00            | 0.00            | 0.00   |
| 202.202.5910           | TRANSFERS TO OTHER  | 0.00           | 0.00           | 0.00           | 0.00            | 0.00            | 0.00            | 0.00   |
| 202.202.5920           | TRANSFER TO CAPITAL | 0.00           | 0.00           | 0.00           | 0.00            | 0.00            | 0.00            | 0.00   |
| Subtotal for object 59 |                     | 0.00           | 0.00           | 0.00           | 0.00            | 0.00            | 0.00            |        |
| * Dept 202             |                     | 1,921,836.00   | 153,992.28     | 345,944.47     | 1,575,891.53    | 215,449.71      | 1,360,441.82    |        |
| ** 202 STREET FUND     |                     | 1,921,836.00   | 153,992.28     | 345,944.47     | 1,575,891.53    | 215,449.71      | 1,360,441.82    |        |
| 203.203.5111           | POLICE-SALARIES/WAG | 2,470,000.00   | 193,668.50     | 585,518.98     | 1,884,481.02    | 0.00            | 1,884,481.02    | 76.29  |
| 203.203.5113           | POLICE PART-TIME WA | 0.00           | 0.00           | 0.00           | 0.00            | 0.00            | 0.00            | 0.00   |
| 203.203.5114           | POLICE-OVERTIME     | 100,000.00     | 11,740.29      | 27,721.83      | 72,278.17       | 0.00            | 72,278.17       | 72.28  |
| 203.203.5115           | COMP TIME PAY-OUT   | 30,000.00      | 0.00           | 0.00           | 30,000.00       | 0.00            | 30,000.00       | 100.00 |
| 203.203.5116           | HOLIDAY PAY         | 67,155.00      | 5,934.48       | 16,225.49      | 50,929.51       | 0.00            | 50,929.51       | 75.84  |
| 203.203.5117           | POLICE - OT         | 0.00           | 0.00           | 0.00           | 0.00            | 0.00            | 0.00            | 0.00   |
| 203.203.5119           | RETIREMENT PAYOUT   | 54,000.00      | 0.00           | 0.00           | 54,000.00       | 0.00            | 54,000.00       | 100.00 |
| 203.203.5121           | POLICE-PENSION      | 512,000.00     | 79,316.79      | 120,708.33     | 391,291.67      | 0.00            | 391,291.67      | 76.42  |
| 203.203.5122           | POLICE-WC           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00            | 0.00            | 0.00   |
| 203.203.5123           | POLICE-HI           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00            | 0.00            | 0.00   |

| Account.....           | Description.....    | Revised app... | MTD Expense... | YTD Expense... | Unexpended.... | Encumbrance... | Unencumbered... | % Remn |
|------------------------|---------------------|----------------|----------------|----------------|----------------|----------------|-----------------|--------|
| 203.203.5125           | POLICE-ME           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| 203.203.5128           | POL-UN              | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| 203.203.5131           | POLICE-WORKERS COMP | 80,000.00      | 3,253.51       | 15,714.97      | 64,285.03      | 0.00           | 64,285.03       | 80.36  |
| 203.203.5141           | POLICE-HEALTH INSUR | 629,300.00     | 83,392.96      | 125,171.55     | 504,128.45     | 0.00           | 504,128.45      | 80.11  |
| 203.203.5143           | HEALTH INSUR DEDUCT | 25,000.00      | 0.00           | 5,782.00       | 19,218.00      | 0.00           | 19,218.00       | 76.87  |
| 203.203.5151           | POLICE-LIFE INSUR   | 3,600.00       | 285.48         | 570.96         | 3,029.04       | 285.48         | 2,743.56        | 76.21  |
| 203.203.5161           | POLICE-MEDICARE     | 38,500.00      | 2,936.33       | 8,740.39       | 29,759.61      | 0.00           | 29,759.61       | 77.30  |
| 203.203.5171           | POLICE-UNEMPLOYMENT | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| Subtotal for object 51 |                     | 4,009,555.00   | 380,528.34     | 906,154.50     | 3,103,400.50   | 285.48         | 3,103,115.02    |        |
| 203.203.5211           | POLICE-POSTAGE      | 933.00         | 50.76          | 50.76          | 882.24         | 388.24         | 494.00          | 52.95  |
| 203.203.5212           | POLICE-UTILITIES    | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| 203.203.5215           | AUDITOR/TREAS FEES/ | 19,666.00      | 0.00           | 0.00           | 19,666.00      | 0.00           | 19,666.00       | 100.00 |
| 203.203.5222           | PRINTING            | 1,500.00       | 172.00         | 172.00         | 1,328.00       | 203.00         | 1,125.00        | 75.00  |
| 203.203.5223           | INSURANCE/BONDS     | 56,619.00      | 0.00           | 0.00           | 56,619.00      | 0.00           | 56,619.00       | 100.00 |
| 203.203.5230           | POLICE-CS           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| 203.203.5233           | CLOTHING/UNIFORMS   | 15,000.00      | 2,590.42       | 4,719.67       | 10,280.33      | 2,780.33       | 7,500.00        | 50.00  |
| 203.203.5237           | OPERATING SUPPLIES  | 17,500.00      | 5,517.49       | 7,243.24       | 10,256.76      | 3,501.04       | 6,755.72        | 38.60  |
| 203.203.5239           | EMPLOYEE PHYSICALS  | 2,000.00       | 180.00         | 180.00         | 1,820.00       | 320.00         | 1,500.00        | 75.00  |
| 203.203.5241           | POLICE-EDUC/TRAININ | 11,000.00      | 0.00           | 915.00         | 10,085.00      | 1,835.00       | 8,250.00        | 75.00  |
| 203.203.5242           | POLICE-TRAVEL/TRANS | 2,500.00       | 0.00           | 0.00           | 2,500.00       | 625.00         | 1,875.00        | 75.00  |
| 203.203.5244           | TUITION REIMB-SGT   | 2,000.00       | 0.00           | 0.00           | 2,000.00       | 0.00           | 2,000.00        | 100.00 |
| 203.203.5245           | TUITION REIMB-PATR  | 2,000.00       | 0.00           | 0.00           | 2,000.00       | 0.00           | 2,000.00        | 100.00 |
| 203.203.5246           | SUBSCRIPTS/MEMBERSH | 1,500.00       | 0.00           | 395.00         | 1,105.00       | 30.00          | 1,075.00        | 71.67  |
| 203.203.5251           | POLICE-SUBS         | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| 203.203.5252           | FACILITY/GROUND MAI | 500.00         | 60.00          | 60.00          | 440.00         | 65.00          | 375.00          | 75.00  |
| 203.203.5253           | POLICE- VEHICLE MAI | 500.00         | 0.00           | 45.46          | 454.54         | 79.54          | 375.00          | 75.00  |
| 203.203.5254           | POLICE-VEHICLE MAIN | 47,000.00      | 3,496.16       | 10,205.65      | 36,794.35      | 1,544.35       | 35,250.00       | 75.00  |
| 203.203.5255           | POLICE-EQUIP MAINT  | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| 203.203.5256           | EQUIP MAINT-OUTSOUR | 2,485.00       | 258.88         | 258.88         | 2,226.12       | 241.12         | 1,985.00        | 79.88  |
| 203.203.5259           | FUEL                | 67,802.00      | 111.52         | 5,820.50       | 61,981.50      | 11,130.00      | 50,851.50       | 75.00  |
| 203.203.5261           | UTILITIES-ELECTRIC  | 6,100.00       | 1,132.08       | 1,432.25       | 4,667.75       | 122.25         | 4,545.50        | 74.52  |
| 203.203.5262           | UTILITIES-GAS       | 2,000.00       | 495.65         | 1,212.62       | 787.38         | 504.35         | 283.03          | 14.15  |
| 203.203.5263           | UTILITIES-WATER/SEW | 1,627.00       | 0.00           | 0.00           | 1,627.00       | 406.00         | 1,221.00        | 75.05  |
| 203.203.5264           | UTILITIES-TELECOM   | 32,030.00      | 2,422.34       | 6,285.64       | 25,744.36      | 1,721.36       | 24,023.00       | 75.00  |
| 203.203.5265           | UTILITIES-MISC      | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| 203.203.5272           | POLICE- EM          | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| 203.203.5274           | POLICE-VM           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| 203.203.5282           | POLICE-GL           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| 203.203.5284           | GRANT DE-OBLIGATION | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| 203.203.5289           | CONTRACTED SERVICES | 91,000.00      | 975.04         | 8,319.35       | 82,680.65      | 14,430.65      | 68,250.00       | 75.00  |
| Subtotal for object 52 |                     | 383,262.00     | 17,462.34      | 47,316.02      | 335,945.98     | 39,927.23      | 296,018.75      |        |
| 203.203.5311           | POLICE-FUEL         | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| 203.203.5334           | POLICE-OS           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| 203.203.5338           | DISPATCH/RADIO FEES | 260,400.00     | 0.00           | 125,292.11     | 135,107.89     | 1,457.89       | 133,650.00      | 51.32  |
| 203.203.5342           | POLICE-CL           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| 203.203.5343           | LICENSES & PERMIT F | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| 203.203.5352           | K-9 EXPENSES        | 1,000.00       | 0.00           | 0.00           | 1,000.00       | 250.00         | 750.00          | 75.00  |
| 203.203.5353           | PRISONER MEDICAL CA | 300.00         | 0.00           | 0.00           | 300.00         | 150.00         | 150.00          | 50.00  |
| 203.203.5361           | DETECTIVE SUPPLIES  | 1,500.00       | 105.15         | 119.76         | 1,380.24       | 380.24         | 1,000.00        | 66.67  |
| 203.203.5362           | EVIDENCE COLLECTION | 3,000.00       | 0.00           | 2,618.62       | 381.38         | 0.00           | 381.38          | 12.71  |



| Account.....                     | Description.....    | Revised app... | MTD Expense... | YTD Expense... | Unexpended.... | Encumbrance... | Unencumbered... | % Remn |
|----------------------------------|---------------------|----------------|----------------|----------------|----------------|----------------|-----------------|--------|
| Subtotal for object 55           |                     |                |                |                |                |                |                 |        |
| 209.209.5641                     | THOROUGHFARE MAINT- | 260,000.00     | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            |        |
| 209.209.5655                     | THOROUGHFARE MAINT- | 90,000.00      | 0.00           | 260,000.00     | 233,400.00     | 26,600.00      | 10.23           |        |
| 209.209.5656                     | ROAD EQUIPMENT      | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            |        |
| Subtotal for object 56           |                     |                |                |                |                |                |                 |        |
|                                  |                     | 350,000.00     | 0.00           | 350,000.00     | 233,400.00     | 116,600.00     |                 |        |
| 209.209.5810 ADVANCES OUT        |                     |                |                |                |                |                |                 |        |
| 209.209.5910                     | TRANSFER TO OTHER F | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            |        |
| 209.209.5911                     | TRANSFER TO OTHER F | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            |        |
| Subtotal for object 59           |                     |                |                |                |                |                |                 |        |
|                                  |                     | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            |        |
| * Dept 209                       |                     |                |                |                |                |                |                 |        |
|                                  |                     | 350,000.00     | 0.00           | 350,000.00     | 233,400.00     | 116,600.00     |                 |        |
| ** 209 PERMISSIVE TAX FUND       |                     |                |                |                |                |                |                 |        |
|                                  |                     | 350,000.00     | 0.00           | 350,000.00     | 233,400.00     | 116,600.00     |                 |        |
| 210.210.5289 OPWC CONSTRUCTION   |                     |                |                |                |                |                |                 |        |
|                                  |                     | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            |        |
| 210.210.5711 LOAN PRINCIPAL      |                     |                |                |                |                |                |                 |        |
| 210.210.5712                     | LOAN INTEREST       | 2,985.00       | 0.00           | 2,985.00       | 0.00           | 2,985.00       | 100.00          |        |
| Subtotal for object 57           |                     |                |                |                |                |                |                 |        |
|                                  |                     | 2,985.00       | 0.00           | 2,985.00       | 0.00           | 2,985.00       |                 |        |
| 210.210.5810 ADVANCES OUT        |                     |                |                |                |                |                |                 |        |
|                                  |                     | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            |        |
| 210.210.5910 TRANSFER TO OTHER F |                     |                |                |                |                |                |                 |        |
|                                  |                     | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            |        |
| * Dept 210                       |                     |                |                |                |                |                |                 |        |
|                                  |                     | 2,985.00       | 0.00           | 2,985.00       | 0.00           | 2,985.00       |                 |        |
| ** 210 OPWC UNION SCHOOLHOUSE RD |                     |                |                |                |                |                |                 |        |
|                                  |                     | 2,985.00       | 0.00           | 2,985.00       | 0.00           | 2,985.00       |                 |        |
| 211.211.5910 TRANSFERS TO OTHER  |                     |                |                |                |                |                |                 |        |
|                                  |                     | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            |        |
| 212.212.5212 ASSESS - UTILITIES  |                     |                |                |                |                |                |                 |        |
| 212.212.5215                     | AUDITOR/TREAS FEES  | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            |        |
| Subtotal for object 52           |                     |                |                |                |                |                |                 |        |
|                                  |                     | 10,000.00      | 0.00           | 10,000.00      | 0.00           | 10,000.00      |                 |        |
| 212.212.5375 TRASH COLLECTION    |                     |                |                |                |                |                |                 |        |
| 212.212.5378                     | STREET LIGHTS       | 120,000.00     | 9,400.00       | 101,200.00     | 9,400.00       | 91,800.00      | 76.50           |        |
| 212.212.5379                     | ESID DISTRIBUTION   | 75,500.00      | 0.00           | 75,500.00      | 0.00           | 75,500.00      | 100.00          |        |
| Subtotal for object 53           |                     |                |                |                |                |                |                 |        |
|                                  |                     | 195,500.00     | 9,400.00       | 176,700.00     | 9,400.00       | 167,300.00     |                 |        |
| 212.212.5810 ADVANCES OUT        |                     |                |                |                |                |                |                 |        |
|                                  |                     | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            |        |
| 212.212.5911 TRANSFER TO OTHER F |                     |                |                |                |                |                |                 |        |
|                                  |                     | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            |        |
| * Dept 212                       |                     |                |                |                |                |                |                 |        |
|                                  |                     | 205,500.00     | 9,400.00       | 186,700.00     | 9,400.00       | 177,300.00     |                 |        |







| Account.....                         | Description.....     | Revised app... | MTD Expense... | YTD Expense... | Unexpended.... | Encumbrance... | Unencumbered... | % Remn |
|--------------------------------------|----------------------|----------------|----------------|----------------|----------------|----------------|-----------------|--------|
| 231.231.5237                         | STATE LAW ENF-OPERA  | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| 231.231.5378                         | STATE LAW ENF-UNDER  | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| 231.231.5512                         | STATE LAW ENF-EQUIP  | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| * Dept 231                           |                      | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            |        |
| ** 231 STATE LAW ENFORCEMENT TRUST   |                      | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            |        |
| 232.232.5237                         | FED EQUIT SHARE-OPE  | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| 232.232.5378                         | FED EQUIT SHARE-UND  | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| 232.232.5512                         | FED EQUIT SHARE-EQU  | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| * Dept 232                           |                      | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            |        |
| ** 232 FEDERAL EQUITABLE SHARING     |                      | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            |        |
| 233.233.5237                         | GANG LAW-OPERATING   | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| 233.233.5378                         | GANG LAW-UNDERCOVER  | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| 233.233.5512                         | GANG LAW-EQUIPMENT   | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| * Dept 233                           |                      | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            |        |
| ** 233 CRIMINAL GANG LAW ENFORCEMENT |                      | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            |        |
| 236.236.5291                         | CARES CORONAVIRUS E  | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| 236.236.5910                         | TRANSFERS TO OTHER   | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| * Dept 236                           |                      | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            |        |
| ** 236 LOCAL CORONAVIRUS RELIEF FUND |                      | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            |        |
| 237.237.5286                         | TECHNICAL ASSIST     | 25,000.00      | 0.00           | 0.00           | 25,000.00      | 25,000.00      | 0.00            | 0.00   |
| 237.237.5287                         | CONSTRUCT INSPECTIO  | 20,000.00      | 0.00           | 0.00           | 20,000.00      | 20,000.00      | 0.00            | 0.00   |
| 237.237.5291                         | ARP FISCAL RECOVERY  | 25,000.00      | 0.00           | 0.00           | 25,000.00      | 2,000.00       | 23,000.00       | 92.00  |
| 237.237.5292                         | ARP - EASTMAN STORM  | 108,700.00     | 0.00           | 0.00           | 108,700.00     | 0.00           | 108,700.00      | 100.00 |
| 237.237.5293                         | ARP - LYNNHAVEN STO  | 98,500.00      | 0.00           | 0.00           | 98,500.00      | 0.00           | 98,500.00       | 100.00 |
| 237.237.5294                         | ARP - MEYER STORMWA  | 56,100.00      | 0.00           | 0.00           | 56,100.00      | 0.00           | 56,100.00       | 100.00 |
| 237.237.5295                         | ARP - W SPGFILD STOR | 379,000.00     | 379,000.00     | 379,000.00     | 0.00           | 0.00           | 0.00            | 0.00   |
| Subtotal for object 52               |                      | 712,300.00     | 379,000.00     | 379,000.00     | 333,300.00     | 47,000.00      | 286,300.00      |        |
| 237.237.5910                         | TRANSFERS OUT        | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| * Dept 237                           |                      | 712,300.00     | 379,000.00     | 379,000.00     | 333,300.00     | 47,000.00      | 286,300.00      |        |
| ** 237 LOCAL FISCAL RECOVERY FUND    |                      | 712,300.00     | 379,000.00     | 379,000.00     | 333,300.00     | 47,000.00      | 286,300.00      |        |

| Account.....                | Description.....    | Revised app... | MTD Expense... | YTD Expense... | Unexpended.... | Encumbrance... | Unencumbered... | % Remn |
|-----------------------------|---------------------|----------------|----------------|----------------|----------------|----------------|-----------------|--------|
| 300.300.5711                | BOND PRINCIPAL      | 97,515.00      | 0.00           | 16,145.41      | 81,369.59      | 0.00           | 81,369.59       | 83.44  |
| 300.300.5712                | BOND INTEREST       | 16,442.00      | 0.00           | 1,481.73       | 14,960.27      | 0.00           | 14,960.27       | 90.99  |
| Subtotal for object 57      |                     | 113,957.00     | 0.00           | 17,627.14      | 96,329.86      | 0.00           | 96,329.86       |        |
| * Dept 300                  |                     | 113,957.00     | 0.00           | 17,627.14      | 96,329.86      | 0.00           | 96,329.86       |        |
| ** 300 G.O. DEBT RETIREMENT |                     | 113,957.00     | 0.00           | 17,627.14      | 96,329.86      | 0.00           | 96,329.86       |        |
| 402.402.5211                | POSTAGE             | 200.00         | 0.00           | 0.00           | 200.00         | 0.00           | 200.00          | 100.00 |
| 402.402.5217                | TAXES-CITY PROPERTY | 154,000.00     | 0.00           | 72,682.67      | 81,317.33      | 0.00           | 81,317.33       | 52.80  |
| 402.402.5221                | ADVERTISING         | 3,930.00       | 0.00           | 0.00           | 3,930.00       | 0.00           | 3,930.00        | 100.00 |
| 402.402.5223                | INSURANCE/BONDS     | 23,002.00      | 0.00           | 0.00           | 23,002.00      | 0.00           | 23,002.00       | 100.00 |
| 402.402.5225                | CLAIMS              | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| 402.402.5252                | FACILITY/GROUND MAI | 250,879.00     | 11,418.59      | 20,909.13      | 229,969.87     | 39,090.87      | 190,879.00      | 76.08  |
| 402.402.5261                | UTILITIES-ELECTRIC  | 204,930.00     | 16,770.97      | 16,770.97      | 188,159.03     | 33,229.03      | 154,930.00      | 75.60  |
| 402.402.5262                | UTILITIES-GAS       | 30,000.00      | 5,834.64       | 10,367.23      | 19,632.77      | 0.00           | 19,632.77       | 65.44  |
| 402.402.5263                | UTILITIES-WATER/SEW | 15,000.00      | 2,984.30       | 2,984.30       | 12,015.70      | 1,015.70       | 11,000.00       | 73.33  |
| 402.402.5264                | UTILITIES-TELECOM   | 8,000.00       | 834.29         | 1,178.56       | 6,821.44       | 821.44         | 6,000.00        | 75.00  |
| 402.402.5265                | UTILITIES-MISC      | 8,650.00       | 466.16         | 1,268.32       | 7,381.68       | 731.68         | 6,650.00        | 76.88  |
| 402.402.5281                | INTERNAL ENGINEERIN | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| 402.402.5283                | ARCHITECT/PLANNING  | 10,000.00      | 440.00         | 440.00         | 9,560.00       | 4,560.00       | 5,000.00        | 50.00  |
| 402.402.5286                | MANAGEMENT FEES     | 40,000.00      | 2,500.00       | 5,000.00       | 35,000.00      | 10,000.00      | 25,000.00       | 62.50  |
| 402.402.5287                | COMMISSIONS DUE     | 50,000.00      | 0.00           | 0.00           | 50,000.00      | 20,000.00      | 30,000.00       | 60.00  |
| 402.402.5289                | CONTRACTED SERVICES | 31,181.00      | 93.50          | 189.38         | 30,991.62      | 0.00           | 30,991.62       | 99.39  |
| Subtotal for object 52      |                     | 829,772.00     | 41,342.45      | 131,790.56     | 697,981.44     | 109,448.72     | 588,532.72      |        |
| 402.402.5316                | LEGAL EXP           | 35,000.00      | 3,726.00       | 4,369.00       | 30,631.00      | 3,631.00       | 27,000.00       | 77.14  |
| 402.402.5515                | TENANT IMPROVEMENTS | 200,000.00     | 0.00           | 0.00           | 200,000.00     | 120,000.00     | 80,000.00       | 40.00  |
| 402.402.5516                | HARSHMN BLDG IMPROV | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| 402.402.5521                | BUILDING IMPROVEMEN | 350,000.00     | 0.00           | 0.00           | 350,000.00     | 30,000.00      | 320,000.00      | 91.43  |
| Subtotal for object 55      |                     | 550,000.00     | 0.00           | 0.00           | 550,000.00     | 150,000.00     | 400,000.00      |        |
| 402.402.5711                | PRINCIPAL PAYMENT   | 5,060,000.00   | 5,060,000.00   | 5,060,000.00   | 0.00           | 0.00           | 0.00            | 0.00   |
| 402.402.5712                | INTEREST PAYMENT    | 45,540.00      | 44,152.01      | 44,152.01      | 1,387.99       | 0.00           | 1,387.99        | 3.05   |
| Subtotal for object 57      |                     | 5,105,540.00   | 5,104,152.01   | 5,104,152.01   | 1,387.99       | 0.00           | 1,387.99        |        |
| 402.402.5911                | TRANSFERS TO OTHER  | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| * Dept 402                  |                     | 6,520,312.00   | 5,149,220.46   | 5,240,311.57   | 1,280,000.43   | 263,079.72     | 1,016,920.71    |        |
| ** 402 WRIGHT POINT FUND    |                     | 6,520,312.00   | 5,149,220.46   | 5,240,311.57   | 1,280,000.43   | 263,079.72     | 1,016,920.71    |        |
| 404.404.5221                | ADVERTISING/MARKETI | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| 404.404.5289                | CONTRACTED SERVICES | 3,000.00       | 0.00           | 0.00           | 3,000.00       | 750.00         | 2,250.00        | 75.00  |
| Subtotal for object 52      |                     | 3,000.00       | 0.00           | 0.00           | 3,000.00       | 750.00         | 2,250.00        |        |
| 404.404.5309                | BUSINESS OUTREACH   | 3,200.00       | 0.00           | 0.00           | 3,200.00       | 0.00           | 3,200.00        | 100.00 |





| Account.....                       | Description.....    | Revised app... | MTD Expense... | YTD Expense... | Unexpended.... | Encumbrance... | Unencumbered... | % Remn |
|------------------------------------|---------------------|----------------|----------------|----------------|----------------|----------------|-----------------|--------|
| 702.702.5317                       | EASTMAN CONSTRUCTIO | 614,323.00     | 0.00           | 0.00           | 614,323.00     | 0.00           | 614,323.00      | 100.00 |
| 702.702.5318                       | OLENTANGY BRIDGE CO | 50,000.00      | 0.00           | 0.00           | 50,000.00      | 0.00           | 50,000.00       | 100.00 |
| Subtotal for object 53             |                     | 704,323.00     | 0.00           | 0.00           | 704,323.00     | 0.00           | 704,323.00      |        |
| 702.702.5511                       | NEEDMORE MILL/FILL  | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| 702.702.5512                       | LYNNHAVEN PLAT ENGI | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| 702.702.5513                       | BURKHARDT/LINDEN SP | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| 702.702.5514                       | NEEDMORE MILL/FILL  | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| 702.702.5515                       | EASTMAN ENGINEERING | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| 702.702.5516                       | INTERNAL ENGINEERIN | 50,000.00      | 0.00           | 0.00           | 50,000.00      | 10,000.00      | 40,000.00       | 80.00  |
| 702.702.5517                       | EXTERNAL ENGINEERIN | 25,000.00      | 0.00           | 0.00           | 25,000.00      | 0.00           | 25,000.00       | 100.00 |
| 702.702.5518                       | HARSHMAN WALL 7YR F | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| 702.702.5519                       | GRANT APPLICATIONS  | 15,000.00      | 0.00           | 0.00           | 15,000.00      | 0.00           | 15,000.00       | 100.00 |
| 702.702.5520                       | SPINNING ROAD AWEND | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| 702.702.5521                       | BURKHARDT WOODMAN R | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| 702.702.5522                       | PEDESTRIAN CROSSING | 170,000.00     | 0.00           | 0.00           | 170,000.00     | 5,100.00       | 164,900.00      | 97.00  |
| 702.702.5523                       | HARSHMAN WALL REPAI | 250,000.00     | 0.00           | 0.00           | 250,000.00     | 4,000.00       | 246,000.00      | 98.40  |
| 702.702.5524                       | LYNNHAVEN MEYER CON | 1,449,650.00   | 0.00           | 0.00           | 1,449,650.00   | 0.00           | 1,449,650.00    | 100.00 |
| 702.702.5525                       | SPINNING (EASTMAN B | 124,500.00     | 0.00           | 0.00           | 124,500.00     | 0.00           | 124,500.00      | 100.00 |
| 702.702.5526                       | SPINNING ROW ACQ (L | 35,000.00      | 0.00           | 0.00           | 35,000.00      | 0.00           | 35,000.00       | 100.00 |
| 702.702.5527                       | WOODMAN PH 4 TID SE | 250,000.00     | 0.00           | 0.00           | 250,000.00     | 0.00           | 250,000.00      | 100.00 |
| 702.702.5529                       | W SPGFID CONSTRUCTI | 383,817.00     | 102,251.00     | 102,251.00     | 281,566.00     | 0.00           | 281,566.00      | 73.36  |
| Subtotal for object 55             |                     | 2,752,967.00   | 102,251.00     | 102,251.00     | 2,650,716.00   | 19,100.00      | 2,631,616.00    |        |
| 702.702.5810                       | ADVANCES OUT        | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| 702.702.5910                       | TRANSFERS TO OTHER  | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| * Dept 702                         |                     |                |                |                |                |                |                 |        |
| ** 702 CAPITAL INFRASTRUCTURE FUND |                     | 3,537,290.00   | 107,600.77     | 109,338.98     | 3,427,951.02   | 24,286.02      | 3,403,665.00    |        |
|                                    |                     | 3,537,290.00   | 107,600.77     | 109,338.98     | 3,427,951.02   | 24,286.02      | 3,403,665.00    |        |
| 703.703.5501                       | CAPITAL-ADMIN       | 150,400.00     | 0.00           | 423.36         | 149,976.64     | 0.00           | 149,976.64      | 99.72  |
| 703.703.5511                       | CAPITAL-FIRE DEPT   | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| 703.703.5521                       | CAPITAL-SERVICE DEP | 60,245.00      | 0.00           | 0.00           | 60,245.00      | 60,232.56      | 12.44           | 0.02   |
| 703.703.5531                       | CAPITAL-POLICE DEPT | 111,000.00     | 0.00           | 0.00           | 111,000.00     | 0.00           | 111,000.00      | 100.00 |
| 703.703.5541                       | PARK PLAYGRND/SAND  | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| 703.703.5585                       | IT UPGRADES         | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| Subtotal for object 55             |                     | 321,645.00     | 0.00           | 423.36         | 321,221.64     | 60,232.56      | 260,989.08      |        |
| 703.703.5711                       | LOAN PAYMT-2021 AMB | 56,500.00      | 0.00           | 28,249.46      | 28,250.54      | 0.00           | 28,250.54       | 50.00  |
| 703.703.5712                       | LOAN PAYMT-FIRE ENG | 111,960.00     | 0.00           | 55,976.45      | 55,983.55      | 0.00           | 55,983.55       | 50.00  |
| 703.703.5713                       | LOAN PAYMT-TURNOUT  | 12,140.00      | 0.00           | 0.00           | 12,140.00      | 0.00           | 12,140.00       | 100.00 |
| 703.703.5714                       | LOAN PAYMT-2022 AMB | 57,610.00      | 57,609.11      | 57,609.11      | 0.89           | 0.00           | 0.89            | 0.00   |
| 703.703.5721                       | LOAN PAYMT-STREET P | 38,600.00      | 0.00           | 19,273.11      | 19,326.89      | 0.00           | 19,326.89       | 50.07  |
| 703.703.5722                       | LOAN PAYMT-ST SWEEP | 47,085.00      | 0.00           | 23,541.34      | 23,543.66      | 0.00           | 23,543.66       | 50.00  |
| 703.703.5723                       | LOAN PAYMT-DUMP TRK | 14,175.00      | 0.00           | 0.00           | 14,175.00      | 0.00           | 14,175.00       | 100.00 |
| 703.703.5724                       | LOAN PAYMT-3 DUMP T | 35,300.00      | 35,295.52      | 35,295.52      | 4.48           | 0.00           | 4.48            | 0.01   |
| 703.703.5725                       | LOAN PAYMT-BACKHOE  | 19,760.00      | 0.00           | 19,755.06      | 4.94           | 0.00           | 4.94            | 0.03   |
| 703.703.5731                       | LOAN PAYMT-CRUISER  | 14,300.00      | 0.00           | 0.00           | 14,300.00      | 0.00           | 14,300.00       | 100.00 |
| 703.703.5741                       | NOTE PAYMT - COF    | 52,900.00      | 0.00           | 0.00           | 52,900.00      | 0.00           | 52,900.00       | 100.00 |

| Account.....                        | Description.....    | Revised app... | MTD Expense... | YTD Expense... | Unexpended.... | Encumbrance... | Unencumbered... | % Remn |
|-------------------------------------|---------------------|----------------|----------------|----------------|----------------|----------------|-----------------|--------|
| 703.703.5745                        | OPWC LOAN E SPGFLD  | 56,250.00      | 0.00           | 0.00           | 56,250.00      | 0.00           | 56,250.00       | 100.00 |
| 703.703.5746                        | OPWC LOAN W SPGFLD  | 11,000.00      | 0.00           | 0.00           | 11,000.00      | 0.00           | 11,000.00       | 100.00 |
| 703.703.5751                        | BOND PAYMT - E SPGF | 69,900.00      | 0.00           | 0.00           | 69,900.00      | 0.00           | 69,900.00       | 100.00 |
| 703.703.5752                        | BOND PAYMT - 2022 P | 100,000.00     | 0.00           | 0.00           | 100,000.00     | 0.00           | 100,000.00      | 100.00 |
| Subtotal for object 57              |                     | 697,480.00     | 92,904.63      | 239,700.05     | 457,779.95     | 0.00           | 457,779.95      |        |
| * Dept 703                          |                     | 1,019,125.00   | 92,904.63      | 240,123.41     | 779,001.59     | 60,232.56      | 718,769.03      |        |
| ** 703 CAPITAL EQUIP IMPROVEMENT FD |                     | 1,019,125.00   | 92,904.63      | 240,123.41     | 779,001.59     | 60,232.56      | 718,769.03      |        |
| 803.803.5310                        | RESERVE ADJUSTMENT  | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| 803.803.5910                        | TRANSFERS TO OTHER  | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| * Dept 803                          |                     | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            |        |
| ** 803 CONTINGENCY RESERVE FUND     |                     | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            |        |
| 804.804.5379                        | RELEASE DEPOSIT     | 25,000.00      | 0.00           | 0.00           | 25,000.00      | 0.00           | 25,000.00       | 100.00 |
| 999.999.5999                        | CAPITAL EQUIPMENT - | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00            | 0.00   |
| ***                                 |                     | 33,731,489.00  | 7,272,662.30   | 10,905,717.34  | 22,825,771.66  | 1,191,194.52   | 21,634,577.14   |        |

# REVENUE REPORT

| Account.....        | Description.....                 | Est Revenue... | MTD Receipts.. | YTD Receipts... | Unc Balance... | % Remain |
|---------------------|----------------------------------|----------------|----------------|-----------------|----------------|----------|
| 100.000.4111        | INCOME TAX                       | 3,400,000.00   | 220,269.99     | 701,803.21      | 2,698,196.79   | 79.36    |
| 100.000.4112        | HOTEL / MOTEL TAX                | 50,000.00      | 1,562.50       | 12,411.66       | 37,588.34      | 75.18    |
| 100.000.4113        | SALE OF PROPERTY                 | 0.00           | 0.00           | 0.00            | 0.00           | 0.00     |
| 100.000.4118        | TAX INCENTIVE FEE                | 0.00           | 0.00           | 0.00            | 0.00           | 0.00     |
| 100.000.4121        | REAL ESTATE, PERSONAL PROP TAX   | 325,000.00     | 0.00           | 136,900.59      | 188,099.41     | 57.88    |
| 100.000.4151        | STATE LOCAL GOVERNMENT           | 115,000.00     | 7,359.59       | 28,147.85       | 86,852.15      | 75.52    |
| 100.000.4152        | LOCAL GOVT REV (COUNTY)          | 315,000.00     | 24,198.49      | 84,752.80       | 230,247.20     | 73.09    |
| 100.000.4153        | ESTATE TAX                       | 0.00           | 0.00           | 0.00            | 0.00           | 0.00     |
| 100.000.4156        | LIQUOR PERMITS                   | 4,646.00       | 0.00           | 0.00            | 4,646.00       | 100.00   |
| 100.000.4157        | CIGARETTE TAX                    | 450.00         | 0.00           | 0.00            | 450.00         | 100.00   |
| 100.000.4158        | HOMESTEAD/ROLLBACK REIMBURSEMENT | 58,000.00      | 0.00           | 0.00            | 58,000.00      | 100.00   |
| 100.000.4160        | CIVIL WEDDING FEES               | 0.00           | 0.00           | 0.00            | 0.00           | 0.00     |
| 100.000.4161        | FRANCHISE FEES                   | 228,717.00     | 54,417.09      | 60,641.82       | 168,075.18     | 73.49    |
| 100.000.4220        | PARK USE PERMITS                 | 1,500.00       | 100.00         | 100.00          | 1,400.00       | 93.33    |
| 100.000.4222        | ZONING PERMIT FEES               | 7,460.00       | 4,966.45       | 7,090.45        | 369.55         | 4.95     |
| 100.000.4223        | REAP APPLICATIONS                | 0.00           | 0.00           | 0.00            | 0.00           | 0.00     |
| 100.000.4225        | PROJ DEVELOP ENGR REVIEW FEES    | 0.00           | 5,000.00       | 7,500.00        | -7,500.00      | 0.00     |
| 100.000.4230        | ZONING FINES                     | 0.00           | 0.00           | 100.00          | -100.00        | 0.00     |
| 100.000.4232        | BOARD OF ZONING APPEALS          | 1,100.00       | 800.00         | 2,050.00        | -950.00        | -86.36   |
| 100.000.4233        | PLANNING COMMISSION              | 400.00         | 1,600.00       | 3,275.00        | -2,875.00      | -718.75  |
| 100.000.4234        | PROPERTY REGISTRATION FEE        | 0.00           | 1,522.00       | 4,611.00        | -4,611.00      | 0.00     |
| 100.000.4345        | WELLFIELD PROTECTION GRANT       | 80,000.00      | 0.00           | 87,915.00       | -7,915.00      | -9.89    |
| 100.000.4347        | SAFE ROUTES TO SCHOOLS GRANT     | 0.00           | 0.00           | 0.00            | 0.00           | 0.00     |
| 100.000.4490        | INCOME TAX RETAINER REFUND       | 0.00           | 0.00           | 0.00            | 0.00           | 0.00     |
| 100.000.4497        | MISCELLANEOUS INCOME             | 30,177.00      | 0.00           | 78.44           | 30,098.56      | 99.74    |
| 100.000.4498        | DONATIONS                        | 0.00           | 0.00           | 0.00            | 0.00           | 0.00     |
| 100.000.4500        | PUBLIC NUISANCE REIMBURSEMENTS   | 20,591.00      | 0.00           | 0.00            | 20,591.00      | 100.00   |
| 100.000.4501        | MISC GRANT REVENUE               | 0.00           | 0.00           | 0.00            | 0.00           | 0.00     |
| 100.000.4505        | ABATEMENT ACTION FEE             | 500.00         | 0.00           | 0.00            | 500.00         | 100.00   |
| 100.000.4540        | PROCEEDS OF NOTES                | 0.00           | 0.00           | 0.00            | 0.00           | 0.00     |
| 100.000.4611        | INTEREST INCOME                  | 7,335.00       | 1,470.40       | 155.36          | 7,179.64       | 97.88    |
| 100.000.4830        | ADVANCES IN                      | 0.00           | 0.00           | 0.00            | 0.00           | 0.00     |
| 100.000.4930        | TRANSFER INTO GENERAL FUND       | 0.00           | 0.00           | 0.00            | 0.00           | 0.00     |
| *DEPT 000           |                                  | 4,645,876.00   | 323,266.51     | 1,137,533.18    | 3,508,342.82   |          |
| ** 100 GENERAL FUND |                                  | 4,645,876.00   | 323,266.51     | 1,137,533.18    | 3,508,342.82   |          |
| 201.000.4121        | REAL ESTATE ADVANCE/SETTLE - COU | 590,000.00     | 0.00           | 227,126.96      | 362,873.04     | 61.50    |
| 201.000.4141        | TANGIBLE PERSONAL PROP (TPP) TAX | 0.00           | 0.00           | 0.00            | 0.00           | 0.00     |
| 201.000.4158        | HOMESTEAD/ROLLBACK REIMBURSEMENT | 91,539.00      | 0.00           | 0.00            | 91,539.00      | 100.00   |
| 201.000.4310        | FIRE/EMS GRANT                   | 68,000.00      | 0.00           | 58,764.09       | 9,235.91       | 13.58    |
| 201.000.4411        | EMS THIRD PARTY BILLING          | 745,000.00     | 77,029.31      | 212,985.28      | 532,014.72     | 71.41    |
| 201.000.4412        | FIRE REPORTS/FINES/FEES          | 0.00           | 0.00           | 0.00            | 0.00           | 0.00     |
| 201.000.4413        | MISCELLANEOUS INCOME             | 10,206.00      | 120.00         | 1,302.38        | 8,903.62       | 87.24    |
| 201.000.4496        | ASSISTANCE FIREFIGHTERS GRANT    | 0.00           | 0.00           | 0.00            | 0.00           | 0.00     |
| 201.000.4497        | MISC GRANT REVENUE               | 0.00           | 0.00           | 0.00            | 0.00           | 0.00     |
| 201.000.4498        | DONATIONS                        | 0.00           | 0.00           | 0.00            | 0.00           | 0.00     |
| 201.000.4540        | BOND PROCEEDS                    | 0.00           | 0.00           | 0.00            | 0.00           | 0.00     |
| 201.000.4565        | PROPERTY DAMAGE REIMBURSEMENT    | 0.00           | 0.00           | 150,045.88      | -150,045.88    | 0.00     |
| 201.000.4611        | INTEREST INCOME                  | 0.00           | 0.00           | 0.00            | 0.00           | 0.00     |
| 201.000.4830        | ADVANCES IN                      | 0.00           | 0.00           | 0.00            | 0.00           | 0.00     |
| 201.000.4920        | TRANSFER FROM POLICE/FIRE INC TA | 1,785,704.00   | 214,109.69     | 303,381.27      | 1,482,322.73   | 83.01    |
| 201.000.4930        | TRANSFER FROM GENERAL FUND       | 0.00           | 0.00           | 0.00            | 0.00           | 0.00     |

| Account.....       | Description.....                 | Est Revenue... | MTD Receipts.. | YTD Receipts... | Unc Balance... | % Remain |
|--------------------|----------------------------------|----------------|----------------|-----------------|----------------|----------|
| 201.000.4940       | TRANSFER FROM OTHER FUNDS        | 0.00           | 0.00           | 0.00            | 0.00           | 0.00     |
| *DEPT 000          |                                  |                |                |                 |                |          |
| ** 201 FIRE FUND   |                                  |                |                |                 |                |          |
| 202.000.4154       | GASOLINE TAXES                   | 480,756.00     | 37,422.29      | 113,520.27      | 367,235.73     | 76.39    |
| 202.000.4155       | MOTOR VEH TAXES                  | 0.00           | 0.00           | 0.00            | 0.00           | 0.00     |
| 202.000.4222       | SERVICE PERMIT FEES              | 0.00           | 425.00         | 1,050.00        | -1,050.00      | 0.00     |
| 202.000.4497       | MISC GRANT REVENUE               | 0.00           | 0.00           | 0.00            | 0.00           | 0.00     |
| 202.000.4498       | STREET-MISCELLANEOUS INCOME      | 13,921.00      | 304.00         | 598.84          | 13,322.16      | 95.70    |
| 202.000.4500       | PUBLIC NUISANCE REIMBURSEMENTS   | 0.00           | 0.00           | 0.00            | 0.00           | 0.00     |
| 202.000.4550       | ARRA FUNDING                     | 0.00           | 0.00           | 0.00            | 0.00           | 0.00     |
| 202.000.4551       | WPCLF                            | 0.00           | 0.00           | 0.00            | 0.00           | 0.00     |
| 202.000.4562       | WATER MAIN BREAK CONTRACT        | 0.00           | 0.00           | 0.00            | 0.00           | 0.00     |
| 202.000.4565       | PROPERTY DAMAGE REIMBURSEMENT    | 50,000.00      | 0.00           | 254.45          | 49,745.55      | 99.49    |
| 202.000.4611       | INTEREST INCOME                  | 0.00           | 0.00           | 0.00            | 0.00           | 0.00     |
| 202.000.4650       | CURB DRIVEWAY ASSESSMENTS        | 30,471.00      | 0.00           | 0.00            | 30,471.00      | 100.00   |
| 202.000.4830       | ADVANCES IN                      | 0.00           | 0.00           | 0.00            | 0.00           | 0.00     |
| 202.000.4930       | TRANSFER FROM GENERAL FUND       | 1,366,448.00   | 0.00           | 340,000.00      | 1,026,448.00   | 75.12    |
| 202.000.4940       | TRANSFER FROM OTHER FUNDS        | 0.00           | 0.00           | 0.00            | 0.00           | 0.00     |
| 202.000.4980       | RESERVED                         | 0.00           | 0.00           | 0.00            | 0.00           | 0.00     |
| *DEPT 000          |                                  |                |                |                 |                |          |
| ** 202 STREET FUND |                                  |                |                |                 |                |          |
| 203.000.4121       | REAL ESTATE TAX, POLICE LEVY     | 1,040,000.00   | 0.00           | 395,345.78      | 644,654.22     | 61.99    |
| 203.000.4141       | TANGIBLE PERSONAL PROP (TPP) TAX | 0.00           | 0.00           | 0.00            | 0.00           | 0.00     |
| 203.000.4158       | HOMESTEAD/ROLLBACK REIMBURSEMENT | 184,002.00     | 0.00           | 0.00            | 184,002.00     | 100.00   |
| 203.000.4340       | COST RECOVERY FEE DRUG/ALCOHOL   | 0.00           | 0.00           | 0.00            | 0.00           | 0.00     |
| 203.000.4350       | BULLET VEST GRANT                | 3,658.00       | 0.00           | 0.00            | 3,658.00       | 100.00   |
| 203.000.4481       | POLICE REPORTS                   | 261.00         | 6.16           | 53.86           | 207.14         | 79.36    |
| 203.000.4483       | DUI FINES/FEES                   | 500.00         | 27.00          | 175.00          | 325.00         | 65.00    |
| 203.000.4484       | ADMIN TICKETING FEES             | 0.00           | 0.00           | 0.00            | 0.00           | 0.00     |
| 203.000.4485       | ORDNANCE FINES                   | 16,000.00      | 1,175.00       | 3,779.00        | 12,221.00      | 76.38    |
| 203.000.4486       | POLICE - MISCELLANEOUS INC       | 4,272.00       | 9,936.06       | 14,496.06       | -10,224.06     | -239.33  |
| 203.000.4488       | PRISONER TRANSPORT               | 12,445.00      | 911.68         | 3,733.30        | 8,711.70       | 70.00    |
| 203.000.4490       | TOW CONTRACT REBATE              | 20,000.00      | 0.00           | 0.00            | 20,000.00      | 100.00   |
| 203.000.4498       | DONATIONS                        | 100.00         | 0.00           | 0.00            | 100.00         | 100.00   |
| 203.000.4501       | MISC GRANTS                      | 0.00           | 700.27         | 1,248.20        | -1,248.20      | 0.00     |
| 203.000.4510       | PROF TRNG REIMBURSEMENT          | 0.00           | 0.00           | 11,899.56       | -11,899.56     | 0.00     |
| 203.000.4520       | POLICE - OH DRUG USE PREVENTION  | 0.00           | 0.00           | 0.00            | 0.00           | 0.00     |
| 203.000.4521       | SCHOOL RESOURCE OFFICER          | 0.00           | 0.00           | 0.00            | 0.00           | 0.00     |
| 203.000.4525       | K-9 DONATIONS                    | 0.00           | 0.00           | 0.00            | 0.00           | 0.00     |
| 203.000.4526       | OFFICER BODY CAMERAS             | 0.00           | 0.00           | 0.00            | 0.00           | 0.00     |
| 203.000.4527       | ODPS IDEP/STEP GRANT             | 5,337.00       | 0.00           | 0.00            | 5,337.00       | 100.00   |
| 203.000.4565       | PROPERTY DAMAGE REIMBURSEMENT    | 0.00           | 918.56         | 918.56          | -918.56        | 0.00     |
| 203.000.4920       | TRANSFER FROM THE FIRE/POLICE IN | 3,216,600.00   | 105,525.31     | 714,493.44      | 2,502,106.56   | 77.79    |
| 203.000.4930       | TRANSFER FROM GENERAL FUND       | 171,392.00     | 0.00           | 40,000.00       | 131,392.00     | 76.66    |
| 203.000.4940       | TRANSFER FROM OTHER FUNDS        | 0.00           | 0.00           | 0.00            | 0.00           | 0.00     |
| *DEPT 000          |                                  |                |                |                 |                |          |
|                    |                                  | 4,674,567.00   | 119,200.04     | 1,186,142.76    | 3,488,424.24   |          |

| Account.....                     | Description.....                 | Est Revenue... | MTD Receipts.. | YTD Receipts.. | Unc Balance... | % Remain |
|----------------------------------|----------------------------------|----------------|----------------|----------------|----------------|----------|
| ** 203 POLICE FUND               |                                  | 4,674,567.00   | 119,200.04     | 1,186,142.76   | 3,488,424.24   |          |
| 205.000.4154                     | STATE HWY - GAS EXCISE TAXES     | 97,114.00      | 7,561.48       | 22,937.71      | 74,176.29      | 76.38    |
| 205.000.4155                     | STATE HWY-MV REGISTRATION FEE    | 11,855.00      | 922.89         | 2,922.22       | 8,932.78       | 75.35    |
| 205.000.4565                     | PROPERTY DAMAGE CLAIM REIMBURSEM | 0.00           | 0.00           | 0.00           | 0.00           | 0.00     |
| 205.000.4611                     | INTEREST INCOME                  | 0.00           | 0.00           | 0.00           | 0.00           | 0.00     |
| *DEPT 000                        |                                  | 108,969.00     | 8,484.37       | 25,859.93      | 83,109.07      |          |
| ** 205 STATE HIGHWAY FUND        |                                  | 108,969.00     | 8,484.37       | 25,859.93      | 83,109.07      |          |
| 209.000.4162                     | PERMISSIVE TAX-STATE             | 300,000.00     | 21,550.00      | 67,665.29      | 232,334.71     | 77.44    |
| 209.000.4165                     | PERMISSIVE TAX-COUNTY            | 90,000.00      | 0.00           | 0.00           | 90,000.00      | 100.00   |
| 209.000.4170                     | PERMISSIVE-COUNTY REIMBURSEMENT  | 0.00           | 0.00           | 0.00           | 0.00           | 0.00     |
| 209.000.4497                     | PERMISSIVE TAX-MISC REVENUE      | 0.00           | 0.00           | 0.00           | 0.00           | 0.00     |
| 209.000.4830                     | ADVANCES IN                      | 0.00           | 0.00           | 0.00           | 0.00           | 0.00     |
| 209.000.4930                     | TRANSFER FROM GENERAL FUND       | 0.00           | 0.00           | 0.00           | 0.00           | 0.00     |
| 209.000.4940                     | TRANSFER FROM OTHER FUNDS        | 0.00           | 0.00           | 0.00           | 0.00           | 0.00     |
| *DEPT 000                        |                                  | 390,000.00     | 21,550.00      | 67,665.29      | 322,334.71     |          |
| ** 209 PERMISSIVE TAX FUND       |                                  | 390,000.00     | 21,550.00      | 67,665.29      | 322,334.71     |          |
| 210.000.4530                     | OPWC FUNDS STATE                 | 0.00           | 0.00           | 0.00           | 0.00           | 0.00     |
| 210.000.4830                     | ADVANCES IN                      | 0.00           | 0.00           | 0.00           | 0.00           | 0.00     |
| 210.000.4920                     | LOCAL SHARE OTHER FUNDS          | 0.00           | 0.00           | 0.00           | 0.00           | 0.00     |
| 210.000.4930                     | TRANSFER FROM GENERAL FUND       | 0.00           | 0.00           | 0.00           | 0.00           | 0.00     |
| *DEPT 000                        |                                  | 0.00           | 0.00           | 0.00           | 0.00           |          |
| ** 210 OPWC UNION SCHOOLHOUSE RD |                                  | 0.00           | 0.00           | 0.00           | 0.00           |          |
| 211.000.4150                     | FEMA GRANT - FEDERAL             | 0.00           | 1,104.24       | 1,104.24       | -1,104.24      | 0.00     |
| 211.000.4160                     | FEMA GRANT - STATE               | 0.00           | 0.00           | 0.00           | 0.00           | 0.00     |
| *DEPT 000                        |                                  | 0.00           | 1,104.24       | 1,104.24       | -1,104.24      |          |
| ** 211 FEMA GRANT FUND           |                                  | 0.00           | 1,104.24       | 1,104.24       | -1,104.24      |          |
| 212.000.4497                     | MISCELLANEOUS INCOME             | 0.00           | 0.00           | 4.15           | -4.15          | 0.00     |
| 212.000.4636                     | LIGHTING ASSESSMENTS             | 123,000.00     | 0.00           | 0.00           | 123,000.00     | 100.00   |
| 212.000.4637                     | TRASH ASSESSMENT                 | 0.00           | 0.00           | 0.00           | 0.00           | 0.00     |
| 212.000.4639                     | ESID ASSESSMENT                  | 79,115.00      | 0.00           | 0.00           | 79,115.00      | 100.00   |
| 212.000.4830                     | ADVANCES IN                      | 0.00           | 0.00           | 50,000.00      | -50,000.00     | 0.00     |
| 212.000.4930                     | TRANSFER FROM GENERAL FUND       | 0.00           | 0.00           | 0.00           | 0.00           | 0.00     |
| *DEPT 000                        |                                  | 202,115.00     | 0.00           | 50,004.15      | 152,110.85     |          |
| ** 212 GENERAL ASSESSMENTS       |                                  | 202,115.00     | 0.00           | 50,004.15      | 152,110.85     |          |
| 213.000.4530                     | OPWC FUNDS STATE                 | 348,840.00     | 0.00           | 0.00           | 348,840.00     | 100.00   |
| 213.000.4830                     | ADVANCES IN                      | 0.00           | 0.00           | 0.00           | 0.00           | 0.00     |
| 213.000.4920                     | LOCAL SHARE OTHER FUNDS          | 0.00           | 0.00           | 0.00           | 0.00           | 0.00     |
| 213.000.4930                     | TRANSFER FROM GENERAL FUND       | 10,700.00      | 0.00           | 0.00           | 10,700.00      | 100.00   |

Account..... Description..... Est Revenue... MTD Receipts.. YTD Receipts.. Unc Balance... % Remain

|                                      |                                  |            |       |       |            |        |
|--------------------------------------|----------------------------------|------------|-------|-------|------------|--------|
| *DEPT 000                            |                                  | 359,540.00 | 0.00  | 0.00  | 359,540.00 |        |
| ** 213 OPWC VALLEY PIKE FUND         |                                  | 359,540.00 | 0.00  | 0.00  | 359,540.00 |        |
| 214.000.4530                         | OPWC FUNDS STATE                 | 499,999.00 | 0.00  | 0.00  | 499,999.00 | 100.00 |
| 214.000.4830                         | ADVANCES IN                      | 0.00       | 0.00  | 0.00  | 0.00       | 0.00   |
| 214.000.4940                         | TRANSFER FROM OTHER FUNDS        | 18,538.00  | 0.00  | 0.00  | 18,538.00  | 100.00 |
| *DEPT 000                            |                                  | 518,537.00 | 0.00  | 0.00  | 518,537.00 |        |
| ** 214 OPWC NEEDMORE RD FUND         |                                  | 518,537.00 | 0.00  | 0.00  | 518,537.00 |        |
| 215.000.4340                         | LOCAL ED/GE GRANTS               | 0.00       | 0.00  | 0.00  | 0.00       | 0.00   |
| 215.000.4342                         | RTA GRANTS                       | 0.00       | 0.00  | 0.00  | 0.00       | 0.00   |
| 215.000.4344                         | OTHER GRANTS                     | 0.00       | 0.00  | 0.00  | 0.00       | 0.00   |
| 215.000.4350                         | GOVERNMENT EQUITY DISTRIBUTION   | 0.00       | 0.00  | 0.00  | 0.00       | 0.00   |
| 215.000.4360                         | ENTERPRISE ZONE PAYMENTS         | 0.00       | 0.00  | 0.00  | 0.00       | 0.00   |
| 215.000.4830                         | ADVANCES IN                      | 0.00       | 0.00  | 0.00  | 0.00       | 0.00   |
| 215.000.4930                         | TRANSFERS FROM OTHER FUNDS       | 0.00       | 0.00  | 0.00  | 0.00       | 0.00   |
| *DEPT 000                            |                                  | 0.00       | 0.00  | 0.00  | 0.00       |        |
| ** 215 COUNTY GRANT FUND             |                                  | 0.00       | 0.00  | 0.00  | 0.00       |        |
| 216.000.4158                         | HOMESTEAD/ROLLBACK REIMBURSEMENT | 2,800.00   | 0.00  | 0.00  | 2,800.00   | 100.00 |
| 216.000.4490                         | CONSTRUCTION ESCROW DEPOSIT      | 0.00       | 0.00  | 0.00  | 0.00       | 0.00   |
| 216.000.4611                         | INTEREST INCOME                  | 0.00       | 0.00  | 0.00  | 0.00       | 0.00   |
| 216.000.4823                         | TIF REVENUE                      | 50,000.00  | 0.00  | 0.00  | 50,000.00  | 100.00 |
| 216.000.4930                         | TRANSFERS FROM OTHER FUNDS       | 0.00       | 0.00  | 0.00  | 0.00       | 0.00   |
| *DEPT 000                            |                                  | 52,800.00  | 0.00  | 0.00  | 52,800.00  |        |
| ** 216 BRANTWOOD II SUBDIVISION FUND |                                  | 52,800.00  | 0.00  | 0.00  | 52,800.00  |        |
| 217.000.4930                         | TRANSFERS FROM OTHER FUNDS       | 0.00       | 0.00  | 0.00  | 0.00       | 0.00   |
| 218.000.4158                         | HOMESTEAD/ROLLBACK REIMBURSEMENT | 6,000.00   | 0.00  | 0.00  | 6,000.00   | 100.00 |
| 218.000.4483                         | SALE OF PROPERTY                 | 0.00       | 0.00  | 0.00  | 0.00       | 0.00   |
| 218.000.4490                         | CONSTRUCTION ESCROW DEPOSIT      | 0.00       | 0.00  | 0.00  | 0.00       | 0.00   |
| 218.000.4611                         | INTEREST INCOME                  | 0.00       | 0.00  | 0.00  | 0.00       | 0.00   |
| 218.000.4823                         | TIF REVENUE                      | 90,000.00  | 0.00  | 0.00  | 90,000.00  | 100.00 |
| 218.000.4930                         | TRANSFERS FROM OTHER FUNDS       | 0.00       | 0.00  | 0.00  | 0.00       | 0.00   |
| *DEPT 000                            |                                  | 96,000.00  | 0.00  | 0.00  | 96,000.00  |        |
| ** 218 BRANTWOOD SUBDIVISION FUND    |                                  | 96,000.00  | 0.00  | 0.00  | 96,000.00  |        |
| 219.000.4384                         | FUNDS RCVD - OTHER AGENCIES      | 0.00       | 0.00  | 0.00  | 0.00       | 0.00   |
| 219.000.4483                         | DRUG LAW SEIZED ASSETS-STATE     | 0.00       | 0.00  | 0.00  | 0.00       | 0.00   |
| 219.000.4484                         | DRUG LAW SEIZED ASSETS-FED       | 0.00       | 0.00  | 0.00  | 0.00       | 0.00   |
| 219.000.4485                         | DRUG LAW - FINES/FEES            | 0.00       | 30.00 | 55.00 | -55.00     | 0.00   |
| 219.000.4611                         | OTHER INCOME                     | 0.00       | 0.00  | 0.00  | 0.00       | 0.00   |

| Account.....                         | Description.....                 | Est Revenue... | MTD Receipts.. | YTD Receipts.. | Unc Balance... | % Remain |
|--------------------------------------|----------------------------------|----------------|----------------|----------------|----------------|----------|
| *DEPT 000                            |                                  | 0.00           | 30.00          | 55.00          | -55.00         |          |
| ** 219 DRUG LAW FUND                 |                                  | 0.00           | 30.00          | 55.00          | -55.00         |          |
| 223.000.4330                         | CDBG GRANTS                      | 0.00           | 0.00           | 0.00           | 0.00           | 0.00     |
| 223.000.4340                         | WELLFIELD GRANT                  | 0.00           | 0.00           | 0.00           | 0.00           | 0.00     |
| 223.000.4830                         | ADVANCES IN                      | 0.00           | 0.00           | 0.00           | 0.00           | 0.00     |
| 223.000.4930                         | TRANSFERS IN                     | 0.00           | 0.00           | 0.00           | 0.00           | 0.00     |
| *DEPT 000                            |                                  | 0.00           | 0.00           | 0.00           | 0.00           |          |
| ** 223 CDBG PROJECTS                 |                                  | 0.00           | 0.00           | 0.00           | 0.00           |          |
| 224.000.4330                         | OHIO DEPT. OF NATURAL RESOURCES  | 0.00           | 0.00           | 0.00           | 0.00           | 0.00     |
| 224.000.4930                         | TRANSFER FROM OTHER FUNDS        | 0.00           | 0.00           | 0.00           | 0.00           | 0.00     |
| *DEPT 000                            |                                  | 0.00           | 0.00           | 0.00           | 0.00           |          |
| ** 224 NATURE WORKS GRANT FUND       |                                  | 0.00           | 0.00           | 0.00           | 0.00           |          |
| 228.000.4310                         | GRANT INCOME                     | 0.00           | 0.00           | 0.00           | 0.00           | 0.00     |
| 228.000.4430                         | VICTIMS OF CRIME ACT (VOCA) GRAN | 17,000.00      | 3,425.91       | 5,417.73       | 11,582.27      | 68.13    |
| 228.000.4450                         | STATE VICTIM ASSISTANCE ACT(SVAA | 0.00           | 0.00           | 0.00           | 0.00           | 0.00     |
| 228.000.4497                         | MISCELLANEOUS INCOME             | 0.00           | 0.00           | 0.00           | 0.00           | 0.00     |
| 228.000.4930                         | TRANSFER FROM GENERAL FUND       | 49,150.00      | 0.00           | 12,000.00      | 37,150.00      | 75.58    |
| *DEPT 000                            |                                  | 66,150.00      | 3,425.91       | 17,417.73      | 48,732.27      |          |
| ** 228 VOCA/SVAA                     |                                  | 66,150.00      | 3,425.91       | 17,417.73      | 48,732.27      |          |
| 229.000.4330                         | TEA GRANTS                       | 0.00           | 0.00           | 0.00           | 0.00           | 0.00     |
| 229.000.4930                         | TRANSFER FROM OTHER FUNDS        | 0.00           | 0.00           | 0.00           | 0.00           | 0.00     |
| *DEPT 000                            |                                  | 0.00           | 0.00           | 0.00           | 0.00           |          |
| ** 229 FEDERAL TRANSPORTATION GRANTS |                                  | 0.00           | 0.00           | 0.00           | 0.00           |          |
| 231.000.4374                         | FED EQUITABLE SHARE DISBURSEMENT | 0.00           | 0.00           | 0.00           | 0.00           | 0.00     |
| 231.000.4384                         | FUNDS RCVD - OTHER AGENCIES      | 0.00           | 0.00           | 0.00           | 0.00           | 0.00     |
| *DEPT 000                            |                                  | 0.00           | 0.00           | 0.00           | 0.00           |          |
| ** 231 STATE LAW ENFORCEMENT TRUST   |                                  | 0.00           | 0.00           | 0.00           | 0.00           |          |
| 232.000.4374                         | FED EQUITABLE SHARE DISBURSEMENT | 0.00           | 0.00           | 0.00           | 0.00           | 0.00     |
| 232.000.4384                         | FUNDS RCVD - OTHER AGENCIES      | 0.00           | 0.00           | 0.00           | 0.00           | 0.00     |
| 232.000.4611                         | INTEREST INCOME                  | 0.00           | 0.00           | 0.00           | 0.00           | 0.00     |
| *DEPT 000                            |                                  | 0.00           | 0.00           | 0.00           | 0.00           |          |
| ** 232 FEDERAL EQUITABLE SHARING     |                                  | 0.00           | 0.00           | 0.00           | 0.00           |          |
| 233.000.4374                         | FED EQUITABLE SHARE DISBURSEMENT | 0.00           | 0.00           | 0.00           | 0.00           | 0.00     |
| 233.000.4384                         | FUNDS RCVD - OTHER AGENCIES      | 0.00           | 0.00           | 0.00           | 0.00           | 0.00     |

Account..... Description..... Est Revenue... MTD Receipts.. YTD Receipts... Unc Balance... % Remain

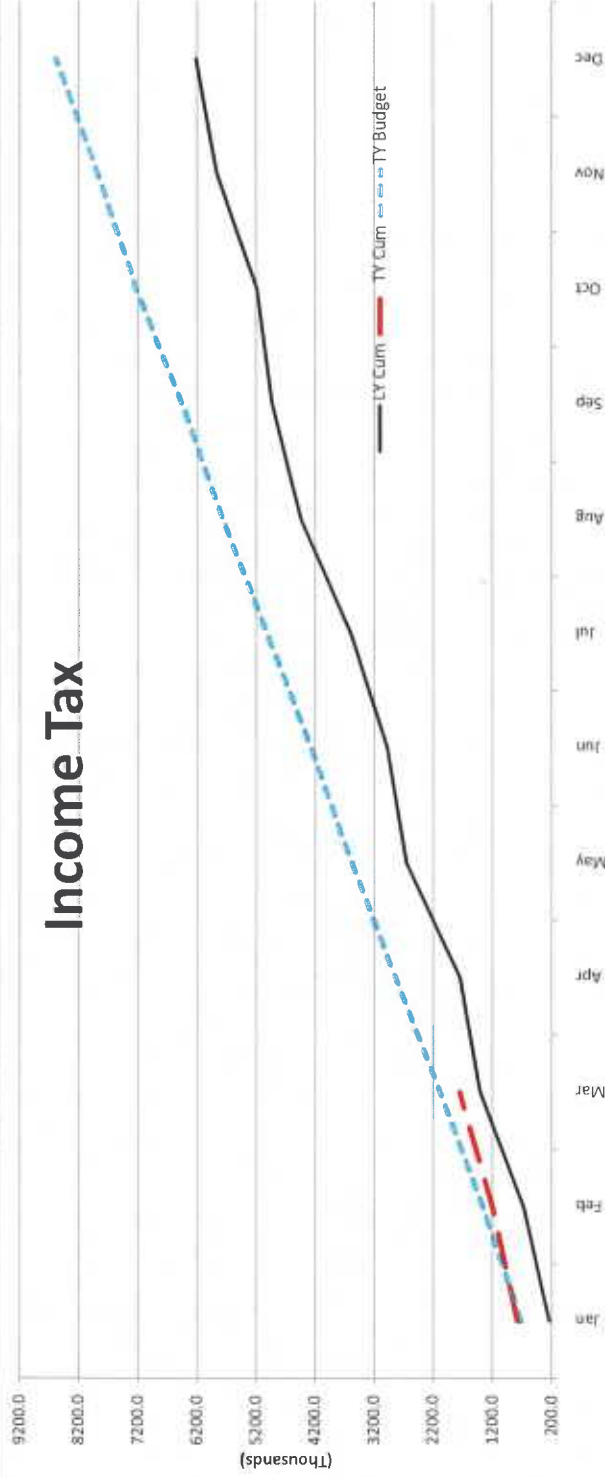
|                                      |                                 |              |              |              |              |       |
|--------------------------------------|---------------------------------|--------------|--------------|--------------|--------------|-------|
| *DEPT 000                            |                                 | 0.00         | 0.00         | 0.00         | 0.00         |       |
| ** 233 CRIMINAL GANG LAW ENFORCEMENT |                                 | 0.00         | 0.00         | 0.00         | 0.00         |       |
| 236.000.4500                         | HB 481/614 CARES ACT FUNDS RCVD | 0.00         | 0.00         | 0.00         | 0.00         | 0.00  |
| 237.000.4500                         | ARP ACT FUNDS RCVD              | 1,316,354.00 | 5,255.17     | 5,255.17     | 1,311,098.83 | 99.60 |
| 300.000.4930                         | TRANSFER FROM GENERAL FUND      | 0.00         | 0.00         | 0.00         | 0.00         | 0.00  |
| 300.000.4940                         | TRANSFER FROM FIRE FUND         | 0.00         | 0.00         | 0.00         | 0.00         | 0.00  |
| 300.000.5930                         |                                 | 0.00         | 0.00         | 0.00         | 0.00         | 0.00  |
| *DEPT 000                            |                                 | 0.00         | 0.00         | 0.00         | 0.00         |       |
| ** 300 G.O. DEBT RETIREMENT          |                                 | 0.00         | 0.00         | 0.00         | 0.00         |       |
| 402.000.4101                         | RENTAL INCOME                   | 600,000.00   | 43,235.56    | 80,256.52    | 519,743.48   | 86.62 |
| 402.000.4401                         | TENANT DEPOSITS                 | 0.00         | 0.00         | 0.00         | 0.00         | 0.00  |
| 402.000.4497                         | MISCELLANEOUS INCOME            | 0.00         | 0.00         | 2,181.00     | -2,181.00    | 0.00  |
| 402.000.4540                         | BOND NOTE PROCEEDS              | 4,900,000.00 | 4,900,000.00 | 4,900,000.00 | 0.00         | 0.00  |
| 402.000.4545                         | NOTE PREMIUM RECEIVED           | 0.00         | 122.00       | 122.00       | -122.00      | 0.00  |
| 402.000.4930                         | TRANSFERS FROM OTHER FUNDS      | 670,312.00   | 170,000.00   | 170,000.00   | 500,312.00   | 74.64 |
| *DEPT 000                            |                                 | 6,170,312.00 | 5,113,357.56 | 5,152,559.52 | 1,017,752.48 |       |
| ** 402 WRIGHT POINT FUND             |                                 | 6,170,312.00 | 5,113,357.56 | 5,152,559.52 | 1,017,752.48 |       |
| 404.000.4101                         | RENTS/LEASES                    | 0.00         | 0.00         | 0.00         | 0.00         | 0.00  |
| 404.000.4510                         | MISCELLANEOUS GRANT REVENUE     | 0.00         | 0.00         | 0.00         | 0.00         | 0.00  |
| 404.000.4530                         | GRANT REVENUE STATE             | 0.00         | 0.00         | 0.00         | 0.00         | 0.00  |
| 404.000.4830                         | ADVANCES IN                     | 0.00         | 0.00         | 0.00         | 0.00         | 0.00  |
| 404.000.4930                         | TRANSFERS FROM OTHER FUNDS      | 0.00         | 0.00         | 0.00         | 0.00         | 0.00  |
| *DEPT 000                            |                                 | 0.00         | 0.00         | 0.00         | 0.00         |       |
| ** 404 EINTRACHT FUND                |                                 | 0.00         | 0.00         | 0.00         | 0.00         |       |
| 405.000.4113                         | SALE OF PROPERTY                | 0.00         | 0.00         | 0.00         | 0.00         | 0.00  |
| 405.000.4500                         | COAF PHASE GRANT                | 0.00         | 0.00         | 0.00         | 0.00         | 0.00  |
| 405.000.4830                         | ED/GE GRANT                     | 0.00         | 0.00         | 0.00         | 0.00         | 0.00  |
| 405.000.4930                         | TRANSFERS FROM OTHER FUNDS      | 0.00         | 0.00         | 0.00         | 0.00         | 0.00  |
| *DEPT 000                            |                                 | 0.00         | 0.00         | 0.00         | 0.00         |       |
| ** 405 DANIS LAND ACQUISITION        |                                 | 0.00         | 0.00         | 0.00         | 0.00         |       |
| 406.000.4101                         | RENTAL INCOME                   | 6,600.00     | 550.00       | 1,650.00     | 4,950.00     | 75.00 |
| 406.000.4113                         | SALE OF PROPERTY                | 0.00         | 0.00         | 0.00         | 0.00         | 0.00  |
| 406.000.4401                         | DEPOSITS                        | 0.00         | 0.00         | 0.00         | 0.00         | 0.00  |
| 406.000.4498                         | COF-MISC INCOME                 | 0.00         | 0.00         | 0.00         | 0.00         | 0.00  |
| 406.000.4540                         | PROCEEDS OF NOTES               | 0.00         | 0.00         | 0.00         | 0.00         | 0.00  |
| 406.000.4823                         | COF-T.I.F. REVENUE              | 0.00         | 0.00         | 0.00         | 0.00         | 0.00  |
| 406.000.4830                         | ED/GE GRANT                     | 0.00         | 0.00         | 0.00         | 0.00         | 0.00  |

| Account.....                         | Description.....            | Est Revenue... | MTD Receipts.. | YTD Receipts.. | Unc Balance... | % Remain |
|--------------------------------------|-----------------------------|----------------|----------------|----------------|----------------|----------|
| 406.000.4930                         | TRANSFER FROM OTHER FUNDS   | 0.00           | 0.00           | 0.00           | 0.00           | 0.00     |
| *DEPT 000                            |                             |                |                |                |                |          |
| ** 406 CENTER OF FLIGHT              |                             | 6,600.00       | 550.00         | 1,650.00       | 4,950.00       |          |
| 407.000.4498                         | MISC INCOME                 | 0.00           | 0.00           | 0.00           | 0.00           | 0.00     |
| 407.000.4823                         | TIF REVENUE                 | 43,850.00      | 0.00           | 0.00           | 43,850.00      | 100.00   |
| 407.000.4930                         | TRANSFERS FROM OTHER FUNDS  | 0.00           | 0.00           | 0.00           | 0.00           | 0.00     |
| *DEPT 000                            |                             |                |                |                |                |          |
| ** 407 AIRWAY/WOODMAN IMPROVEMT FUND |                             | 43,850.00      | 0.00           | 0.00           | 43,850.00      |          |
| 410.000.4111                         | 1.5% INCOME TAX             | 5,200,000.00   | 330,405.00     | 1,052,704.84   | 4,147,295.16   | 79.76    |
| 410.000.4490                         | INCOME TAX RETAINER REFUND  | 10,304.00      | 0.00           | 0.00           | 10,304.00      | 100.00   |
| *DEPT 000                            |                             |                |                |                |                |          |
| ** 410 FIRE/EMS & POLICE INCOME TAX  |                             | 5,210,304.00   | 330,405.00     | 1,052,704.84   | 4,157,599.16   |          |
| 600.000.4490                         | TRANSFERS IN                | 8,500.00       | 1,000.00       | 3,500.00       | 5,000.00       | 58.82    |
| 600.000.4492                         | CEMETERY BURIAL FEES        | 0.00           | 100.00         | 500.00         | -500.00        | 0.00     |
| 600.000.4493                         | CEMETERY LOT SALES          | 0.00           | 0.00           | 0.00           | 0.00           | 0.00     |
| *DEPT 000                            |                             |                |                |                |                |          |
| ** 600 CEMETERY FUND                 |                             | 8,500.00       | 1,100.00       | 4,000.00       | 4,500.00       |          |
| 702.000.4154                         | GASOLINE TAXES              | 717,345.00     | 55,836.02      | 169,378.21     | 547,966.79     | 76.39    |
| 702.000.4155                         | MOTOR VEH TAX               | 146,250.00     | 11,382.30      | 36,040.76      | 110,209.24     | 75.36    |
| 702.000.4490                         | TRANSFERS IN                | 0.00           | 0.00           | 0.00           | 0.00           | 0.00     |
| 702.000.4500                         | IGA WPAFB E SPRINGFIELD     | 0.00           | 500,000.00     | 500,000.00     | -500,000.00    | 0.00     |
| 702.000.4501                         | MISC GRANTS                 | 0.00           | 0.00           | 0.00           | 0.00           | 0.00     |
| 702.000.4502                         | OPWC GRANT EASTMAN          | 332,743.00     | 0.00           | 0.00           | 332,743.00     | 100.00   |
| 702.000.4503                         | OPWC GRANT LYNNHAVEN MEYER  | 349,652.00     | 0.00           | 0.00           | 349,652.00     | 100.00   |
| 702.000.4505                         | OPWC GRANT OLENTANGY BRIDGE | 0.00           | 0.00           | 0.00           | 0.00           | 0.00     |
| 702.000.4540                         | BOND PROCEEDS               | 0.00           | 1,400,000.00   | 1,400,000.00   | -1,400,000.00  | 0.00     |
| 702.000.4600                         | ROW ACQ REIMBURSEMENT       | 0.00           | 0.00           | 0.00           | 0.00           | 0.00     |
| 702.000.4650                         | CURB DRIVEWAY ASSESSMENTS   | 0.00           | 0.00           | 0.00           | 0.00           | 0.00     |
| 702.000.4830                         | ADVANCES IN                 | 0.00           | 0.00           | 430,000.00     | -430,000.00    | 0.00     |
| *DEPT 000                            |                             |                |                |                |                |          |
| ** 702 CAPITAL INFRASTRUCTURE FUND   |                             | 1,545,990.00   | 1,967,218.32   | 2,535,418.97   | -989,428.97    |          |
| 703.000.4490                         | TRANSFERS IN                | 999,355.00     | 75,000.00      | 320,000.00     | 679,355.00     | 67.98    |
| 703.000.4501                         | MISC GRANTS                 | 0.00           | 0.00           | 0.00           | 0.00           | 0.00     |
| 703.000.4545                         | BOND PREMIUM RECEIVED       | 0.00           | 16,757.38      | 16,757.38      | -16,757.38     | 0.00     |
| 703.000.4930                         | TRANSFERS FROM OTHER FUNDS  | 0.00           | 0.00           | 0.00           | 0.00           | 0.00     |
| *DEPT 000                            |                             |                |                |                |                |          |
| ** 703 CAPITAL EQUIP IMPROVEMENT FD  |                             | 999,355.00     | 91,757.38      | 336,757.38     | 662,597.62     |          |
| 703.000.4930                         | TRANSFERS FROM OTHER FUNDS  | 999,355.00     | 91,757.38      | 336,757.38     | 662,597.62     |          |

| Account..... | Description.....            | Est Revenue... | MTD Receipts.. | YTD Receipts.. | Unc Balance... | % Remain |
|--------------|-----------------------------|----------------|----------------|----------------|----------------|----------|
| 803.000.4930 | TRANSFERS FROM OTHER FUNDS  | 0.00           | 0.00           | 0.00           | 0.00           | 0.00     |
| 804.000.4401 | INSUR DEPOSITS-FIRE         | 25,000.00      | 0.00           | 0.00           | 25,000.00      | 100.00   |
| 999.000.4999 | GENERAL TRUST INTEREST EARN | 0.00           | 0.00           | 0.00           | 0.00           | 0.00     |
| ***          |                             | 31,672,864.00  | 8,316,114.79   | 12,983,157.58  | 18,689,706.42  |          |

# INCOME TAX CHARTS

# Income Tax



Values in 1,000s

# CITY OF RIVERSIDE - INCOME TAX COLLECTION SCORECARD

INCOME (\$000)

| Tax Year 2020  |        |        |        |        |        |        |        |        |        |        |        |        |
|----------------|--------|--------|--------|--------|--------|--------|--------|--------|--------|--------|--------|--------|
| Days Collected |        |        |        |        |        |        |        |        |        |        |        |        |
| Tax Category   | 21     | 19     | 21     | 22     | 22     | 20     | 22     | 22     | 20     | 23     | 19     | 21     |
| JAN            | 21     | 19     | FEB    | MAR    | 22     | 22     | 20     | 22     | 20     | 23     | 19     | 21     |
| WITHHOLDING    | 385.0  | 282.9  | 336.8  | 276.9  | 344.8  | 371.5  | 371.5  | 371.5  | 371.5  | 371.5  | 371.5  | 371.5  |
| INDIVIDUAL     | 58.7   | 47.5   | 70.5   | 151.8  | 180.7  | 68.4   | 72.4   | 72.4   | 72.4   | 72.4   | 72.4   | 72.4   |
| NET PROFIT     | 12.5   | 24.6   | 14.3   | 31.7   | 16.2   | 3.0    | 10.6   | 23.9   | 3.4    | 124.7  | 17.3   | 4.4    |
| 1.0 % GenTax   | 32.4   | 22.0   | 56.3   | 134.8  | 156.7  | 53.8   | 57.2   | 219.1  | 84.9   | 63.3   | 74.9   | 43.9   |
| 0.5 % P/F Tax  | 314.9  | 236.7  | 281.0  | 215.6  | 211.0  | 394.9  | 323.5  | 198.4  | 198.4  | 591.5  | 229.3  | 443.1  |
| TOTAL          | 456.2  | 355.0  | 421.5  | 323.4  | 316.5  | 592.4  | 485.3  | 585.7  | 297.7  | 887.2  | 343.9  | 664.6  |
| Target Amount  | 580.1  | 506.7  | 580.1  | 586.8  | 586.8  | 533.4  | 586.8  | 586.8  | 533.4  | 613.4  | 506.7  | 580.1  |
| Month Variance | -103.9 | -151.7 | -138.5 | -263.4 | -270.3 | -59.0  | -101.5 | -1.1   | -235.7 | -273.8 | -162.8 | -104.5 |
| YTD            | 456.2  | 811.2  | 1232.8 | 1556.2 | 1872.7 | 2465.1 | 2950.4 | 3536.1 | 3833.8 | 4721.0 | 5064.9 | 5729.5 |

| Tax Year 2021  |        |       |        |        |        |        |        |        |        |        |        |        |
|----------------|--------|-------|--------|--------|--------|--------|--------|--------|--------|--------|--------|--------|
| Days Collected |        |       |        |        |        |        |        |        |        |        |        |        |
| Tax Category   | 21     | 19    | 21     | 22     | 22     | 20     | 22     | 22     | 20     | 23     | 19     | 21     |
| JAN            | 21     | 19    | FEB    | MAR    | 22     | 22     | 20     | 22     | 20     | 23     | 19     | 21     |
| WITHHOLDING    | 391.6  | 388.1 | 423.0  | 335.1  | 372.9  | 429.5  | 349.9  | 370.8  | 411.4  | 363.0  | 356.4  | 449.1  |
| INDIVIDUAL     | 43.5   | 60.1  | 151.1  | 230.7  | 237.7  | 180.2  | 65.6   | 72.4   | 91.6   | 43.2   | 33.0   | 84.3   |
| NET PROFIT     | 70.3   | 34.3  | 52.8   | 138.2  | 199.2  | 197.0  | 146.6  | 54.1   | 61.5   | 81.4   | 26.2   | 27.9   |
| 1.0 % GenTax   | 161.0  | 292.1 | 484.4  | 227.1  | 605.0  | 213.8  | 416.3  | 584.1  | 322.8  | 169.1  | 452.1  | 234.9  |
| 0.5 % P/F Tax  | 80.5   | 146.0 | 242.2  | 113.5  | 302.5  | 106.9  | 208.1  | 282.0  | 161.4  | 84.5   | 226.0  | 117.4  |
| TOTAL          | 241.5  | 438.1 | 726.6  | 340.7  | 907.5  | 320.6  | 624.4  | 846.2  | 484.1  | 253.6  | 678.1  | 352.4  |
| Target Amount  | 526.1  | 476.0 | 526.1  | 551.1  | 551.1  | 501.0  | 551.1  | 551.1  | 501.0  | 576.2  | 476.0  | 526.1  |
| Month Variance | -284.5 | -37.9 | -200.5 | -210.5 | -356.4 | -180.4 | -73.3  | -294.0 | -16.9  | -322.6 | -202.1 | -173.7 |
| YTD            | 241.5  | 679.6 | 1406.1 | 1746.8 | 2654.3 | 2974.9 | 3599.4 | 4445.5 | 4929.6 | 5183.2 | 5861.3 | 6213.6 |

| Tax Year 2022  |       |        |        |        |        |        |        |        |        |        |        |        |
|----------------|-------|--------|--------|--------|--------|--------|--------|--------|--------|--------|--------|--------|
| Days Collected |       |        |        |        |        |        |        |        |        |        |        |        |
| Tax Category   | 21    | 19     | 21     | 22     | 22     | 20     | 22     | 22     | 20     | 23     | 19     | 21     |
| JAN            | 21    | 19     | FEB    | MAR    | 22     | 22     | 20     | 22     | 20     | 23     | 19     | 21     |
| WITHHOLDING    | 438.5 | 450.8  | 450.8  | 450.8  | 450.8  | 450.8  | 450.8  | 450.8  | 450.8  | 450.8  | 450.8  | 450.8  |
| INDIVIDUAL     | 55.0  | 68.6   | 68.6   | 68.6   | 68.6   | 68.6   | 68.6   | 68.6   | 68.6   | 68.6   | 68.6   | 68.6   |
| NET PROFIT     | 49.8  | 61.8   | 61.8   | 61.8   | 61.8   | 61.8   | 61.8   | 61.8   | 61.8   | 61.8   | 61.8   | 61.8   |
| 1.0 % GenTax   | 313.5 | 167.5  | 220.3  | 220.3  | 220.3  | 220.3  | 220.3  | 220.3  | 220.3  | 220.3  | 220.3  | 220.3  |
| 1.5 % P/F Tax  | 470.2 | 251.2  | 330.4  | 330.4  | 330.4  | 330.4  | 330.4  | 330.4  | 330.4  | 330.4  | 330.4  | 330.4  |
| TOTAL          | 783.7 | 418.7  | 550.7  | 550.7  | 550.7  | 550.7  | 550.7  | 550.7  | 550.7  | 550.7  | 550.7  | 550.7  |
| Target Amount  | 716.7 | 648.4  | 716.7  | 750.8  | 750.8  | 682.5  | 750.8  | 750.8  | 682.5  | 784.9  | 648.4  | 716.7  |
| Month Variance | 67.0  | -229.7 | -166.0 | -166.0 | -166.0 | -166.0 | -166.0 | -166.0 | -166.0 | -166.0 | -166.0 | -166.0 |
| YTD            | 783.7 | 1202.5 | 1753.1 | 1753.1 | 1753.1 | 1753.1 | 1753.1 | 1753.1 | 1753.1 | 1753.1 | 1753.1 | 1753.1 |

Target Amount = No. of Days Collected X Budgeted Amount divided by Total Days

# CITY COUNCIL CALENDAR

# 2022 City Council Calendar

## March 24, 2022 – WORK SESSION

- Stormwater Utility Update (Josh)
- 2022 Paving Program (Kathy)
- Crack Sealing (Kathy)
- 2022 Mowing (Kathy)

## April 7, 2022 – Items Due March 30

- Monthly Update: Finance, Administration, Community Development, and CM Report
- Proclamation: Arab-American Month
- Liquor License: Blue Nile Transfer
- Ordinance: Northern Circle Rezoning First Reading (Josh/Nia)
- Resolution: 2022 Paving Program (Kathy)
- Resolution: Crack Sealing (Kathy)
- Resolution: Grass Assessment (Tom)

## April 14, 2022 – WORK SESSION - CANCELED

## April 21, 2022– Items Due April 13

- Monthly Update: Police, Fire, Public Services, and CM Report
- Monthly Financial Report
- Proclamation: Autism Acceptance Month
- Ordinance: Northern Circle Rezoning - Second Reading (Josh/Nia)
- Ordinance: Adoption of post-issuance compliance policies and procedures (Tom)
- Resolution: Revision to Resolution 21-R-2667 price increase (Tom)
- Resolution: LPA agreement with ODOT – Spinning Rd. Phase 2 improvements (Kathy)
- Resolution: Code Red
- Resolution: Police Cameras
- Resolution: Lease renewal – Goodrich
- Resolution: Financial Software
- Executive Session: Personnel

## April 30, 2022 – SPECIAL MEETING

- Council Orientation/Tours of Community and City Facilities

## May 5, 2022– Items Due April 27

- Monthly Update: Finance, Administration, Community Development, and CM Report
- Resolution: Paint Striping Contract (Kathy)
- Executive Session: Personnel

# 2022 City Council Calendar

## May 12, 2022 – WORK SESSION

- NatureWorks Grant: Pickle Ball Court (Kathy)
- OPWC Applications: Spinning from Linden to Eastman, Urban Paving Program (Kathy)
- HSIP Application: Guardrail Replacement (Kathy)
- Crosswalk Design Standard – Choice One Presentation (Kathy)
- Boards and Commissions
- Executive Session: Personnel

## May 19, 2022 – Items Due May 11

- Monthly Financial Report
- Monthly Update: Police, Fire, Public Services, and CM Report
- Executive Session: Personnel
- Ordinance: Storm water utility fee (Josh)

## June 2, 2022 – Items Due May 25

- Monthly Update: Finance, Administration, Community Development, and CM Report
- Resolution: Necessity for Street Lighting (Chris)
- Resolution: Advancing funds to Permissive Tax Fund (Tom)???
- Resolution: NatureWorks Grant: Pickle Ball Court (Kathy)

## June 9, 2022 – WORK SESSION

- TID Update: Woodman Phase 4 (Kathy)
- Montgomery County Solid Waste Park Applications (Kathy)

## June 16, 2022 – Items Due June 8

- Monthly Financial Report
- Monthly Update: Police, Fire, Public Services, and CM Report
- Resolution: OPWC Applications: Spinning from Linden to Eastman, Urban Paving Program (Kathy)
- Resolution: HSIP Application: Guardrail Replacement (Kathy)

## July 7, 2022 – Items Due June 29

- Monthly Update: Finance, Administration, Community Development, and CM Report

# LEGISLATION

**MEETING DATE: April 21, 2022**

**AGENDA ITEM: Old Business**

**TO:** Riverside City Council

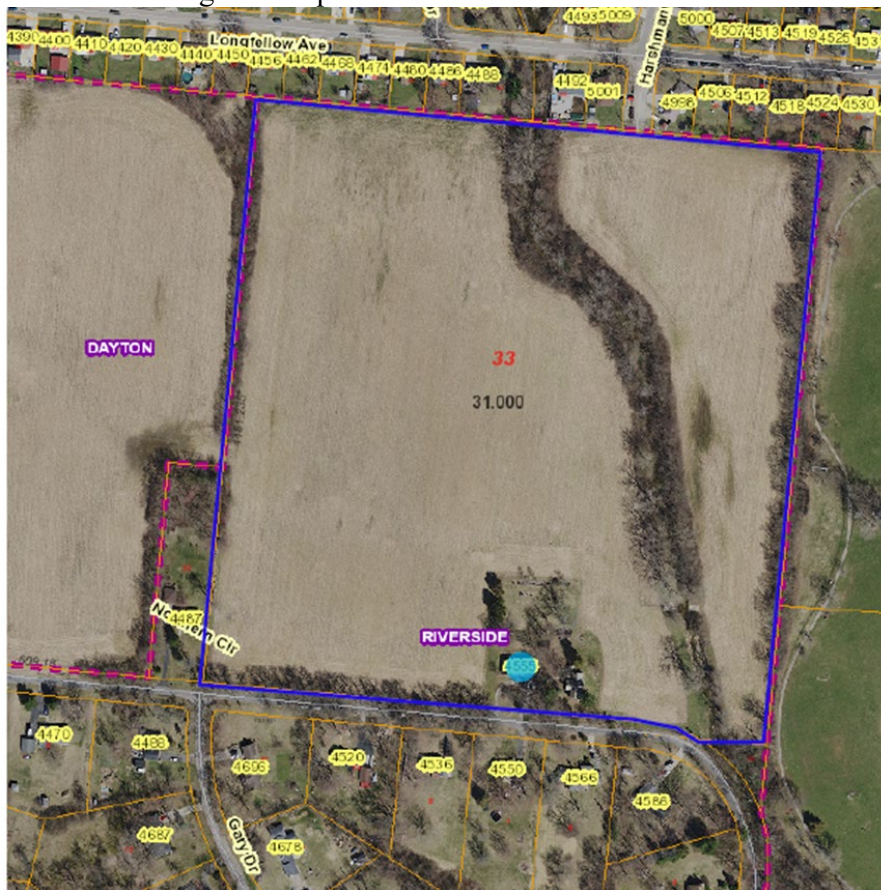
**FROM:** Josh Rauch, City Manager  
Nia Holt, Zoning Administrator

**SUBJECT:** Ordinance No. 22-O-792 – An ordinance by the City Council of the City of Riverside, Ohio approving a change in the district boundaries as shown on the zoning map of the City of Riverside, Ohio for the property located at 4555 Northern Circle, Parcel ID No. I39 00802 0033, from R-1 Low Density Single-Family Residential District to R-3 Medium Density Residential District.

---

**EXPLANATION:**

The subject site is a 31 acre parcel located on the northeast portion of Northern Circle. The property consists primarily of a large field. There is farmhouse and barn in the front of the property and a stream along eastern portion.



The property is currently in the R-1 Low-Density Single-Family Residential District. The applicant is request to rezone the site to the R-3 Medium Density Residential District. The R-

3 zoning district permits denser development and two-family dwellings. It should be noted, the rezoning application only included single-family dwellings. The below chart compares to the dimensional requirements of two zoning districts:

|                         | <b>R-1 Zoning District</b> | <b>R-3 Zoning District</b>                      |
|-------------------------|----------------------------|---|
| Min. Lot Size           | 100 feet                   | 60 feet   |
| Min. Lot Area           | 15,000 square feet         | 5,400 square feet                               |
| Front Yard Setback      | 30 feet                    | 20 feet   |
| Side Yard Setback       | 15 feet                    | 5 feet  |
| Rear Yard Setback       | 40 feet                    | 25 feet   |
| Max Lot Coverage        | 35%                        | 50%   |
| Min. Dwelling Unit Size | 1,600 square feet          | 1,000 square feet<br>600 square feet each unit* |

Staff recommends approval of this zoning change based on the Comprehensive Plan. Planning Commission recommended approval on February 23, 2022 in a 3 to 2 vote. If Council votes **for** this ordinance:

- The zoning change will take effect in 30 days.
- The applicant will need to submit a major subdivision application.
- Staff and the city's consultants will review the application to ensure it is compliant with all Riverside development requirements and other applicable regulations.

If Council votes **against** this ordinance (or if there is no motion to approve the ordinance):

- The zoning map amendment fails.
- The applicant will need to make significant revisions to their application if they wish to resubmit a new rezoning application.
- Or the proposed development stalls.

#### **RECOMMENDATION:**

City Staff and Planning Commission both recommend approval of the rezoning request. The property was identified in the Comprehensive Plan as a potential area for residential development. It calls for the City to encourage the development of newer housing stock in order to balance the older existing housing in the community.

#### **INTERESTED PARTY COMMENTS:**

Staff received several calls and emails from residents living near the project area. Adjacent property owners are concerned the development will bring increases in traffic and flooding, decreases in property values, and have a negative impact on the environment. Residents living along Northern Circle want to know the screening plans for the development.

#### **EXHIBITS:**

Ordinance 22-O-792

**AN ORDINANCE BY THE COUNCIL OF THE CITY OF RIVERSIDE, OHIO APPROVING A CHANGE IN THE DISTRICT BOUNDARIES AS SHOWN ON THE ZONING MAP OF THE CITY OF RIVERSIDE, OHIO FOR THE PROPERTY LOCATED AT 4555 NORTHERN CIRCLE, Parcel ID No. I39 00802 0033 FROM R-1, LOW-DENSITY SINGLE-FAMILY RESIDENTIAL DISTRICT TO R-3, MEDIUM-DENSITY RESIDENTIAL DISTRICT.**

WHEREAS, the City of Riverside Planning Commission has approved and recommended P.C. Case #22-0003 on February 23, 2022, pursuant to Section 1105.07 Development Procedures of the Zoning Ordinance initiating changes in the Zoning District Map to include a change for one (1) parcel from a R-1 Low-Density Single-Family Residential District to R-3 Medium-Density Residential District; and

WHEREAS, the Planning Commission of the City of Riverside, Ohio has held at least one public hearing thereon, after notice of the time and place thereof had been given as required by law; and

WHEREAS, the Council of the City of Riverside, Ohio has held a public hearing thereon, after notice of the time and place thereof had been given as required by law.

NOW, THEREFORE, BE IT ORDAINED BY THE COUNCIL OF THE CITY OF RIVERSIDE, STATE OF OHIO:

Section 1: That the **Planning Commission** having **recommended Approval of the rezoning** of the within described property; it is the legislative determination and decision of this Council that the recommendation of the Planning Commission be and of the same is hereby approved and the rezoning initiated by P.C. Case #22-0003 is hereby approved and adopted into the district boundaries of the Zoning Map of the City of Riverside, Ohio; and said map is hereby amended and changed to incorporate such change thereon, so that the property located at 4555 Northern Circle, and **being further identified as County Auditor's Parcel ID No. I39 00802 0033**, is rezoned from **R-1, LOW-DENSITY SINGLE-FAMILY DISTRICT** to **R-3, MEDIUM-DENSITY RESIDENTIAL DISTRICT**, which maps, deed, and draft Planning Commission minutes are incorporated herein and made a part hereof by reference thereto and as set forth in "Exhibit A" of this Ordinance.

Section 2: That the Clerk of Council is directed to forward a certified copy of this Ordinance to the Zoning Administrator of the City of Riverside, Ohio, and said official is directed to amend the Official Zoning Map of the City of Riverside, Ohio, to reflect the changes enacted by this Ordinance.

Section 3: That this Ordinance shall take effect and be in full force from and after the earliest date allowed by law.

22-O-792

PASSED THIS DAY OF \_\_\_\_\_.

APPROVED:

\_\_\_\_\_  
MAYOR

ATTEST:

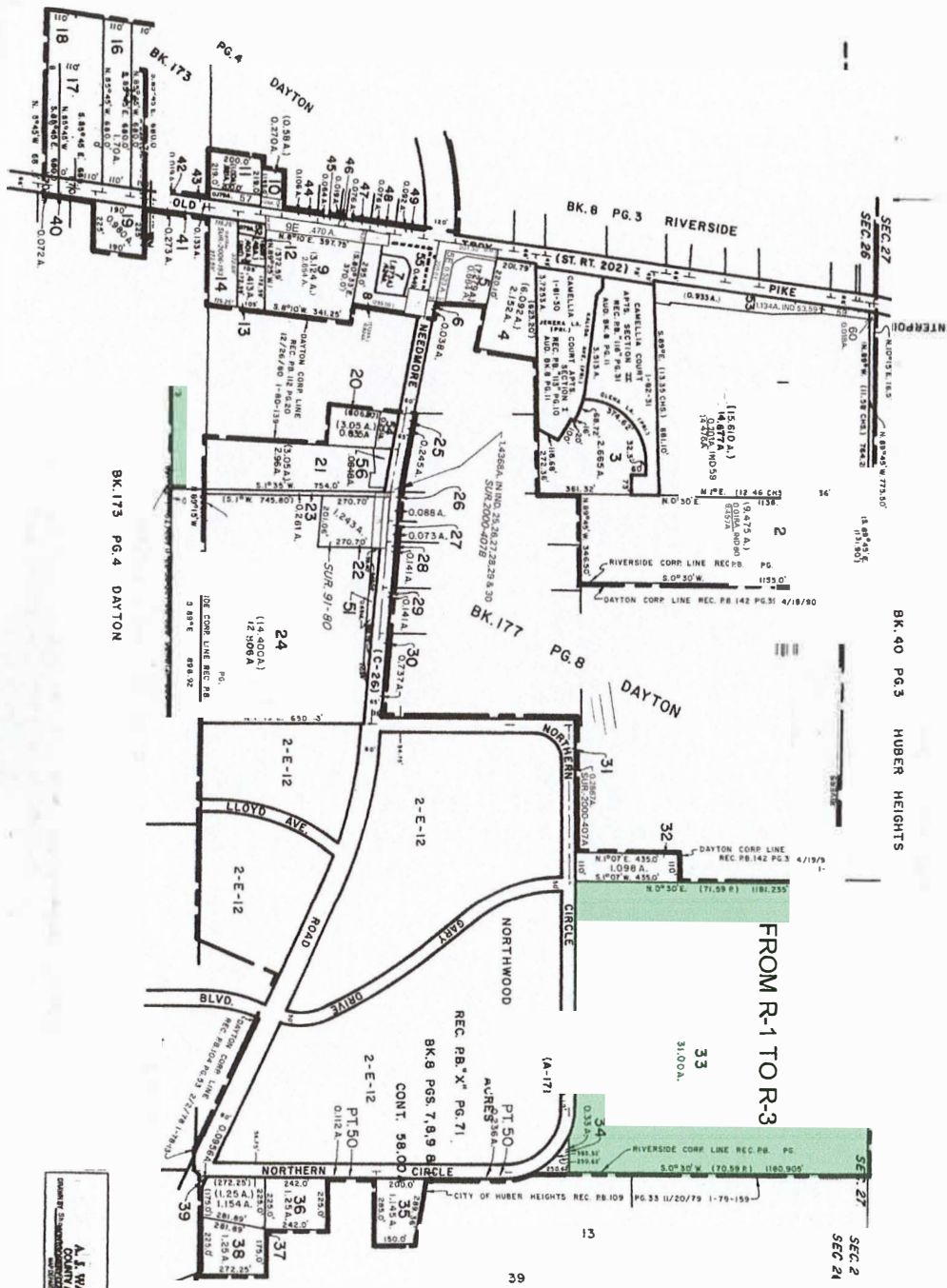
\_\_\_\_\_  
CLERK

CERTIFICATE OF THE CLERK

I, \_\_\_\_\_, Clerk of the City of Riverside, Ohio, do hereby certify that the foregoing Ordinance is a true and correct copy of Ordinance No. 22-O-792 passed by the Riverside City Council on \_\_\_\_\_.

IN TESTIMONY WHEREOF, witness my hand and official seal this day \_\_\_\_\_.

\_\_\_\_\_  
CLERK



**RIVERSIDE**  
 SEC. 26, T. 2, R. 8, MR. S. MAD RIVER TWP.  
 MERGER BETWEEN MAD RIVER & RIVERSIDE REC. BK. PG.

BK. 40 PG. 3 HUBER HEIGHTS

FROM R-1 TO R-3

SCALE 1" = 250'

A. J. WAGNER  
 COUNTY CLERK  
 COUNTY OF DAYTON, OHIO

# PROBATE COURT OF MONTGOMERY COUNTY, OHIO

ESTATE OF LILLIAN B. GEIGER, DECEASED

CASE NO. 2006 EST 00019

## CERTIFICATE OF TRANSFER

NO. 1

[Check one of the following]

☐

Decedent died intestate.

☒

Decedent died testate.

Decedent died on DECEMBER 20, 2005 owning the real property described in this certificate. The persons to whom such property passed by devise, descent or election are as follows:

| Name   | Residence Address                          | Transferee's share of decedent's interest |
|--|--|---|
| Richard Allen Geiger a/k/a<br>1. Richard A. Geiger | 6795 Agenbroad Road<br>Tipp City, OH 45371 | One-Third                                 |
| Lee Eldon Geiger a/k/a<br>2. Lee E. Geiger         | 1109 Broadway<br>Piqua, OH 45356           | One-Third                                 |
| 3. Timothy A. Geiger                               | 710 Waldrun Avenue<br>Dayton, OH 45424     | One-Third                                 |

[Complete if applicable] The real property described in this certificate is subject to a charge of \$ \_\_\_\_\_ in favor of decedent's surviving spouse, \_\_\_\_\_ in respect of the unpaid balance of the specific monetary share which is part of the surviving spouse's total intestate share.

FORM 12.1 - CERTIFICATE OF TRANSFER

\$28.00 03/24/06 15:37:26  
CF/T-06-026175 0002  
Montgomery County  
Judy Dodge, Recorder

TRANSFER  
03:37pm  
KARL L. KEITH  
MARCH 24, 2006  
COUNTY AUDITOR  
Conv/Tran #: 06588  
\$ .00

ESTATE OF

LILLIAN B. GEIGER

CASE NO.

2006 EST 00019

The legal description of decedent's interest in the real property subject to this certificate is:

Decedent died owning an entire interest in the real property located at 4555 Northern Circle, Dayton, OH 45424:

Situated in the County of Montgomery, State of Ohio, and Township of Mad River (now City of Riverside): Being part of the Northeast Quarter of Section 26, Town 2, Range 8, MRS between the Miami Rivers Survey and being more particularly described as follows:

Beginning at the Northeast corner of the above-named section; thence on the East line of said section South one-half degree West ( $\frac{1}{2}^{\circ}$  W) 1181.235 feet, more or less, to the South line of a two (2) acre tract of land owned by George Daler; thence on the South line of said two (2) acre tract West 1143.12 feet, *TO A POST, THENCE NORTH ONE-HALF* more or less, to a stake on the North line of said section; thence on the North line of said section East 1143.12 feet to the place of beginning, containing thirty-one (31) acres, be the same more or less.

*DEGREE EAST ( $\frac{1}{2}^{\circ}$  E) 1181.235*

Prior instrument Reference: MF 92-0671-B09

Parcel No.: I39-00802-0033

Instrument Prepared by: DAVID C. GREER, ESQ. (0009090)

400 National City Center, 6 N. Main St, Dayton, OH 45402-1908; (937) 223-3277

## ISSUANCE

This Certificate of Transfer is issued this

day of

MAR 20 2006

*[Signature]*

Probate Judge

## CERTIFICATION

I certify that this document is a true copy of the original Certificate of Title No. 1

Issued on MAR 20 2006 and kept by me as custodian of the official records of this Court.

MAR 20 2006

Date

ALICE O. MCCOLLUM

Probate Judge

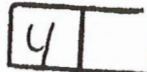
by

*[Signature]*  
Deputy Clerk



0022984

I38-19-7-18

OUTRIGHT DEED

Richard N. Geiger (the "Grantor"), married, of Montgomery County, Ohio, in consideration of valuable consideration to him in hand paid by Lillian B. Geiger, spouse of the Grantor, whose address is 4555 Northern Circle, Dayton, Ohio 45424 (the "Grantee"), grants to Grantee all right, title and interest of the Grantor, if any in the following real property (the "Property"):

Situated in the County of Montgomery, State of Ohio, and the Township of Mad River: Being part of the Northeast quarter of Section 26, Town 2, Range 8, NRS between the Miami Rivers survey and being more particularly described as follows:

A.8808 #02 .00

Beginning at the Northeast corner of the above named section; thence on the East line of said section South one-half degree West (1/2° W) 1181.235 feet, more or less, to the South line of a two (2) acre tract of land owned by George Daler; thence on the South line of said two (2) acre tract West 1143.12 feet to a post; thence North one-half degree East (1/2° E) 1181.235 feet, more or less, to a stake on the North line of said section; thence on the North line of said section East 1143.12 feet to the place of beginning, containing thirty-one (31) acres, be the same more or less.

Prior deed references: Deed Book 2236, Page 673 and Deed Book 2236, Page 675.

WITNESS his hand this 12<sup>th</sup> day of November, 1992.

Signed and acknowledged  
in the presence of:

Edward H. Saddle  
Lillian M. Saddle

Richard N. Geiger  
RICHARD N. GEIGER, Grantor

VICKI D. PEGG  
RECORDER

STATE OF OHIO  
COUNTY OF MONTGOMERY, SS:

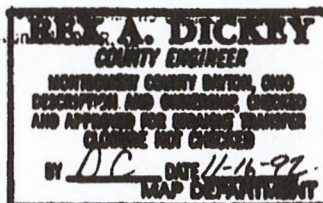
92 NOV 16 PM 3:20

Before me, a notary public, in and for said county and state, personally appeared Richard N. Geiger who acknowledged that he executed the foregoing deed and that the same is his free act and deed. In testimony whereof, I have hereunto subscribed my name, and affixed by notarial seal on the day and year last aforesaid.

Edward H. Saddle  
Notary Public

EDWARD H. SADDLE, Attorney at Law  
Notary Public for Ohio  
My Commission Expires 11-16-97  
Subscribed and sworn to before me on 11-16-92

*mail* This instrument prepared by:  
David C. Greer, Attorney at Law  
400 Gem Plaza, Dayton, OH 45402  
(513) 223-3277



DEED 92-0671 B09

**MEETING DATE: April 21, 2022**

**AGENDA ITEM: New Business**

**TO:** Riverside City Council

**FROM:** Tom Garrett, Finance Director

**SUBJECT:** Ordinance No. 22-O-793 – An ordinance adopting policies for post debt issuance continuing financial disclosure.

---

**EXPLANATION:**

Federal securities regulations require continuing disclosure of ongoing city financial activities to provide updated information to investors in city issued bonds for the life of the bonds.

Ordinance 22-O-793 establishes internal city policies to consistently monitor city activities relating to issued debt and organize city efforts to meet required public reporting.

Our Bond Counsel requests we establish these policies to better meet regulatory requirements.

**RECOMMENDATION**

It is respectfully recommended that the Mayor and City Council approve the attached resolution.

**FISCAL IMPACT**

- Does this item require a new appropriation? No
- What is the total cost, if applicable? No additional cost, as Federal regulations require periodic disclosure after debt issuance. City staff will continue to perform these tasks.
- What is the net cost impact to the Department/City?

**SOURCE OF FUNDS**

Which fund/line item will be used to pay for this, if applicable? Not Applicable

**AN ORDINANCE PROVIDING FOR THE ADOPTION OF POST-ISSUANCE COMPLIANCE POLICIES AND PROCEDURES FOR TAX EXEMPT OBLIGATIONS AND CONTINUING DISCLOSURE OBLIGATIONS.**

WHEREAS, the City of Riverside, Ohio (herein called the “City”), a municipality created and existing under the laws of the State of Ohio, is authorized and has from time to time issued obligations (the “Obligations”), in accordance with the provisions of the Ohio Revised Code, to fund the cost of various capital projects and improvements; and

WHEREAS, certain Obligations receive favorable tax treatment pursuant to the provisions of the Internal Revenue Code of 1986, as amended, and the Regulations promulgated thereunder (together, the “Rules”); and

WHEREAS, the City wishes to comply with all applicable Rules to maintain such favorable tax treatment of all of such outstanding and future Obligations; and

WHEREAS, certain Obligations are subject to certain primary and secondary disclosure requirements set forth in Securities and Exchange Commission Rule 15c2-12 (“15c2-12”).

NOW, THEREFORE, BE IT ORDAINED BY THE COUNCIL OF THE CITY OF RIVERSIDE, STATE OF OHIO:

- Section 1: The Council hereby adopts the Post-Issuance Compliance Policies and Procedures for Tax-Exempt Obligations, attached hereto as Exhibit A, and Post Issuance Continuing Disclosure Compliance Policies and Procedures, attached hereto as Exhibit B together with the Post-Issuance Compliance Policies and Procedures for Tax-Exempt Obligations (the “Post-Issuance Compliance Polices”).
- Section 2: That upon adoption of the Post-Issuance Compliance Policies, the Finance Director and the City Manager are hereby authorized to take all actions necessary to adhere to the provisions set forth in such Post-Issuance Compliance Policies.
- Section 3: That it is found and determined that all formal actions of this council concerning and relating to the adoption of this ordinance were adopted in an open meeting of this board, and that all deliberations of this council and of any of its committees that resulted in such formal action, were in meetings open to the public, in compliance with the law.
- Section 4: This Ordinance shall take effect and be in full force from and after the earliest date allowed by law.

PASSED THIS DAY OF \_\_\_\_\_.

22-O-793

APPROVED:

\_\_\_\_\_  
MAYOR

ATTEST:

\_\_\_\_\_  
CLERK

CERTIFICATE OF THE CLERK

I, \_\_\_\_\_, Clerk of the City of Riverside, Ohio, do hereby certify that the foregoing Ordinance is a true and correct copy of Ordinance No. 22-O-793 passed by the Riverside City Council on \_\_\_\_\_.

IN TESTIMONY WHEREOF, witness my hand and official seal this day \_\_\_\_\_.

\_\_\_\_\_  
CLERK

***City of Riverside, Ohio***  
***Post-Issuance Compliance Policies and Procedures***  
***For Tax-Exempt Obligations***

Adopted \_\_\_\_\_

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**SECTION 1. Purpose.**

It is the policy of the City of Riverside, Ohio (the “Issuer”) to comply with all applicable federal tax rules related to its tax-exempt debt. The applicable federal tax rules include compliance with all applicable federal tax documentation and filing requirements, yield restriction limitations, arbitrage rebate requirements, use of proceeds and financed projects limitations and recordkeeping requirements. Given the increasing complexity of the federal tax law applicable to the Issuer’s tax-exempt debt, the Issuer hereby formally adopts the following policies and procedures concerning its tax-exempt obligations (the “TE Policies and Procedures”).

These TE Policies and Procedures are intended to serve as a guide for the Issuer to facilitate compliance with federal tax law applicable to the Issuer’s outstanding tax-exempt debt (including conduit tax-exempt obligations). In the event these policies and procedures conflict, in whole or in part, with the federal tax agreement or federal tax certificate prepared on behalf of the Issuer in connection with a tax-exempt debt issuance (the “Tax Certificate”), the terms of the applicable Tax Certificate shall control.

**SECTION 2. Responsibility of City of Riverside Officials**

Except as otherwise described herein, the Issuer’s Executive Officers have primary responsibility for ensuring that the Issuer’s outstanding tax-exempt debt issuances are, and will remain, in compliance with federal tax law. The Finance Director (the “Compliance Officer”) of the Issuer will be the specific individual having primary responsibility for the implementation of these policies and procedures. The Compliance Officer will consult with third-party professionals (e.g., the Issuer’s bond counsel and arbitrage calculating agent), as necessary, to ensure compliance with such rules, including these policies and procedures.

The Issuer is an issuer of governmental obligations and from time to time may serve as a conduit issuer of certain tax-exempt obligations. When the Issuer serves as a conduit issuer, a substantial portion of the post-issuance compliance duties will be imposed on the conduit borrower. Specifically, the conduit borrower will have primary responsibility for ensuring compliance with all matters concerning the tax-exempt status of the tax-exempt obligations except those matters that are under federal tax law exclusively limited to actions of the Issuer (e.g., information return filings).

**SECTION 3. Closing of Tax-Exempt Obligation Issuances**

**I. *Tax Certificates***

The Issuer’s bond counsel for that transaction (the “Bond Counsel”), with assistance from the Issuer and other professionals associated with the financing, shall prepare a Tax Certificate in connection with each tax-exempt debt issuance issued by the Issuer, to the extent required by law, to be executed by the Issuer, and any other relevant parties determined by Bond Counsel, at closing. The Tax Certificate shall serve as the operative document for purposes of establishing the Issuer’s reasonable expectations as of the date of issue for the tax-exempt obligation and may provide a summary of the federal tax rules applicable to such issuance. The Compliance Officer,

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in consultation with Bond Counsel and, if applicable the Issuer's counsel, will review the Tax Certificate prepared for each of the Issuer's tax-exempt obligation before the closing of the issue.

The Tax Certificate will be included as part of the transcript for each tax-exempt obligation issued, and in all events the Issuer will keep a copy of the final executed version of the Tax Certificate in accordance with the provision of Section 7, "Recordkeeping," of these TE Policies and Procedures.

### II. *Internal Revenue Service Form 8038, 8038-G, 8038-GC – Tax-Exempt Bonds*

Bond Counsel, with assistance from the Issuer and other professionals associated with the financing, shall prepare an Internal Revenue Service Form 8038, Information Return for Tax-Exempt Private Activity Bond Issues, Form 8038-G, Information Return for Tax-Exempt Governmental Obligations, or Form 8038-GC, Informatory Return for Small Tax-Exempt Governmental Bond Issuer, Loans, and Installment Sales, as applicable, in connection with each tax-exempt obligation issued by the Issuer, which the Compliance Officer or its designee will review prior to closing. Each Internal Revenue Service Form 8038, 8038-G or 8038-GC, as applicable, prepared for a tax-exempt obligation will be filed with the Internal Revenue Service no later than the 15th day after the 2nd calendar month after the close of the calendar quarter in which the tax-exempt obligation to which such Form 8038, 8038-G or 8038-GC, as applicable, relates is issued. All Form 8038s, 8038-Gs, and 8038GCs shall be filed by Bond Counsel with the Internal Revenue Service at the address required by such Form or the Internal Revenue Service, which is currently Internal Revenue Service Center, Ogden, UT 84201 (the "Ogden Submission Processing Center").

The Internal Revenue Service Form 8038, 8038-G, 8038-GC, as applicable, will be included as part of the transcript for each tax-exempt obligation issued by the Issuer, and in all events the Issuer will keep a copy of the final executed version of the Internal Revenue Service Form 8038, 8038-G, 8038-GC, as applicable, in accordance with the provisions of Section 7, "Recordkeeping," of the TE Policies and Procedures.

### III. *Late Filing of Information Returns*

The Issuer may request an extension of time to file Forms 8038, 8038-G, or 8038-GC, as applicable, if the failure to file the return on time was not due to willful neglect. To request an extension, the Issuer will follow the procedures outlined in Revenue Procedure 2002-48, 2002-37 I.R.B. 531. These procedures generally require that the Issuer: 1) attach a letter to the return filed (such as Form 8038, 8038-G, 8038-GC) briefly explaining when the return was required to be filed, why the return was not timely submitted, and whether or not the bond issue is under examination; 2) enter on top of the return "Request for Relief under Section 3 of Revenue Procedure 2002-48;" and 3) file the letter and the return with the IRS at the applicable IRS address, currently the Ogden Submission Processing Center.

### IV. *Volume Cap Limit*

The volume cap limit for certain qualified private activity bonds, as set forth in section 146 of the Code, limits the Issuer to a maximum amount of tax-exempt bonds that can be issued

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to finance a particular qualified purpose during a calendar year. If, during a given year, the Issuer issues qualified private activity bonds in excess of its applicable volume cap limit, the tax-exempt status of those bonds is jeopardized. The Issuer will monitor volume cap allocations in order to properly file information returns and make carryforward elections. Certain types of qualified private activity bonds do not require volume cap allocations. In addition, certain current refunding bonds do not require volume cap allocations to the extent the amount of refunding bonds does not exceed the outstanding amount of the refunded bonds.

Certain governmental bonds and 501(c)(3) bonds may also require volume cap if certain requirements are met. The Issuer will review or cause Bond Counsel to review governmental bonds and 501(c)(3) bonds to ensure that no volume cap is needed in connection with the issuance of those bonds.

In the event of drawdown bonds or similar arrangement, the requirement of Notice 2011-63 must be satisfied.

### V. *Carryforward of Unused Volume Cap*

The Issuer may elect to carry any unused volume cap of a calendar year forward for three years. This election can be made for each of the carryforward purposes described in section 146 of the Code. These purposes generally include the qualified private activity bond purposes subject to volume cap except for the purpose of issuing qualified small issue bonds. This election is made by filing IRS Form 8328, Carryforward Election of Unused Private Activity Bond Volume Cap, by the earlier of February 15th following the year in which the unused amount arises or the date of issue of any bonds pursuant to the carryforward election. Once Form 8328 is filed, the Issuer may not revoke the carryforward election or amend the carryforward amounts shown on the form. Errors on this form cannot be corrected through an amended filing. The conduit issuer will file a TEB Voluntary Closing Agreement Program request to correct mathematical, typographical, and similar errors. See Notice 2008-31, 2008-11 I.R.B. 592, and section 7.2.3 of the Internal Revenue Manual.

### VI. *Public Approval Requirement*

Generally, prior to issuance, qualified private activity bonds (including qualified 501(c)(3) bonds) must be approved by an applicable elected representative for the governmental entity issuing the bonds and, in some cases, for each governmental entity having jurisdiction over the area in which the bond-financed facility is to be located. The public approval must occur after the holding of a public hearing following reasonable public notice in advance of the public hearing and must be completed within a prescribed period. As such, the Issuer is involved in certain aspects of the public approval process. Public approval by a governmental unit may also be by voter referendum. Section 147(f) of the Code and Section 5f.103-2 of the Treasury Regulations define the specific rules for this requirement. The Issuer will cause Bond Counsel to ensure that the public approval requirements applicable to the bonds are satisfied.

### VII. *Limitations Relating to Fees Charged by the Conduit Issuer*

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In conduit bond issues, the Issuer may charge fees payable either out of the bond proceeds or by the conduit borrower. Such fees may be used by the Issuer to offset all or a portion of the costs payable by the Issuer related to its role and may also be used to raise funds for governmental purposes of the Issuer. Such fees may increase the effective yield of the conduit loan when viewed by the Issuer as a purpose investment. Section 148 of the Code generally limits the yield on purpose investments to the yield on the bonds plus a spread. This limitation effectively limits the size of the fees that may be charged by the Issuer regardless of whether paid periodically or up front. The Issuer will ensure that the yield on the conduit loan does not exceed the yield on the bonds by more than the permitted spread in order to prevent the bonds from becoming arbitrage bonds.

### VIII. *Certification Regarding Expectations for Use and Investment of Proceeds*

The Treasury regulations generally require the Issuer to make a certification regarding its expectations in certain bond deals. Section 1.148-2(b)(2)(i) provides that an officer of the Issuer responsible for issuing the bonds must, in good faith, certify the Issuer's reasonable expectations as of the issue date. The certification must state the facts and estimates that form the basis of the issuer's expectations. The certification is evidence of the Issuer's expectations but does not establish any conclusions of law or any presumptions regarding either the Issuer's actual expectations or their reasonableness. This certification is not required if the Issuer reasonably expects, as of the issue date, that there will be no unspent gross proceeds after the issue date, other than gross proceeds in a bona fide debt service fund or the issue price of the bond issue does not exceed \$1,000,000. The Issuer will review bond issuances to make sure that the certification requirements described above are satisfied.

### IX. *Reimbursement Declarations of Official Intent*

Under section 1.150-2 of the Treasury regulations, the Issuer or the conduit borrower, in conduit issues, is permitted to use bond proceeds to reimburse certain expenditures paid before the date of issuance subject to certain requirements. One requirement is that the Issuer must adopt a declaration of official intent to reimburse expenditures not later than 60 days after the reimbursed expenditure is paid. In the case of qualified 501(c)(3) bonds only, the conduit borrower may adopt a declaration of official intent instead of the Issuer. Accordingly, for virtually all types of qualified private activity bonds the Issuer must act to adopt declarations of official intent to permit reimbursement financing. If a bond issue will provide for reimbursement, the Issuer will make sure an official intent is adopted timely.

### X. *Qualified Hedge*

An issuer pursuant to section 1.148-4(h) of the Treasury regulations must identify a qualified hedge on its books and records maintained for the hedged bonds not later than three (3) days after the date on which the conduit issuer (or conduit borrower) and the hedge provider enter into a hedge contract. If the Issuer or the conduit borrower enter into a hedge, the Issuer will verify whether the hedge is intended to be a qualified hedge and ensure Bond Counsel takes appropriate steps.

## **SECTION 4. Use of Debt Proceeds – Tax-Exempt Bonds**

### **I. *Overview***

The Issuer will review its uses of its tax-exempt debt financed facilities for “private business use” and the conduit borrowers will review their use of tax-exempt debt financed facilities for compliance with application use restrictions on such facilities. In addition, the Issuer will consult, as needed, with its bond counsel regarding the applicable federal tax limitations imposed on its outstanding tax-exempt debt issuances and whether arrangements with third parties give rise to private business use of the financed projects. For these purposes, the Issuer will monitor all uses of its tax-exempt debt financed facilities, including but not limited to uses pursuant to a management contract, operating agreement, license, lease, sublease, naming rights agreement, research agreement, clinical trial agreement, and joint venture or partnership arrangement. In the event the Issuer enters into an arrangement involving a facility for which tax-exempt debt is outstanding, and that gives rise to private business use, the Issuer will consult its bond counsel regarding the arrangement and whether such arrangement impacts the tax-exempt status of the Issuer’s outstanding debt, as applicable.

### **II. *Private Use Generally***

The Issuer will not knowingly take or permit to be taken any action that would cause any of its outstanding tax-exempt debt issuances to become “private activity bonds,” as described below. Generally, an issue of tax-exempt debt will be considered “private activity bonds” if more than 10% of the proceeds of the debt are used directly or indirectly in any trade or business carried on by a private business user and more than 10% of the debt service on the debt is directly or indirectly (1) secured by any interest in property used or to be used in any trade or business carried on by a private business user, or (2) derived from payments made in respect of property used or to be used in any trade or business carried on by a private business user.

### **III. *Leases and Subleases***

The Issuer will track all leases and subleases that involve the use of tax-exempt debt financed projects, including the name of the lessee (or sublessee), the term of the lease (or sublease), the amount of the rent paid by the lessee (or sublessee) and the square footage of space used by the lessee (or sublessee) relative to the square footage of the debt-financed facility. If the Issuer desired to enter into a lease or sublease related to the use of tax-exempt debt financed property, it will consult with its bond counsel to determine what impact, if any, such lease or sublease would have on the tax status of the Issuer’s outstanding tax-exempt debt.

### **IV. *Sale of Debt-Finance Property***

It is the Issuer’s policy to finance projects using tax-exempt debt that the Issuer intends to own for the entire term of the debt issue financing the projects. Prior to selling or otherwise disposing of any tax-exempt debt financed project for which debt remains outstanding, the Issuer will consult with its bond counsel to determine what impact, if any, such agreement would have on the tax status of the Issuer’s outstanding tax-exempt debt.

**V. *Remedial Actions***

The Issuer is aware of the remedial action rules contained in Treasury Regulations Section 1.141-12, providing the Issuer with the ability, in certain circumstances, to voluntarily remediate violations of the private business tests or private loan financing test. Although the Issuer intends that none of its tax-exempt debt issuances will require the application of the remedial action rules, prior to taking any action that would cause one or more of its outstanding tax-exempt debt issuances to, absent a remedial action, violate the private business tests or private loan financing test, the Issuer will consult with its bond counsel regarding the applicability of the remedial action rules to such action and the ability to remediate the impacted tax-exempt debt.

**VI. *Private Loans***

The Issuer will not take or permit to be taken any action that would cause any of its tax-exempt debt issuances to be considered taxable “private loan bonds.” The Issuer debt will be considered “private loan bonds” if more than 5% of the proceeds of the issue are used directly or indirectly to make or finance loans to private persons. The Issuer will not loan the proceeds of any of the Issuer’s debt issuance to a third party except in connection with conduit bond issuances.

**SECTION 5. – Arbitrage Limitations Imposed on Debt Issuances**

**I. *Arbitrage Calculating Agent***

The Issuer will retain or cause the conduit borrower to retain an arbitrage calculating agent to review its outstanding tax-exempt debt issuances, unless, in the judgment of the Issuer, and in compliance with these policies and procedures and the Tax Certificate entered into in connection with a tax-exempt debt issuance, there is no reasonable prospect of any arbitrage rebate or yield reduction payment liability. The arbitrage calculating agent will perform calculations to ascertain whether the Issuer or the conduit borrower owes an arbitrage rebate payment or yield reduction payment to the Internal Revenue Service, including whether the tax-exempt debt issuance in question qualifies for an exception to the arbitrage rebate rules.

**II. *Payment of Arbitrage Rebate and Yield Reduction Liability***

In the event the Issuer owes arbitrage rebate or has accrued a yield reduction payment liability to the Internal Revenue Service, the Issuer will timely submit Internal Revenue Service Form 8038-T, Arbitrage Rebate Yield Reduction and Penalty in Lieu of Arbitrage Rebate, to be prepared by the arbitrage calculating agent, together with payment in the amount equal to the arbitrage rebate or yield reduction payment liability calculated by the arbitrage calculating agent in accordance with the Tax Certificate related to such debt issue. For these purposes, within 60 days after each installment computation date, the Issuer will cause to be paid to the Internal Revenue Service at least 90% of the amount of arbitrage rebate and yield reduction payment liability owed, determined in accordance with the provisions of the Tax Certificate related to such tax-exempt debt issuance and the applicable federal tax rules, and based on calculations performed by the arbitrage calculating agent.

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In addition, within 60 days after the final installment computation date, the Issuer will cause to be paid to the Internal Revenue Service 100% of the amount of arbitrage rebate and yield reduction payment liability owed, determined in accordance with the provisions of the Tax Certificate related to such tax-exempt debt issuance and the applicable federal tax rules, and based on calculations performed by the arbitrage calculating agent.

Each completed Internal Revenue Service Form 8038-T, Arbitrage Rebate Yield Reduction and Penalty in Lieu of Arbitrage Rebate, together with full payment in the amount equal to the arbitrage rebate or yield reduction payment liability calculated by the arbitrage calculating agent in accordance with the Tax Certificate related to such debt issue, shall be filed with the Internal Revenue Service at the applicable address currently, Internal Revenue Service Center, Ogden, UT 84201.

### III. *Yield Restriction Limitations*

Each Tax Certificate prepared for the Issuer's tax-exempt debt issuances shall contain the applicable yield restriction investment limitations, including the applicable investment limitations imposed on proceeds of the debt issuance and any temporary periods during which the Issuer may invest proceeds of the debt issuance at an unrestricted yield.

### IV. *Monitoring Yield Restriction Limitations*

The Issuer or the conduit borrower will ensure that each debt obligation complies with the yield restriction limitations outlined in the Tax Certificate entered into by the Issuer in connection with a tax-exempt debt issuance, including any exceptions to yield restriction described therein.

### V. *Expenditure of Tax-Exempt Debt Proceeds*

It is the policy of the Issuer to expend tax-exempt debt proceeds as promptly and diligently as possible within the confines of these policies and procedures and the Tax Certificate entered into by the Issuer in connection with a particular debt issuance. For these purposes, it is the Issuer's policy not to finance projects using the proceeds of tax-exempt debt for which the Issuer expects that the tax-exempt debt proceeds will not be fully spent within 3 years of the date of issue of the debt unless otherwise approved by bond counsel.

### VI. *Arbitrage Rebate Exceptions*

Each Tax Certificate prepared for the Issuer's tax-exempt debt issuances shall contain the arbitrage rebate exception(s) applicable to the debt issuance, which arbitrage rebate exceptions will be applied by the arbitrage calculating agent in assessing whether the Issuer owes arbitrage rebate.

### VII. *Verification Agent*

The Issuer will continue to retain a third-party verification agent for each of its advance refunding bond issues. The verification agent will verify the arbitrage yield on the tax-exempt

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debt issuance, the arbitrage yield on the investments acquired as part of the refunding escrow established using gross proceeds of the tax-exempt debt issuance, and the sufficiency of the refunding escrow.

### **VIII. *Establishment of Advance Refunding Escrows and Trustee Responsibilities***

The Issuer will deposit tax-exempt debt proceeds (and any other amounts) to be used to advance refund prior Issuer debt into one or more separate escrow trust accounts established with the trustee selected for the transaction. Working with the Issuer's bond counsel, and in accordance with the documentation prepared for the refunding transaction, the Issuer will impose primary responsibility for initiating actions required to be taken with respect to the refunding escrow (including the reinvestment of amounts within the escrow and disbursing funds from the escrow) on the trustee. In the event of an omission on the part of the trustee, an error in the documentation or procedures establishing the escrow, or an investment to be acquired as part of the refunding escrow is not available for purchase, the Issuer will timely consult with the Issuer's bond counsel, as applicable, to determine the impact, if any, on the tax-exempt status of the obligations.

### **IX. *Acquiring Investments for Advance Refunding Escrows***

It is the policy of the Issuer to maximize the investment return on all investments acquired with tax-exempt bond proceeds and to acquire such investments at fair market value. When funding deposits to advance refunding escrows using tax-exempt debt proceeds, it is the Issuer's policy to acquire United States Treasury Securities – State and Local Government Series (SLGS) or securities purchased on the open market in accordance with the terms of the Issuer's bond documents.

In the event the Issuer chooses to fund an advance refunding escrow using securities purchased on the open market, the Issuer will retain a third-party investment bidding agent to solicit bids from providers of qualifying securities in accordance with the limitations described in the "3-bid" safe harbors set forth in Treasury Regulations Section 1.148-5(d)(6).

### **X. *Interest Rate Hedges***

The Issuer will engage a third-party swap advisor for all interest rate hedges entered into by the Issuer, irrespective of whether any such hedge is acquired through a direct negotiation with the provider or procured through a bidding process. In all cases, the Issuer will obtain appropriate certifications from its swap advisor and/or the hedge provider to establish the fair market value of the product. The Issuer will consult with its bond counsel with respect to all interest rate hedging transactions related to an outstanding or prospective debt issuance prior to the date on which the interest rate hedging transaction is entered into.

## **SECTION 6. – Accounting for Debt Proceeds**

### **I. *General***

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Except as otherwise described below and in the Tax Certificate entered into by the Issuer in connection with a tax-exempt debt issuance, it is the policy of the Issuer to apply a direct tracing method of accounting for and allocating its tax-exempt debt proceeds. However, the Issuer reserves the right to apply to any tax-exempt debt issuance any other reasonable accounting and allocation method allowable under the law.

### II. *Investment of Proceeds*

Proceeds of the Issuer's capital borrowings shall be held in a separate fund or account and will be invested in accordance with the permitted investments as determined by the indenture, the authorizing legislation or state law. The Compliance Officer has primary responsibility for ensuring that the Issuer's outstanding tax-exempt debt proceeds are, and will remain, invested in accordance with the bond documents.

### III. *Expenditure of Debt Proceeds on Capital Projects*

All invoices and records of payment are retained by the Compliance Officer in accordance with Section 7, "Recordkeeping," below.

The Issuer shall maintain an active ledger, updated with each payment of an expenditure from tax-exempt debt proceeds that for each outstanding debt issuance shows:

- a) The name and date of issue of the tax-exempt debt issue to which the proceeds relate;
- b) The projects financed with the proceeds of the issue;
- c) The authorized amount of proceeds to be used to finance each project;
- d) The amount of proceeds of the debt issuance used to date to finance each project;
- e) The amount of unspent proceeds of the debt issuance to be used to finance each project;  
and
- f) The date on which the debt proceeds related to each project were fully expended.

## **SECTION 7. – Recordkeeping**

### I. *General*

The Issuer is aware of its ongoing recordkeeping responsibilities associated with its tax-exempt debt issuances. Each Tax Certificate prepared on behalf of the Issuer for a tax-exempt debt issuance shall provide for a description of the records to be maintained by or on behalf of the Issuer and period of time such records must be maintained. In addition, the Issuer is familiar with the Internal Revenue Service's Compliance Guide for Tax-Exempt Organizations related to the recordkeeping requirements for tax-exempt debt, a copy of which is available on the Internal Revenue Service's website at [www.irs.gov](http://www.irs.gov).

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### II. *Means of Maintaining Records*

The Issuer may maintain all records required to be held as described in this Section 7 in paper and/or electronic (e.g., CD, disks, tapes) form. It is the policy of the Issuer to maintain as much of its records electronically as feasible.

### III. *Transcript and Use of Debt Proceeds*

The Issuer shall maintain, or cause to be maintained, all records relating to the tax-exempt status of its tax-exempt debt issuances and the representations, certifications and covenants set forth in its respective Tax Certificates until the date three years after the last outstanding obligation of the issue to which such records and Tax Certificate relate has been retired. The records that must be retained include, but are not limited to: (1) basic records and documents relating to the obligations (including the transcript, which shall include, among other records, the Tax Certificate, Internal Revenue Service Form 8038, 8038-G, 8038-GC or 8038-B, verification report, authorizing resolution(s), trust indenture, loan agreement, record of public approval, and the opinion of bond counsel), (2) documentation evidencing the expenditure of debt proceeds, (3) documentation evidencing the use of debt financed projects by public and private sources, including copies of all arrangements described in Section 6 of these policies and procedures, (4) documentation evidencing all sources of payment or security for the debt issuance; (5) documentation pertaining to any investment of debt proceeds (including the purchase and sale of securities, SLGS subscriptions, yield calculations for each class of investments, actual investment income received from the investment of proceeds, guaranteed investment contracts, and rebate calculations).

### IV. *Investment Records*

The Issuer shall maintain detailed records with respect to every investment acquired with proceeds of its tax-exempt debt, including the: (1) purchase date, (2) purchase price, (3) information establishing fair market value on the date such investment became allocated to gross proceeds of the debt, (4) any accrued interest paid, (5) face amount, (6) coupon rate, (7) periodicity of interest payments, (8) disposition price, (9) any accrued interest received, (10) disposition date, (11) broker's fees paid (if at all) or other administrative costs with respect to each such nonpurpose investment. The Issuer shall maintain all such records until the date three years after the last outstanding obligation of the issue to which such records and nonpurpose investments relate has been retired.

### V. *Arbitrage Rebate and Yield Reduction Payment Records*

The Compliance Officer shall maintain all records of arbitrage rebate payment and yield reduction payment calculations performed by the arbitrage calculating agent (irrespective of whether the Issuer owed any amount to the Internal Revenue Service), and records related to any arbitrage rebate payments or yield reduction payments made to the Internal Revenue Service, including the calculations performed by the arbitrage calculating agent substantiating such payments, together with the Internal Revenue Service Form 8038-T, Arbitrage Rebate, Yield Reduction and Penalty in Lieu of Arbitrage Rebate, that accompanied all such payments, until

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the date three years after the last outstanding obligation of the issue to which such records and rebate payments relate has been retired.

### VI. *Overpayment of Arbitrage Rebate Records*

If the Issuer has overpaid to the United States an arbitrage rebate or yield reduction payment liability, the Issuer shall maintain all records of such arbitrage rebate payments or yield reduction payments, including calculations performed by the arbitrage calculating agent, together with the Internal Revenue Service Form 8038-R, Request for Recovery of Overpayments Under Arbitrage Rebate Provisions, that accompanied the request for recovery of such over payment until the date three years after the last outstanding obligation of the issue to which such records and rebate overpayments relate has been retired.

### VII. *Other Records*

In addition to the records described above, the Issuer will maintain the following records, to the extent applicable to a particular tax-exempt debt offering, until the date 3 years after the last outstanding obligation of the issue to which such relate has been retired: (1) minutes and resolutions authorizing the issuance of, or the reimbursement of expenditures using proceeds of, the financing, (2) appraisals, demand surveys and feasibility studies related to financed or refinanced property, (3) documentation relating to any third-party funding for a project to which tax-exempt debt proceeds will be applied (including government grants), (4) records of any Internal Revenue Service audit(s) or compliance check(s), or any other Internal Revenue Service inquiry related to the debt.

### VIII. *Applicability of Recordkeeping Requirement in the Event of a Refunding*

If the Issuer issues tax-exempt debt to retire prior Issuer debt, the Issuer shall maintain all of the records described in the Section 7 with respect to the refunded debt until the date that is three years after the last outstanding tax-exempt obligation of the issue the proceeds of which were used to retire the refunded debt has been retired. For example, if the Issuer issues tax-exempt obligations in 2009 (2009 Bonds) to refund tax-exempt obligations issued in 2004 (2004 Bonds), the Issuer will maintain the records described in the Section 7 with respect to the 2004 Bonds until the date 3 years after the date the last outstanding 2009 Bond has been retired. If the 2004 Bonds themselves refunded prior Issuer debt, the Issuer shall also maintain records related to such prior Issuer debt for the same period of time.

## **SECTION 8. – Voluntary Closing Agreement Program**

The Issuer is aware of its ability, pursuant to Internal Revenue Service Notice 2008-31 or a successor Notice, to request a voluntary closing agreement with the Internal Revenue Service to correct failures on the part of the Issuer to comply with the federal tax rules related to tax-exempt debt issuances. A copy of Internal Revenue Service Notice 2008-31 is available on the Internal Revenue Service's website at [www.irs.gov](http://www.irs.gov).

**SECTION 9. – Continuing Education**

The Issuer will continue to consult with its bond counsel regarding the federal tax rules applicable to its outstanding tax-exempt debt and changes to the federal tax law, and the Issuer will update these policies and procedures as needed to reflect any such changes.

**SECTION 10. – Miscellaneous**

The Issuer reserves the right to amend or withdraw these TE Policies and Procedures at any time and from time to time to reflect changes in federal tax laws or other applicable laws concerning its tax-exempt obligations. The Issuer shall consult with bond counsel as it deems necessary to ensure the applicable federal tax law requirements are satisfied. These TE Policies and Procedures do not, and are not intended to, limit the actions of the Issuer to solely those federal tax matters listed above, but are intended to provide the Issuer with broad discretion in addressing any and all federal tax matters that may affect its tax-exempt obligations.

**SECTION 11. – Consultation with Bond Counsel**

Should the City have further questions regarding the Post-Issuance Compliance Policies and procedures or any other questions concerning tax-exempt obligations, please contact Dinsmore & Shohl LLP at 513-639-9217.

**CITY OF RIVERSIDE  
POST-ISSUANCE  
CONTINUING DISCLOSURE COMPLIANCE  
POLICIES AND PROCEDURES**

Adopted \_\_\_\_\_

## 22-O-793 Exhibit B

This Continuing Disclosure Policy (“Disclosure Policy”) of City of Riverside (the “Issuer”), is intended to ensure that the Disclosure Documents, as listed in Exhibit A to this Disclosure Policy, are accurate and comply with all applicable federal and state securities laws in connection with the issuance of the Issuer’s debt offerings. In the event this Disclosure Policy conflicts, in whole or in part, with the continuing disclosure certificate or agreement executed by the Issuer in connection with the issuance of its debt offerings (a “Disclosure Certificate”), the terms of the applicable Disclosure Certificate will control.

In addition, the Issuer intends to comply with its obligations under each Disclosure Certificate to provide annual financial information and notices of the occurrence of certain events set forth in Rule 15c2-12, promulgated by the SEC (as defined below) under the Securities and Exchange Act of 1934.

### **ARTICLE I** **DEFINITIONS**

**General.** The definitions set forth herein shall apply to any capitalized term used in this Disclosure Policy unless otherwise defined herein. In addition, as used in this Disclosure Policy, the following capitalized terms shall have the following meanings:

*“Annual Financial Information”* means the financial information and/or operating data, prepared annually by the Issuer, which shall include, if prepared, audited financial statements, including a statement of net assets, a statement of revenues, expenses and changes in net assets and a statement of cash flow. All such financial information shall be prepared using generally accepted accounting principles and audited by a certified public accountant or the Auditor of the State of Ohio.

*“City Council”* means the City Council of City of Riverside, Ohio.

*“Disclosure Documents”* means the list of documents attached hereto as Exhibit A, including the Annual Financial Information and Operating Data.

*“Division”* means the Division of Enforcement of the SEC.

*“EMMA”* means the Electronic Municipal Market Access system of the MSRB.

*“Finance Department”* means the Finance Director’s Office of City of Riverside.

*“Fiscal Officer”* means the Finance Director of City of Riverside.

*“General Counsel”* means the law director of City of Riverside.

*“MSRB”* means the Municipal Securities Rulemaking Board or any other board or entity which succeeds to the functions currently delegated to the Municipal Securities Rulemaking Board by the Rule.

*“Operating Data”* means the Issuer’s operating data disclosed pursuant to its Disclosure Certificates, and which consists of certain information contained in the offering document distributed in connection with the issuance of the Issuer’s obligations.

*“Rule”* means Rule 15c2-12, promulgated by the SEC under the Securities and Exchange Act of 1934.

*“SEC”* means the U.S. Securities and Exchange Commission and any successor federal agency having jurisdiction over the purchase, sale and offering by broker-dealers of securities such as those issued by the Issuer.

## **ARTICLE II**

### **PARTICIPANTS AND RESPONSIBILITIES**

**Disclosure Coordinator.** The Fiscal Officer shall select and appoint a disclosure coordinator, who may be the Fiscal Officer (the “Disclosure Coordinator”). The Disclosure Coordinator is responsible for:

- (a) Serving as a “point person” for personnel to communicate issues or information that should be or may need to be included in any Disclosure Document;
- (b) Collecting and preparing, or coordinating the collection and preparation of, the Annual Financial Information and Operating Data required to be submitted to the MSRB under each Disclosure Certificate;
- (c) Ensuring that the City Council has reviewed any Disclosure Document prior to such being submitted to the MSRB or otherwise released to the investing public;
- (d) Reviewing, approving, and submitting to the MSRB any Disclosure Documents the Issuer is obligated to submit pursuant to the Disclosure Certificates, as well as maintaining copies of all such Disclosure Documents with the Issuer;
- (e) Reviewing and approving any Disclosure Certificate to which the Issuer is a party to ensure compliance with the Rule, and maintaining a file with the Issuer which includes each such Disclosure Certificate executed by the Issuer;
- (f) Monitoring compliance by the Issuer with this Disclosure Policy and the Rule, including timely dissemination of the Annual Financial Information, including the Operating Data, and Listed Event filings;
- (g) Evaluating the effectiveness of and recommending changes to this Disclosure Policy to the Fiscal Officer as necessary or appropriate;
- (h) Communicating with third parties, including coordination with the Issuer’s disclosure or bond counsel, in the preparation and dissemination of Disclosure Documents to make sure that the filings are made on a timely basis and are accurate;

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- (i) In anticipation of preparing Disclosure Documents, soliciting “material” information (as defined for purposes of federal securities law) from departments of the Issuer;
- (j) Reviewing annually the Issuer’s status and compliance with continuing disclosure undertakings including filings of Disclosure Documents; and
- (k) Ensuring compliance with training procedures as described below.

The Disclosure Coordinator may file with the MSRB those Disclosure Documents that the Issuer is contractually obligated to file with the MSRB as a result of the occurrence of a Listed Event (as defined below) or as a result of the timely failure to file the required annual report. The Disclosure Coordinator shall consult with the Issuer’s disclosure or bond counsel to the extent the Disclosure Coordinator considers appropriate. Whether or not a particular document or other communication is a Disclosure Document shall be determined by the Disclosure Coordinator. Following receipt of a Disclosure Document from the Financing Group (as defined below), the Disclosure Coordinator shall evaluate the Disclosure Document for accuracy and compliance with federal and state securities laws.

The Issuer will encourage the Disclosure Coordinator to attend continuing education events and conferences, as needed, pertaining to the Issuer’s continuing disclosure obligations under the Rule. In addition, separate training sessions shall be conducted by the Issuer’s disclosure or bond counsel, with the assistance of the General Counsel, for the members of the City Council and/or the Finance Department. The Disclosure Coordinator shall ensure that the City Council and/or the Finance Department are properly trained and educated to understand and perform their responsibilities.

**Financing Group.** The Fiscal Officer shall identify a Financing Group (the “Financing Group”) for each debt offering (the composition of which may differ for each such offering), which may include the following:

- (a) General Counsel;
- (b) Fiscal Officer;
- (c) The Issuer’s outside bond counsel and disclosure counsel;
- (d) The Issuer’s financial advisor (if any);
- (e) The Issuer’s underwriter (if any); and
- (f) Such other members that the Fiscal Officer or other members of the Financing Group determine to be appropriate.

It is the Issuer’s policy to establish continuing working relationships with professional advisors with expertise in the area of public finance and federal securities laws applicable to the issuance of securities by the Issuer.

**ARTICLE III**  
**REVIEW AND APPROVAL OF DISCLOSURE DOCUMENTS**

**Responsibilities of the Financing Group.** The Financing Group shall (i) confirm that the Official Statement accurately states all material information relating to both the Issuer and the particular obligations being issued and that all such information has been critically reviewed by an appropriate person, (ii) confirm that all information in the Official Statement other than the information described in the previous clause will be addressed by a closing certificate or opinion by an appropriate person, (iii) report any significant disclosure issues and concerns to the Financing Group, and (iv) confirm that the Official Statement is in substantially final form and is in a form ready to be “deemed final” by the City Council and/or the Fiscal Officer pursuant to the Rule.

**Responsibilities of the General Counsel.** The General Counsel shall review the Official Statement and shall draft for the Official Statement descriptions of (i) any material current, pending, or threatened litigation, (ii) any material settlements or court orders and (iii) any other legal issues that are material information for purposes of the Official Statement.

**Responsibilities of the Fiscal Officer.** The Fiscal Officer shall review the Official Statement, identify any material difference in presentation of financial information from the Annual Financial Information, and ensure there are no misstatements or omissions of material information in any sections that contain descriptions of information prepared by the Fiscal Officer (or the Finance Department) or of relevance to the finances of the Issuer.

**Review and Approval by the Financing Group.** The Financing Group shall evaluate the Official Statement for accuracy and compliance with federal and state securities laws.

**ARTICLE IV**  
**CONTINUING DISCLOSURE FILINGS**

Under each Disclosure Certificate the Issuer has entered into in connection with its debt offerings, the Issuer is required each year to file annual reports with the MSRB. Such annual reports are required to include the Issuer’s audited financial statements and the Operating Data (if any). The Issuer is also required under each Disclosure Certificate to file notices of certain events with EMMA.

The Disclosure Documents required to be submitted to the MSRB pursuant to each Disclosure Certificate shall be submitted in an electronic format, and shall be accompanied by identifying information, in the manner prescribed by the MSRB, or in such other manner as is consistent with the Rule.

**Disclosure of Listed Events.** Pursuant to the Rule, the Issuer is obligated to disclose to the MSRB notice of certain specified events with respect to the Issuer’s securities (a “Listed Event”). The Financing Group may meet to discuss any event and determine, in consultation with the Issuer’s disclosure or bond counsel to the extent determined by the Disclosure Coordinator, whether a filing is required or is otherwise desirable. If such a filing is deemed necessary, the Disclosure Coordinator shall cause a notice of the Listed Event (a “Listed Event

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Notice”) that complies with the Rule to be prepared, and the Disclosure Coordinator shall file the Listed Event Notice as required by the Rule. For securities issued on or after December 1, 2010, and variable rate demand obligations issued at any time but which convert from a mode exempted from the Rule to a mode not so exempted on or after December 1, 2010, each such related Disclosure Certificate should contain Listed Events as listed in Exhibit B to this Disclosure Policy.

**Noncompliance with the Rule.** From time to time, the Disclosure Coordinator, in consultation with the Issuer’s disclosure or bond counsel, shall determine whether the Issuer has materially complied or failed to comply with its obligations under the Rule. The failure of the Issuer to comply with such obligations constitutes a “Material Lapse.” Upon the Disclosure Coordinator’s determination that a Material Lapse has occurred, the Disclosure Coordinator shall present such findings to the Financing Group within ten (10) days of such determination. Upon review and a majority consensus of the Financing Group that a Material Lapse has occurred, the Fiscal Officer shall be authorized to report such Material Lapse by submitting a failure to file notice with the MSRB. The Fiscal Officer shall consult with the Issuer’s disclosure or bond counsel in completing any such failure to file notice.

### **ARTICLE V** **PUBLIC STATEMENTS REGARDING FINANCIAL INFORMATION**

**Financial Statements.** Whenever the Issuer makes statements or releases information relating to its finances to the public that are reasonably expected to reach investors and the trading markets (including, without limitation, all Listed Event Notices, statements in the Annual Financial Information, and other financial reports and statements of the Issuer), the Issuer is obligated to ensure that such statements and information are complete, true, and accurate in all material respects.

### **ARTICLE VI** **MISCELLANEOUS**

**Amendments.** Any provision of this Disclosure Policy may be waived or amended at any time by written confirmation by the Fiscal Officer.

**EXHIBIT A**  
**DISCLOSURE DOCUMENTS**

1. Preliminary and final official statements, private placement memoranda and remarketing memoranda relating to the Issuer's securities, together with any supplements.
2. Financial Statements (including audited financial reports).
3. Filings made by the Issuer with the MSRB, whether made pursuant to a Disclosure Certificate to which the Issuer is a party or otherwise, and receipts of such filings.
4. Any other communications that are reasonably expected, in the determination of the Disclosure Coordinator, in consultation with the Issuer's disclosure or bond counsel, to reach investors and the trading markets for municipal securities.

**EXHIBIT B**

**LISTED EVENTS**

The Disclosure Coordinator should review this list at least once each week to determine whether any event has occurred that may require a filing with the MSRB. For securities issued prior to December 1, 2010, please refer to the applicable Disclosure Certificate for information regarding the events which trigger a requirement to file on EMMA.

For securities (subject to the Rule) issued on or after December 1, 2010, or for variable rate demand bonds that are converted from a mode currently exempted from the Rule to a mode not so exempted on or after December 1, 2010, the following events automatically trigger a requirement to file on EMMA within ten (10) business days of their occurrence, without regard to the materiality of the event:

1. Principal and interest payment delinquencies
2. Unscheduled draws on debt service reserves reflecting financial difficulty
3. Unscheduled draws on credit enhancements reflecting financial difficulty
4. Substitution of credit or liquidity providers, or their failure to perform
5. Adverse tax opinions or events affecting the tax-exempt status of the security
6. Tender offers
7. Defeasances
8. Rating changes
9. Bankruptcy, insolvency, receivership or similar event of the Issuer
10. Failure to provide in a timely manner notice to provide required annual financial information by the date specified in any Disclosure Certificate

The following events trigger a requirement to file notice of their occurrence on EMMA within a reasonable period of time after their occurrence, once they are determined to be material by the Financing Group:

1. Non-payment related defaults
2. Modifications to the rights of security holders
3. Bond calls
4. Release, substitution or sale of property securing repayments of the securities

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5. The consummation of a merger, consolidation, or acquisition involving the Issuer or the sale of all or substantially all of the assets of the Issuer, other than in the ordinary course of business, the entry into a definitive agreement to undertake such an action or the termination of a definitive agreement relating to any such actions, other than pursuant to its terms
6. Appointment of a successor or additional trustee or the change of name of a trustee
7. The incurrence of a material financial obligation of the Issuer or obligated person, or agreement to covenants, events of default, remedies, priority rights or other similar terms of a financial obligation of the issuer or obligated person, any of which affect security holders
8. The default, event of acceleration, termination event, modification of terms or other similar events under the terms of a financial obligation of the issuer or obligated person, any of which reflect financial difficulties



**MEETING DATE: April 21, 2022**

**AGENDA ITEM: New Business**

**TO:** Riverside City Council

**FROM:** Kathy Bartlett, PE Public Services Director

**SUBJECT:** Resolution No. 22-R-2760 –Authorizing the City Manager of the City of Riverside to enter into a contract with ODOT for the rehabilitation of Spinning Road Phase 2 (Eastman to Burkhardt).

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**EXPLANATION:**

We submitted and received a Surface Transportation Program grant (STP) grant from MVRPC for the rehabilitation of Spinning Road Phase 2 (Eastman to Burkhardt). This Resolution enters Riverside into an agreement with ODOT who will administer these Federal Funds. The agreement establishes responsibilities of ODOT and Riverside. Total project cost is \$1,021,051 with \$508,596 being amount of the STP grant. Riverside will be responsible for the balance of \$512,455 to come from the 2024 Capital Improvement budget. We will apply for OPWC funds this fall for a portion of this amount.

**RECOMMENDATION**

It is respectfully recommended that the Mayor and City Council approve the attached resolution.

**FISCAL IMPACT**

Included in Explanation section.

**SOURCE OF FUNDS**

Which fund/line item will be used to pay for this, if applicable?

n/a

**EXHIBITS**

See attached Resolution

## LPA FEDERAL ODOT-LET PROJECT AGREEMENT

**THIS AGREEMENT** is made by and between the State of Ohio, Department of Transportation, hereinafter referred to as ODOT, 1980 West Broad Street, Columbus, Ohio 43223, and the City of Riverside, hereinafter referred to as the LPA, 5200 Springfield Street, Suite 100, Riverside, Ohio 45431.

### 1. PURPOSE

- 1.1 The National Transportation Act has made available certain Federal funding for use by local public agencies. The Federal Highway Administration (hereinafter referred to as FHWA) designated ODOT as the agency in Ohio to administer FHWA's Federal funding programs.
- 1.2 Section 5501.03 (D) of the **Ohio Revised Code** (hereinafter referred to as ORC) provides that ODOT may coordinate its activities and enter into contracts with other appropriate public authorities to administer the design, qualification of bidders, competitive bid letting, construction, inspection, and acceptance of any projects administered by ODOT, provided the administration of such projects is performed in accordance with all applicable Federal and State laws and regulations with oversight by ODOT.
- 1.3 The MOT-Spinning Road Improvements, PH 2 (hereinafter referred to as the PROJECT) is a transportation activity eligible to receive Federal funding, and which is further defined in the PROJECT scope.
- 1.4 The purpose of this Agreement is to set forth requirements associated with the Federal funds available for the PROJECT and to establish the responsibilities of ODOT and the LPA for administration of the PROJECT.

### 2. LEGAL REFERENCES

- 2.1 This Agreement is authorized and/or governed by the following statutes and/or policies, which are incorporated, by reference, in their entirety:

#### A. FEDERAL

- 2 CFR Part 200
- 23 CFR 172 "Administration of Engineering and Design Related Design Related Service Contracts"
- 23 CFR 630.106 Authorization to Proceed
- 23 CFR 636.116 - Organizational Conflict of Interest Requirements for Design-Build Projects
- 23 CFR 645 - Utilities
- 48 CFR Part 31 – Federal Acquisition Regulations
- 49 CFR PART 26 - Participation by Disadvantaged Business Enterprises "DBE" in Department of Transportation Financial Assistance Programs
- 23 USC 112 "Letting of Contracts"
- 40 USC Subtitle I, Chapter 11, Sections 1101-1104, the "Brooks Act." – "Selection of Architects and Engineers"
- Federal Funding Accountability and Transparency Act (FFATA)

B. STATE

- ORC 153.65 through 153.71
- ORC 5501.03(D)
- OAC 4733-35-05

C. ODOT

- ODOT's Manual for Administration of Contracts for Professional Services
- ODOT's Specifications for Consulting Services – 2016 Edition
- ODOT's Consultant Prequalification Requirements and Procedures
- State of Ohio Department of Transportation Construction and Material Specifications Manual
- State of Ohio Department of Transportation Construction Administration Manual of Procedures

2.2 The LPA shall comply with all applicable Federal and State laws, regulations, executive orders, and applicable ODOT manuals and guidelines. This obligation is in addition to compliance with any law, regulation, or executive order specifically referenced in this Agreement.

3. FUNDING

3.1 The total cost for the PROJECT is estimated to be \$1,021,051 as set forth in Attachment 1. ODOT shall provide to the LPA 80 percent of the eligible costs, up to a maximum of \$508,596 in Federal STP funds. This maximum amount reflects the funding limit for the PROJECT set by the applicable Program Manager. Unless otherwise provided, funds through ODOT shall be applied only to the eligible costs associated with the actual construction of the transportation project improvements and construction engineering/inspection activities.

3.2 The LPA shall provide all other financial resources necessary to fully complete the PROJECT, including all 100 percent Locally funded work, and all cost overruns and contractor claims in excess of the maximum(s) indicated in 3.1 above.

3.3 All funding from ODOT under this Agreement operates on a reimbursement basis. The LPA shall review and/or approve all contractor invoices for materials, equipment and labor prior to payment and prior to requesting reimbursement from ODOT for work performed on the PROJECT.

3.4 The LPA shall ensure the accuracy of any invoice in both amount and in relation to the progress made on the PROJECT. The LPA must submit to ODOT a written request for reimbursement of the state share of the expenses involved, attaching copies of all source documentation associated with pending invoices or paid costs. To assure prompt payment, the measurement of quantities and the recording for payment should be performed daily as the items of work are completed and accepted.

3.5 ODOT shall pay, or reimburse, the LPA or, at the request of the LPA and with concurrence of ODOT, pay directly to the LPA's construction contractor ("Contractor"), the eligible items of expense in accordance with the cost-sharing provisions of this Agreement. If the LPA requests to have the Contractor paid directly, Attachment 2 to this Agreement shall be completed and submitted with the project bid tabulations, and the Contractor shall be required to establish Electronic Funds Transfer with the State of Ohio. ODOT shall pay the Contractor or reimburse the LPA within thirty (30) days of receipt of the approved Contractor's invoice from the LPA.

3.6 The LPA shall certify in writing that the PROJECT was developed and delivered in compliance with the terms, conditions and requirements of the PROJECT Agreement with his/her Professional Engineer's seal and signature. The LPA shall then provide the final report to the ODOT District within 6 months of the physical completion date of the PROJECT so that the report may be audited and approved for payment. If the deadline cannot be met, a written explanation must be provided to the District prior to the end of the 6 months documenting the reason and the new anticipated

date of completion. If the extended deadline is not met, then this process must be repeated until the PROJECT is completed. Failure to follow this process may result in the immediate close-out of the PROJECT and loss of further funding.

3.7 Payment or reimbursement to the LPA shall be submitted to:

|                                    |
|------------------------------------|
| City of Riverside                  |
| 5200 Springfield Street, Suite 100 |
| Riverside, Ohio 45431              |

4. PROJECT DEVELOPMENT

- 4.1 The LPA and ODOT agree that the LPA has received funding approval for the PROJECT from the applicable ODOT Program Manager having responsibility for monitoring such projects using the Federal funds involved.
- 4.2 Project Development shall follow ODOT's Project Development Process and all ODOT standards for environmental evaluations, design, plan preparation, right of way acquisition, utility relocation and other processes as set out in the Department's Design Reference Resource Center, available on ODOT's website ([www.dot.state.oh.us/drrc/Pages/default.aspx](http://www.dot.state.oh.us/drrc/Pages/default.aspx)). Responsibilities for development of the project shall be as follows and further described herein:

## LPA ODOT Let Project Responsibility Assignments

| PDP Phase                 | Activity          | Responsibility |      | Commentary  |
|---------------------------|-------------------|----------------|------|---|
|                           |                   | LPA            | ODOT |   |
| Planning                  | All               | X              |      | ODOT to provide coordination as needed  |
| Preliminary Engineering   | All               | X              |      | ODOT to:<br>1) Provide coordination as needed<br>2) Review all plans and documents and provide comments |
| Environmental Engineering | Stage 1 Plans     | X              |      | ODOT to review all plans and documents and provide comments.  |
|                           | Stage 2 Plans     | X              |      | ODOT to review all plans and documents and provide comments.  |
|                           | Value Engineering |                | X    | ODOT will coordinate Value Engineering if required. Refer to Section 4.8.                               |
|                           | Cost Estimates    | X              |      | LPA/Consultant shall prepare in Estimator format.   |
|                           | NEPA              | X              |      | ODOT will coordinate NEPA approval. Refer to Section 4.7 for Environmental Responsibilities.            |

|                         |                                      |   |   |  |
|-------------------------|--------------------------------------|---|---|--|
|                         | Permits                              |   | X | ODOT will obtain permits needed to construct the project.  |
|                         | R/W Plans                            | X |   | ODOT to review all plans and documents and provide comments.   |
|                         | Public/Stakeholder Involvement       | X |   | ODOT to review all PI plans and materials and provide comments.  |
| Final Engineering & R/W | R/W Acquisition & Relocation         | X |   | Refer to Section 6 for detailed requirements.  |
|                         | Utility Relocation                   |   | X | Refer to Section 6.6 for additional details.   |
|                         | Railroad Coordination and Agreements |   | X | Refer to Section 6.8 for additional details.   |
|                         | Stage 3 Plans                        | X |   | ODOT to review all plans and documents and provide comments.   |
|                         | Cost Estimates                       | X |   | LPA shall prepare in Estimator format.   |
|                         | Final Plan Package                   | X |   | ODOT to review all plans and documents and provide comments.   |
|                         | Mitigation                           |   | X | ODOT will coordinate any required mitigation efforts.  |
|                         | Public/Stakeholder Involvement       | X |   | ODOT to review all PI plans and materials and provide comments.  |
| Construction            | Advertise                            |   | X | LPA and consultants to assist in responding to bidder questions and preparation of any addenda.  |
|                         | Award                                |   | X | ODOT Awards Committee  |
|                         | Administer Construction Contract     |   | X | ODOT will administer the construction contract. The LPA and LPA's consultants shall respond promptly to requests for information or other construction issues. |
|                         | Public/Stakeholder Involvement       | X | X | ODOT to coordinate in cooperation with the LPA.  |
| All Phases              | Federal Authorizations               |   | X | ODOT will coordinate and obtain all needed FHWA Authorizations and notify the LPA upon approval.   |

|            |                      |  |   |   |
|------------|----------------------|--|---|---|
| All Phases | Encumbrance of Funds |  | X | ODOT will encumber funds in accordance with this agreement. |
|------------|----------------------|--|---|---|

- 4.3 The LPA shall designate an LPA employee to act as the LPA Project Manager and act as the point of contact for all communications with ODOT.
- 4.4 If Federal funds are used for a phase of project development and the LPA executes an agreement with a consultant prior to the receipt of the "Authorization" notification from ODOT, ODOT may terminate this Agreement and cease all Federal funding commitments.
- 4.5 ODOT reserves the right to move this PROJECT into a future sale year if the LPA does not adhere to the established PROJECT schedule, regardless of any funding commitments.
- 4.6 Environmental Responsibilities
- A. In the administration of this PROJECT, the Permittee shall be responsible for conducting any required public involvement activities, for preparing all required documents, reports and other supporting materials needed for addressing applicable environmental assessment, for clearance responsibilities for the PROJECT pursuant to the National Environmental Policy Act and related regulations, including the requirements of the National Historic Preservation Act.
  - B. If the LPA does not have the qualified staff to perform any or all of the respective environmental responsibilities, the LPA shall hire a consultant in accordance with Section 5.
  - C. ODOT shall be responsible for the review of all environmental documents and reports, and shall complete all needed coordination activities with State and Federal regulatory agencies toward securing environmental clearance.
  - D. Whichever party obtains the Project's environmental clearance or permits shall be responsible for assuring compliance with all commitments made as part of such clearance or permit requirements during the construction of the project.
  - E. The LPA shall require its consultant to prepare a final environmental document pursuant to the requirements of the National Environmental Policy Act.
  - F. The LPA shall require its consultant to execute a copy of a disclosure statement specifying that the consultant has no financial or other interest in the outcome of the PROJECT.
  - G. The LPA shall provide a letter indicating the proposed Best Management Practices (BMPs) to be utilized for post construction storm water management in accordance with the Ohio EPA National Pollutant Discharge Elimination System (NPDES) Construction General Permit. If no BMPs are proposed, a letter stating concurrence is required from the Ohio EPA.
- 4.7 Use of ODOT Consultant Agreements
- A. ODOT may provide services through ODOT held consultant agreements at its discretion subject to funding participation by the LPA. Agreements that may be available for use include the following:

1. If the LPA chooses to utilize the CEAO task order contract for environmental services, the parties agree that the total cost shall be shared based on the following percentages: 80 percent federal/state funds and 20 percent local funds. The LPA agrees to pay its share of the estimated cost upon receipt of an invoice from ODOT prior to the issuance of any acquisition authorization. Once the Project is completed and the final costs determined, the LPA shall be refunded any excess amount paid if the total cost is below the estimated cost, or it shall be invoiced for its share of any increased cost above the estimated cost. The LPA agrees that it shall participate at the same funding percentage if the final costs exceed the estimated cost.
2. If the LPA chooses to utilize the CEAO task order contract for right-of-way acquisition services, the parties agree that the total cost shall be shared based on the following percentages: 80 percent federal/state funds and 20 percent local funds. The LPA agrees to pay its share of the estimated cost upon receipt of an invoice from ODOT prior to the issuance of any acquisition authorization. Once the Project is completed and the final costs determined, the LPA shall be refunded any excess amount paid if the total cost is below the estimated cost, or it shall be invoiced for its share of any increased cost above the estimated cost. The LPA agrees that it shall participate at the same funding percentage if the final costs exceed the estimated cost.
3. Value Engineering. If Value Engineering is required, the Department may elect to use an ODOT held agreement to assist in administering the Value Engineering process. If Value Engineering is required, the LPA shall require its consultants to participate as needed.

## 5. CONSULTANT SELECTION AND ADMINISTRATION

### 5.1 General Requirements

- A. The LPA must select a consultant/ consultant team that is prequalified by ODOT for all services to be performed by the consultant and subconsultants.
- B. The LPA must incorporate ODOT's "Specifications for Consulting Services – 2016 Edition" as a contract document in all of its consultant contracts.
- C. The LPA must require, as a scope of services clause, that project development follow ODOT's Project Development Process, and that all documents and plans prepared by the consultant must conform to ODOT's current standards, including the electronic deliverable requirements of ODOT's CADD Engineering Standards Manual, and Location and Design Manual Volume 3, Section 1500.
- D. The LPA consultant agreement must provide for ongoing consultant involvement during the construction phase of the Project.
- E. The LPA consultant agreement must include a completion schedule acceptable to ODOT.
- F. The LPA must assist ODOT in rating the consultant's performance through ODOT's Consultant Evaluation System.
- G. The LPA must cooperate with ODOT in directing additional or corrective work, and to recover damages due to errors or omissions.

- H. If Federal Funds are used to pay the cost of any contract for professional services, the LPA must comply with 23 CFR 172, Sections 153.65 through 153.71 of the Ohio Revised Code and Section 5.2 below in the selection of consultants, and administer consultant agreements in accordance with ODOT's Manual for Administration of Contracts for Professional Services. Professional services, as defined in Sections 5526.01 and 153.65(C) of the Ohio Revised Code, include the practice of engineering (including inspection of construction), the practice of surveying, the practice of architecture including landscape architecture, evaluation of environmental impacts, right-of-way acquisition services and administration of construction contract claims.

## 5.2 Procedures for LPA Selection of Consultants for Agreements that Include Federal Funds in Preliminary Engineering

### A. Policies in Selection of Consultants

#### 1. Restrictions Concerning LPA Preferences

The LPA **shall not** offer direction to consultants concerning preferences (or informal sanctions) for certain subconsultants or team arrangements. These arrangements are business decisions that must be made by consultants without direction from the LPA. The LPA must make selection decisions on the basis of proposed teams without advance "steering" of teams.

#### 2. Communications Restrictions

Please note the following policy concerning communication between Consultants and the LPA during the announcement and selection process:

During the time period between advertisement and the announcement of final consultant selections for the Programmatic Selection Process, communication with consultants (or their agents) shall be limited as follows:

##### a. Communications which are strictly prohibited:

- (1) Communication with the LPA: Any marketing or similar discussions of the specific project if the consultant has submitted or plans to submit a letter of interest, or is included as a subconsultant on a submittal by another firm.

##### b. Allowable communications include:

- (1) Project administration activities for authorized agreements, scope and negotiation activities for projects selected but not under contract.
- (2) Technical or scope of services questions specific to projects posted with a programmatic group.

##### c. When completed selections must be publicly announced.

#### 3. Advertisement

For selection procedures that require public notification, Requests for Letters of Interest “RFLol” must be advertised on the Consultant Services page of ODOT’s website.

#### 4. Disclosure of Selection Information

All selection information including consultant letters of interest shall be available for public disclosure upon completion of the selection.

Information that is not subject to public disclosure at any time includes financial statements and other confidential financial information submitted by a consultant.

#### 5. Supporting Documentation

Documentation supporting the solicitation, proposal, evaluation, and selection of the consultant shall be retained.

#### 6. Prohibited Selection Factors

- a. Price shall not be used as a factor in the evaluation, ranking, and selection phase. All price or cost related items which include, but are not limited to, cost proposals, direct salaries/wage rates, indirect cost rates, and other direct costs are prohibited from being used as evaluation criteria.
- b. In-State or local **preference** shall not be used as a factor in the evaluation, ranking, and selection phase. State licensing laws are not preempted by this provision and professional licensure within a jurisdiction may be established as a requirement for the minimum qualifications and competence of a consultant to perform the solicited services.

Refer to Section 5.2.C.1.n. below for additional guidance concerning the use of local **presence** as a nominal evaluation factor where appropriate.

### B. Consultant Selection Processes

The LPA may use any one of five consultant selection processes permitted by 23 CFR 172 and ORC 153.65 – 153.71, the use of which depends on the complexity of the project, estimated total fee, the number of available qualified consultants and whether an emergency exists. The Programmatic and Technical Proposal selection processes are competitive qualifications based selection processes governed by 23 CFR 172.7(a)(1) and ORC 153.65 – 153.71. These selection processes require solicitation, evaluation, ranking, selection, and negotiation in accordance with the qualifications-based selection procurement procedures for architectural and engineering services codified under 40 U.S.C. 1101-1104, commonly referred to as the Brooks Act or Selection of Architects and Engineers.

The Small Purchase selection process is a non-competitive selection process governed by 23 CFR 172.7(a)(2) and ORC 153.71(A). Agreements with total fees less than \$50,000 are eligible for this selection process.

The Emergency and Special Expertise selection processes are non-competitive selection processes governed by 23 CFR 172.7(a)(3) and ORC 153.71.

#### 1. Programmatic Selection Process

The Programmatic Selection Process is a one-step selection process intended to shorten the selection/authorization process for non-complex projects while reducing paperwork and administrative costs for both consultants and the State. In this process consultants are selected based on standard letter of interest content, and a standard Selection Rating Form.. The “Programmatic” selection process should be used for most projects that do not meet the criteria for the more elaborate Technical Proposal Selection Process.

## 2. Technical Proposal Selection Process

The technical proposal selection process is a two-step process intended for use on larger, more complex projects for which a more informed selection decision can be made based on additional information received through the submittal of a (more elaborate) Technical Proposal, and/or presentations/interviews. The Technical Proposal Selection Process is appropriate to use under the following circumstances:

- a. Complex projects involving multiple PDP steps and multiple disciplines including planning, environmental and design services.
- b. Projects that include complex project management challenges in which the role of the consultant project manager will be crucial to project success, and may require extensive public involvement activities.
- c. Specialized services for which the LPA has limited experience and performance records for past projects.
- d. Generally any project for which a single submittal does not provide sufficient information to make a well informed selection decision.

The technical proposal selection process includes the initial submittal of a letter of interest similar to the Programmatic Selection Process, and then “shortlisting” to at least three of the most highly qualified firms. The standard letter of interest content may be revised to include increased page limits and project specific content. The shortlisted firms are then required to submit additional written information (technical proposal) and/or participate in additional discussions or presentation/interview. The content of the technical proposal and the format of interviews can be tailored to fit the requirements of specific projects.

Discussions, if required by the RFLOI, may be written, by telephone, video conference, or by oral presentation/interview and shall be with at least three of the most highly qualified consultants to clarify the technical approach, qualifications, and capabilities provided in response to the RFLOI.

The process for shortlisting at least three consultants is identical to that of the Programmatic Selection Process. The final selection of a single consultant also follows the same process but considers the written technical proposal and/or presentation/interview along with the initial letter of interest.

## 3. Emergency Selection Process

The LPA may directly select a consultants for a project determined by the Director of Transportation to be an emergency which will not permit the time necessary to conduct a competitive selection process. Contract costs shall be negotiated in accordance with Chapter 3, Section 3.9 of ODOT's Consultant Contract Administration.

#### 4. Small Purchase Selection Process

The LPA may directly select consultants without solicitation for projects with an estimated total fee of less than \$50,000. The scope of work, project phases, and contract requirements shall not be broken down into smaller components merely to permit the use of fee exempt procedures. The following requirements apply:

- a. The qualifications of a minimum of three consultants must be reviewed prior to selection. The consultants considered for selection and the reasons for selecting the most qualified consultant shall be documented.

In instances where two or fewer consultants are considered qualified, the LPA may proceed with evaluation and selection if it is determined that the project requirements did not contain conditions or requirements that arbitrarily limited competition. The reasons for proceeding with the selection shall be documented.

- b. The full amount of any contract modification that would cause the total contract amount to exceed \$50,000 is ineligible for Federal-aid funding. The FHWA may withdraw all Federal-aid from a contract if Federal funds are used in modifying an agreement above the \$50,000 simplified acquisition threshold.
- c. Contract costs shall be negotiated in accordance with Chapter 3, Section 3.9 of ODOT's Consultant Contract Administration.

#### 5. Special Expertise Selection Process

The LPA may directly select consultants for projects for which the service is available only from a single source. Contract costs shall be negotiated in accordance with Chapter 3, Section 3.9 of ODOT's Consultant Contract Administration.

### C. Selection Procedures – Programmatic Selection Process

#### 1. Letter of Interest Content

Requests for Letters of Interest (RFLol) shall include the following:

- a. Project name from Ellis (County-Route-Section);
- b. A description of the project including the location.
- c. A description of the selection process to be used, including the number of steps (direct selection based on the information provided, or a two-step process with a short list and technical proposal and/or interviews, etc.), and the selection rating criteria to be used. The standard selection rating form included herein should be used for most projects.
- d. Any restrictions on communicating with government officials during the selection process.
- e. Any restrictions concerning suspended or debarred firms.
- f. Date that the letter of interest is due. The minimum response time shall be two weeks from the initial posting date.

- g. The approximate construction cost if available.
- h. Any special provisions or contract requirements associated with the services.
- i. The following notification:

*The [LPA] in accordance with Title VI of the Civil Rights Act of 1964 (78 Stat. 252, 42 U.S.C. §§ 2000d to 2000d-4) and the Regulations, hereby notifies all bidders that it will affirmatively ensure that any contract entered into pursuant to this advertisement, all bidders including disadvantaged business enterprises will be afforded full and fair opportunity to submit bids in response to this invitation and will not be discriminated against on the grounds of race, color, national origin, sex, age, disability, low-income status, or limited English proficiency in consideration for an award.*

- j. The DBE Goal requirements and related selection procedures.
- k. Major work elements involved.
- l. A detailed scope of services for the agreement.
- m. The ODOT prequalification(s) required to provide the services;
- n. Subfactors - Any important aspects of a project, if any, that will play a large role in the consultant selection process.

In-State or local preference shall not be used as a selection factor or subfactor, however a local presence may be used as a nominal evaluation factor where appropriate. This criteria shall not be based on political or jurisdictional boundaries and may be applied on a project-by-project basis for contracts where a need has been established for a consultant to provide a local presence, a local presence will add value to the quality and efficiency of the project, and application of this criteria leaves an appropriate number of qualified consultants, given the nature and size of the project. If a consultant from outside of the locality area indicates as part of a proposal that it will satisfy the criteria in some manner, such as establishing a local project office, that commitment shall be considered to have satisfied the local presence criteria.

- o. The contract type and payment method(s) anticipated to contract for the solicited services. Refer to Chapter 4 of ODOT's Consultant Contract Administration for detailed explanations of contract types and payment methods.
- p. Estimated date of authorization.
- q. Time period in which the work must be completed.
- r. Instructions for submitting a letter of interest including content and required format. The information requested should be consistent with the rating criteria.
- s. Required content of the letter of interest (RFLol) including;
  - (1) The firm's general qualifications.
  - (2) Proposed key staff including key subconsultant staff and project approach.
  - (3) A listing of subconsultants including project responsibility.

- (4) Whether resumes of key staff members must be submitted.
- (5) Other information needed to make an informed selection decision.

## 2. Evaluation Process

- a. Initially evaluate all firms for compliance with the following requirements, advise Districts of the firms that must be eliminated from further consideration and the reason for elimination:

- (1) Compliance with general LOI requirements, current negligence issues, and ongoing performance issues identified through CES, overall low CES rating, insufficient staff, excessive workload, or any other significant issues relative to a firm's performance.
- (2) Inclusion on the list of firms suspended or debarred by the Federal Government.
- (3) For projects noted as having DBE Goals, ODOT will determine whether the consultant made a good faith effort to meet the goal in accordance with 49 CFR 26.53 and Appendix A to Part 26. The letter of interest must show that the consultant has made good faith efforts to meet the goal. Good faith efforts may include: (1) Documentation that the consultant has obtained enough DBE or EDGE (Encouraging Diversity, Growth and Equity) participation to meet the goal; or (2) Documentation that it made adequate good faith efforts, as defined in 49 CFR 26.53, to meet the goal, even though it did not succeed in obtaining enough DBE/EDGE participation to do so. Consultants that do not show good faith efforts to meet the Goal will not be eligible for selection.

- b. Compliance with prequalification requirements.

- c. Reduce the number of firms to 3-6 for each project through a process of elimination, based on the selection rating factors included in the Consultant Selection Rating Form. Firms may be eliminated due to fatal flaws, overall weakness of team relative to other firms, weak project approach, etc. Provide written documentation concerning the reasons for eliminating a firm from consideration.

In instances where two or fewer consultants respond to the RFLol, or two or fewer consultants are considered qualified to be shortlisted, the LPA may proceed with evaluation and selection if it is determined that the solicitation did not contain conditions or requirements that arbitrarily limited competition. The reasons for proceeding with the selection shall be documented.

- d. For each project, rate each shortlisted firm using the selection rating form. Supplement the numerical ratings with written comments that explain the differential scoring. The highest rated firm shall be selected.

## 3. Selection Rating Procedures

- a. ODOT's standard consultant selection rating form is shown below. The LPA may use a modified selection rating form that meets the requirements of 23 CFR 172 and ORC 153.65 – 153.71.
- b. Selection evaluations should be based on collaborative discussions of the selection committee members concerning the overall strengths and weaknesses of the teams,

including the relative importance of the various selection rating factors relative to the specific requirements of the project. Numerical weights are a guide as to what is important but the selection should not be a mathematical exercise consisting of the addition of scores determined by individual team members. The selection team members should work to reach consensus in determining a single selection rating including written comments that document the reasons for the numerical scores.

- c. For each selection rating factor, each short listed firm shall be ranked, with the highest ranked firm receiving the maximum number of points, and lower ranked firms receiving commensurately lower scores. If firms are considered to be equally qualified, the firms may receive the same score for that selection rating factor. The rankings and scores should be based on each firm's specific proposal and project approach, including the named project manager, staff and subconsultants. Experience on similar projects, past performance for the LPA and other agencies should be considered. The selection committee may contact other ODOT Districts and outside agencies if necessary. Any subfactors identified in the RFLol should be weighed heavily in the differential scoring.

Differential scoring should consider the relative importance of a selection factor in the success of a given project. The project manager's role in a simple project may be less important than for a complex project, and differential scoring should reflect this, with higher differential scores assigned to projects that require a larger role for the project manager. Similar consideration should be given to all selection factors

#### 4. ODOT's Consultant Selection Rating Form and Selection Rating Notes

| Category   | Total Value | Scoring Criteria  | Score |
|--|-------------|-------------------|-------|
| <b>Management &amp; Team</b>                                   |             |                   |       |
| Project Manager  | 10          | See Note a. below |       |
| Strength/Experience of Assigned Staff including Subconsultants | 25          | See Note b. below |       |
| Firm's Current Workload/ Availability of Personnel             | 10          | See Note c. below |       |
| <b>Consultant's Past Performance</b>                           | 30          | See Note d. below |       |
| <b>Project Approach</b>  | 25          | See Note e. below |       |
| <b>Total</b>   | 100         |                   |       |

The following discussion addresses each selection rating factor including scoring methodology, appropriate sources of information and factors that may not be considered.

- a. Project Manager

The proposed project manager for each consultant shall be ranked, with the highest ranked project manager receiving the greatest number of points, and lower ranked project managers receiving commensurately lower scores. The rankings and scores should be based on each project manager's experience on similar projects and past performance for the LPA. The selection committee may contact ODOT and outside agencies if necessary. Any subfactors identified should be weighed heavily in the differential scoring.

Differential scoring should consider the relative importance of the project manager's role in the success of a given project. The project manager's role in a simple project may be less important than for a complex project, and differential scoring should reflect this, with higher differentials assigned to projects that require a larger role for the project manager.

b. Strength/Experience of Assigned Staff including Subconsultants

The experience and strength of the assigned staff, including subconsultant staff, should be ranked and scored as noted for Number 1 above, with higher differential scores assigned on more difficult projects. Any subfactors identified in the project notification should be weighed heavily in the differential scoring.

As above, ODOT and other agencies may be contacted.

c. Firm's Current Workload/ Availability of Personnel (Considered at statewide meeting)

In instances when consultant's current workload may impact their ability to complete the work as proposed, the firm's current workload and availability of qualified personnel shall be considered.

d. Consultant's Past Performance

The consultants' past performance on similar projects, including subconsultant performance, shall be ranked and scored on a relative, differential scoring type basis, with the highest ranked consultant receiving a commensurately greater number of points. The selection team should consider ODOT CES performance ratings if available, and consult other ODOT Districts, ODOT Central Offices, and other agencies as appropriate. The use of CES ratings shall place emphasis on the specific type of services requested.

The differential scoring should consider the complexity of the project and any subfactors identified in the project notification.

e. Project Approach

Evaluation of the firm's project approach shall consider:

- (1) The firm's technical approach and understanding of the project.
- (2) The firm's qualifications for the project including knowledge and experience concerning relevant ODOT standards, procedures and guidance documents.
- (3) Any innovative ideas.

When considering this factor in rating firms, the type of project and the relevance of this factor to the project must be considered. For task order and construction inspection projects, and small uncomplicated design projects, the possibility for innovation may be very limited. Larger more complex projects will generally offer more opportunities for innovation. Consultants that identify truly innovative ideas should receive credit in the selection rating, but this factor can be disregarded when projects offer little opportunity for innovation.

- (4) The firm's project specific plan for ensuring increased quality, reduced project delivery time and reduced project costs.

These factors will be relatively more important and relevant to a complex PDP project, and much less important for a construction inspection or task order contract. Please remember that Federal rules prohibit consideration of overhead rates, wage rates or any other cost data submitted voluntarily by the consultant.

#### D. Negotiation of Consultant Agreements

Agreements shall be negotiated in accordance with ODOT's Manual for Administration of Contracts for Professional Services, Volume 1 Consultant Contract Administration, Section 3.9.

#### E. Agreements

ODOT will prepare the LPA/Consultant Agreement between the Consultant and LPA. The agreement will be transmitted to the LPA by the ODOT District Office. A copy of the executed LPA/Consultant Agreement shall be returned to the District Office.

#### F. Documentation of Consultant Selections

The LPA shall maintain a consultant selection file that includes the following information, and provide copies of all documents to the District for their files.

1. A copy of the Request for Proposal and the date posted on ODOT's website;
2. A listing of firms that submitted Letters of Interest;
3. Letters of Interest from all firms that submitted;
4. Selection rating forms and any supporting notes and documentation, including membership of the selection committee;
5. A listing of firms selected to submit technical proposals (if applicable), copies of the technical proposals, and related correspondence;
6. Selected consultant's Price Proposal;
7. Negotiation records; and
8. A copy of the Agreement, Scope of Services, authorization letter, Invoice and Project Schedule, and any other documents relevant to the agreement.

### 6. RIGHT OF WAY/ UTILITIES/ RAILROAD COORDINATION

- 6.1 All right-of-way acquisition activities shall be performed by the LPA in accordance with the Uniform Relocation Assistance and Real Property Acquisition Act of 1970 (Public Law 91-646) as amended by 49 CFR Part 24 (hereinafter referred to as Uniform Act), any related Federal regulations issued by the FHWA, and State rules, policies and guidelines issued by ODOT. Refer to Sections 4.2 and 4.4 concerning Federal authorization.

- 6.2 If existing and newly-acquired right of way is required for this PROJECT, the LPA shall certify that the all right of way has been acquired in conformity with Federal and State laws, regulations, policies, and guidelines. Per ODOT's Office of Real Estate, any LPA staff who perform real estate functions shall be prequalified. If the LPA does not have the qualified staff to perform any or all of the respective right of way functions, the LPA shall hire an ODOT Pre-qualified Consultant through a QBS process. The LPA shall not hire the same consultant to perform both the appraisal and appraisal review functions. Appraisal review shall be performed by an independent staff or fee reviewer and shall be hired directly by the LPA. Likewise, a consultant hired to perform right of way acquisition work is not permitted to perform both the relocation and relocation review functions. Relocation review shall be performed by an independent staff or fee reviewer.
- 6.3 If the LPA hires a pre-qualified consultant, the LPA shall be responsible for monitoring the consultant's activities and ensuring that the consultant is following all Federal and State laws, regulations, policies, and guidelines.
- 6.4 All relocation assistance activities shall be performed by the LPA in conformity with Federal and State laws, including the Uniform Act, and any related Federal regulations issued by the FHWA, and State rules, policies and guidelines issued by ODOT. The LPA shall not hire a consultant to perform both the relocation and relocation review functions nor shall the LPA hire a sub-consultant for relocation and another sub-consultant for relocation review. Relocation review shall be performed by an independent staff person or independent fee reviewer and shall be hired directly by the LPA.
- 6.5 The LPA shall provide the ODOT District Office with its certification that all right of way property rights necessary for the PROJECT are under the LPA's control, that all right of way has been cleared of encroachments, and that utility facilities have been appropriately relocated or accounted for so as not to interfere with PROJECT construction activities. ODOT shall make use of the LPA's Right of Way Certification, as well as evaluate the LPA's and/or consultant's performance of the PROJECT real estate activities under Titles II and III of the Uniform Act, and, as appropriate, certify compliance to the FHWA. The LPA shall be liable to repay to ODOT all of the Federal funds disbursed to it under this Agreement if the certification of the LPA is found to be in error or otherwise invalid.
- 6.6 ODOT will coordinate with utilities, complete RE-75 forms, establish encumbrances towards each utility if needed, prepare an invoice to the LPA for the local share, and pay the State share as needed. In the administration of this PROJECT, the LPA agrees to follow all procedures described in the ODOT Utilities Manual and 23 CFR Part 645. When applicable, the LPA shall enter into a utility relocation agreement with each utility prior to the letting of construction. In the event that a utility is delaying the relocation of its facilities, the LPA shall take any action necessary to order and cause the removal and relocation of such utility. No reimbursable costs shall be incurred prior to the receipt of Federal Authorization for Right of Way from ODOT. If such costs are incurred, ODOT may terminate this Agreement and cease all Federal funding commitments.
- 6.7 The LPA shall submit all subsequent modifications to the design of the PROJECT and/or any disposal of property rights acquired as part of the PROJECT to ODOT and FHWA for approval.
- 6.8 ODOT shall be responsible for any necessary railroad coordination and agreements in accordance with the provisions of Title 23 of the Code of Federal Regulations and applicable chapters of the ORC regarding all activities relating to Railroad-Highway projects.
- 6.9 Consistent with sections 10.1 and 10.4 of this agreement, the LPA shall assure that, if any property acquired for this project is subsequently sold for less than fair market value, all Title VI requirements are included in the instrument which transfers the property. Consistent with sections 10.1 and 10.4 of this agreement, the LPA shall assure that if the LPA grants a permit or license for the property

acquired for this project that the license or permit require the licensee or permit holder to adhere to all Title VI requirements.

7. ADVERTISING, SALE AND AWARD

- 7.1 ODOT will prepare the State's estimate and manage the advertising, sale and award process. The LPA and its consultant shall assist in responding to bidder questions, preparation of any addenda and other coordination as needed. ODOT's Awards Committee shall determine award of the contract.

8. CONSTRUCTION CONTRACT ADMINISTRATION

- 8.1 ODOT will administer the construction contract in accordance with ODOT's Construction Administration Manual of Procedures. The LPA and LPA's consultants shall respond promptly to requests for information or other construction issues. The LPA shall review and approve all change orders. The LPA and LPA's consultant shall assist in defending ODOT against any contractor claims.

9. CERTIFICATION AND RECAPTURE OF FUNDS

- 9.1 This Agreement is subject to the determination by ODOT that sufficient funds have been appropriated by the Ohio General Assembly to the State for the purpose of this Agreement and to the certification of funds by the Office of Budget and Management, as required by ORC section 126.07. If ODOT determines that sufficient funds have not been appropriated for the purpose of this Agreement or if the Office of Budget and Management fails to certify the availability of funds, this Agreement or any renewal thereof will terminate on the date funding expires.
- 9.2 Unless otherwise directed by ODOT, if for any reason the PROJECT is not completed in its entirety or to a degree acceptable to ODOT and FHWA, the LPA shall repay to ODOT an amount equal to the total funds ODOT disbursed on behalf of the PROJECT. In turn, ODOT shall reimburse FHWA an amount equal to the total sum of Federal dollars it has received for the PROJECT. If the LPA has not repaid ODOT in full an amount equal to the total funds ODOT disbursed on behalf of the project, any funds recovered from contractor performance and payment bond(s) and consultant insurance shall be used to offset the Federal dollars reimbursed to FHWA.

10. NONDISCRIMINATION

- 10.1 In carrying out this Agreement, the LPA shall not discriminate against any employee or applicant for employment because of race, religion, color, sex, national origin, ancestry, age, disability as that term is defined in the American with Disabilities Act, military status (past, present, or future), or genetic information. The LPA shall ensure that applicants are hired and that employees are treated during employment without regard to their race, religion, color, sex, national origin, ancestry, age, disability, military status, or genetic information. Such action shall include, but not be limited to the following: employment, upgrading, demotion, or transfer; recruitment advertising; layoff or termination; rates of pay or other forms of compensation; and selection for training, including apprenticeship.
- 10.2 The LPA agrees to post in conspicuous places, available to employees and applicants for employment, notices setting forth the provisions of this nondiscrimination clause, and in all solicitations or advertisements for employees placed by it, state that all qualified applicants shall receive consideration for employment without regard to race, religion, color, sex, national origin, ancestry, age, disability, military status, or genetic information. The LPA shall incorporate this nondiscrimination requirement within all of its contracts for any of the work on the PROJECT (other than subcontracts for standard commercial supplies or raw materials) and shall require all of its

contractors to incorporate such requirements in all subcontracts for any part of such PROJECT work.

- 10.3 The LPA shall ensure that Disadvantaged Business Enterprises (DBEs), as defined in 49 CFR Part 26, will have an equal opportunity to participate in the performance of contracts and subcontracts financed in whole or in part with Federal funds provided in conjunction with this Agreement. For a PROJECT upon which a DBE goal is assigned, the LPA shall require that all contracts and other agreements it enters into for the performance of the PROJECT contain the following specific language:

Disadvantaged Business Enterprise (DBE) Requirement. DBE participation goals (subcontracts, materials, supplies) have been set on this project for those certified as DBEs pursuant to Title 23, U.S.C. section 140(c) and 49 CFR, Part 26, and where applicable qualified to bid with ODOT under Chapter 5525 of the ORC.

Pursuant to 49 CFR 26.13(b), the LPA agrees not to discriminate on the basis of race, color, national origin, or sex in the performance of this Agreement. The LPA agrees to carry out applicable requirements of 49 CFR Part 26 in the award and administration of DOT-assisted contracts. The LPA understands that failure to carry out these requirements is a material breach of this Agreement, which may result in the termination of this Agreement or such other remedy as ODOT deems appropriate.

- 10.4 During the performance of this contract, the LPA, for itself, its assignees and successors in interest") agrees as follows:

(1) **Compliance with Regulations:** The LPA will comply with the regulations relative to nondiscrimination in Federally-assisted programs of the United States Department of Transportation (hereinafter "U.S. DOT") Title 49, Code of Federal Regulations, Part 21, as they may be amended from time to time, (hereinafter referred to as the "Regulations"), which are herein incorporated by reference and made a part of this contract.

In addition, the LPA will comply with the provisions of the Americans with Disabilities Act, Section 504 of the Rehabilitation Act, FHWA Guidance, and any other Federal, State, and/or local laws, rules and/or regulations (hereinafter referred to as "ADA/504").

(2) **Nondiscrimination:** The LPA, with regard to the work performed by it during the contract, will not discriminate on the grounds of race, color, national origin, sex, age, or disability, in the selection and retention of contractors or subcontractors, including procurements of materials and leases of equipment. The LPA will not participate either directly or indirectly in the discrimination prohibited by section 21.5 of the Regulations, including employment practices when the contract covers a program set forth in Appendix B of the Regulations, as well as the ADA/504 regulations.

(3) **Solicitations for Professional Services:** In all solicitations for professional services made by the LPA for work to be performed under a contract or subcontract, each potential consultant will be notified by the LPA of the LPA's obligations under this contract and the Regulations relative to nondiscrimination on the grounds of race, color, national origin, sex, age, or disability.

(4) **Information and Reports:** The LPA will provide all information and reports required by the Regulations or directives issued pursuant thereto, and will permit access to its books, records, accounts, other sources of information, and its facilities as may be determined by the STATE or FHWA to be pertinent to ascertain compliance with such Regulations, orders and instructions. Where any information required of the LPA is in the exclusive possession of another who fails or

refuses to furnish this information, the LPA will so certify to the STATE or FHWA, as appropriate, and will set forth what efforts it has made to obtain the information.

(5) Sanctions for Noncompliance: In the event of the LPA's noncompliance with the nondiscrimination provisions of this contract, the STATE will impose such contract sanctions as it or FHWA may determine to be appropriate, including, but not limited to:

- (a) withholding of payments to the LPA under the contract until the LPA complies, and/or
- (b) cancellation, termination or suspension of the contract, in whole or in part.

(6) Incorporation of Provisions: The LPA will include the provisions of paragraphs (1) through (5) above in every contract or subcontract, including procurements of materials and leases of equipment, unless exempt by the Regulations, or directives issued pursuant thereto. The LPA will take such action with respect to any contractor or subcontractor procurement as the STATE or FHWA may direct as a means of enforcing such provisions including sanctions for noncompliance; provided, however, that, in the event the LPA becomes involved in, or is threatened with, litigation with a contractor, subcontractor, or supplier as a result of such direction, the LPA may request the STATE to enter into such litigation to protect the interests of the STATE, and, in addition, the LPA may request the United States to enter into such litigation to protect the interests of the United States.

## 11. DATA, PATENTS AND COPYRIGHTS - PUBLIC USE

- 11.1 The LPA shall ensure that any designs, specifications, processes, devices or other intellectual properties specifically devised for the PROJECT by its consultants or contractors performing work become the property of the LPA, and that when requested, such designs, specifications, processes, devices or other intellectual properties shall become available to ODOT and FHWA with an unrestricted right to reproduce, distribute, modify, maintain, and use. The LPA's consultants and contractors shall not seek or obtain copyrights, patents, or other forms of proprietary protection for such designs, specifications, processes, devices or other intellectual properties, and in providing them to the PROJECT, shall relinquish any such protections should they exist.
- 11.2 The LPA shall not allow its consultants or contractors to utilize within the development of the PROJECT any copyrighted, patented or similarly protected design, specification, process, device or other intellectual property unless the consultant or contractor has provided for such use by suitable legal agreement with the owner of such copyright, patent or similar protection. A consultant or contractor making use of such protected items for the PROJECT shall indemnify and save harmless the LPA and any affected third party from any and all claims of infringement on such protections, including any costs, expenses, and damages which it may be obliged to pay by reason of infringement, at any time during the prosecution or after the completion of work on the PROJECT.
- 11.3 In the case of patented pavements or wearing courses where royalties, licensing and proprietary service charges, exacted or to be exacted by the patentees, are published and certified agreements are filed with the LPA, guaranteeing to prospective bidders free unrestricted use of all such proprietary rights and trademarked goods upon payment of such published charges, such patented pavements or wearing courses may be specifically designated in the proposal and competition secured upon the item exclusive of the patent or proprietary charges.

## 12. TERMINATION; DEFAULT AND BREACH OF CONTRACT

- 12.1 Neglect or failure of the LPA to comply with any of the terms, conditions, or provisions of this Agreement, including misrepresentation of fact, may be an event of default, unless such failure or

neglect are the result of natural disasters, strikes, lockouts, acts of public enemies, insurrections, riots, epidemics, civil disturbances, explosions, orders of any kind of governments of the United States or State of Ohio or any of their departments or political subdivisions, or any other cause not reasonably within the LPA's control. If a default has occurred, ODOT may terminate this agreement with thirty (30) days written notice, except that if ODOT determines that the default can be remedied, then ODOT and the LPA shall proceed in accordance with sections 12.2 through 12.4 of this Agreement.

- 12.2 If notified by ODOT in writing that it is in violation of any of the terms, conditions, or provisions of this Agreement, and a default has occurred, the LPA shall have thirty (30) days from the date of such notification to remedy the default or, if the remedy will take in excess of thirty (30) days to complete, the LPA shall have thirty (30) days to satisfactorily commence a remedy of the causes preventing its compliance and curing the default situation. Expiration of the thirty (30) days and failure by the LPA to remedy, or to satisfactorily commence the remedy of, the default whether payment of funds has been fully or partially made, shall result in ODOT, at its discretion, declining to make any further payments to the LPA, or in the termination of this Agreement by ODOT. If this Agreement is terminated, the LPA may be liable to repay to ODOT all of the Federal funds disbursed to it under this Agreement.
- 12.3 The LPA, upon receiving a notice of termination from ODOT for default, shall cease work on the terminated activities covered under this Agreement. If so requested by ODOT, the LPA shall assign to ODOT all its rights, title, and interest to any contracts it has with any consultants or contractors. Otherwise, the LPA shall terminate all contracts and other agreements it has entered into relating to such covered activities, take all necessary and appropriate steps to limit disbursements and minimize any remaining costs. At the request of ODOT, the LPA may be required to furnish a report describing the status of PROJECT activities as of the date of its receipt of notice of termination, including results accomplished and other matters as ODOT may require.
- 12.4 No remedy herein conferred upon or reserved by ODOT is intended to be exclusive of any other available remedy, but each and every such remedy shall be cumulative and shall be in addition to every other remedy given under this Agreement or now or hereafter existing at law or in equity. No delay or omission to exercise any right or option accruing to ODOT upon any default by the LPA shall impair any such right or option or shall be construed to be a waiver thereof, but any such right or option may be exercised from time to time and as often as may be deemed expedient by ODOT.

### 13. THIRD PARTIES AND RESPONSIBILITIES FOR CLAIMS

- 13.1 Nothing in this Agreement shall be construed as conferring any legal rights, privileges, or immunities, or imposing any legal duties or obligations, on any person or persons other than the parties named in this Agreement, whether such rights, privileges, immunities, duties, or obligations be regarded as contractual, equitable, or beneficial in nature as to such other person or persons. Nothing in this Agreement shall be construed as creating any legal relations between the Director and any person performing services or supplying any equipment, materials, goods, or supplies for the PROJECT sufficient to impose upon the Director any of the obligations specified in section 126.30 of the ORC.
- 13.2 The LPA hereby agrees to accept responsibility for any and all damages or claims for which it is legally liable arising from the actionable negligence of its officers, employees or agents in the performance of the LPA's obligations made or agreed to herein.

### 14. NOTICE

- 14.1 Notice under this Agreement shall be directed as follows:

If to the LPA:

If to ODOT:

|                                    |                                   |
|------------------------------------|-----------------------------------|
| Kathy Bartlett, P.E.               | Blake Simpson, P.E.               |
| City of Riverside                  | Ohio Department of Transportation |
| 5200 Springfield Street, Suite 100 | 1001 St. Marys Avenue             |
| Riverside, Ohio 45431              | Sidney, Ohio 45365                |
| KBartlett@riversideoh.gov          | Blake.Simpson@dot.ohio.gov        |

## 15. GENERAL PROVISIONS

### 15.1 Recovery of LPA's allocable project Direct Labor, Fringe Benefits, and/or Indirect Costs:

To be eligible to recover any costs associated with the LPA's internal labor forces allocable to this project, the LPA shall make an appropriate selection below: *[LPA official must initial the option selected.]*

☐

#### **1. No cost recovery of LPA's project direct labor, fringe benefits, or overhead costs.**

- (A) The LPA **does not** currently maintain an ODOT approved federally compliant time-tracking system, **and**
- (B) The LPA **does not** intend to have a federally compliant time-tracking system developed, implemented, and approved by ODOT prior to the period of performance of this project, **and/or**
- (C) The LPA **does not** intend to pursue recovery of these project direct labor, fringe benefits, or overhead costs during the period of performance of this project agreement.

☐

#### **2. Direct labor plus indirect costs calculated using the Federal 10% De Minimis Indirect Cost Rate.<sup>1</sup>**

- (A) The LPA currently maintains, or intends to develop and implement prior to the period of performance of this project, an ODOT approved federally compliant time-tracking system, **and**
- (B) The LPA **does not** currently have, and **does not** intend to negotiate, an ODOT approved fringe benefits rate prior to the period of performance of this project.

☐

#### **3. Direct labor, plus fringe benefits costs calculated using the LPA's ODOT approved Fringe Benefits Rate, plus indirect costs calculated using the Federal 10% De Minimis Indirect Cost Rate.<sup>2</sup>**

- (A) The LPA currently maintains, or intends to develop and implement prior to the period of performance of this project, an ODOT approved federally compliant time-tracking system, **and**
- (B) The LPA currently has, or intends to negotiate, an ODOT approved fringe benefits rate prior to the period of performance of this project.

<sup>1</sup> The De Minimis Indirect Cost Rate is 10 percent of modified total direct costs (MTDC) per 2 CFR §200.414. Regardless of whether the LPA subrecipient negotiates overhead rates with ODOT or uses the 10-percent de minimis rate, LPAs are required to maintain Federally-compliant time-tracking systems. Accordingly, LPAs are permitted to bill for labor costs, and then potentially associated fringe/indirect costs, only if the labor costs are accumulated, tracked, and allocated in accordance with compliant systems. Before an LPA is eligible to invoice ODOT for and recover the 10% de minimis indirect cost rate on any project, the LPA's time-tracking system and methods for tracking other project costs must be reviewed and approved by the ODOT Office of External Audits.

<sup>2</sup> The fringe benefits rate billed to this project must be determined in accordance with the Rate Agreement periodically negotiated with and approved by the ODOT Office of External Audits. The fiscal period when the LPA's direct labor costs are paid will be matched with the ODOT approved rate for that fiscal year to determine which rate is applicable. Accordingly, the fringe benefits rate applicable to different fiscal years throughout the period of performance of the project may fluctuate to match changes to the ODOT approved rate.



**4. Direct labor, plus fringe benefits costs calculated using the LPA's ODOT approved Fringe Benefits Rate, plus indirect costs calculated using the LPA's ODOT approved Indirect Cost Rate.<sup>3</sup>**

- (A) The LPA currently maintains, or intends to develop and implement prior to the period of performance of this project, an ODOT approved federally compliant time-tracking system, **and**
- (B) The LPA currently has, or intends to negotiate, an ODOT approved fringe benefits rate prior to the period of performance of this project, **and**
- (C) Instead of using the Federal 10% De Minimis Indirect Cost Rate, the LPA currently has, or intends to negotiate, an ODOT approved indirect cost rate prior to the period of performance of this project.

For any allocable project labor costs to be eligible for reimbursement with Federal and/or State funds, the LPA must maintain compliance with all timekeeping requirements specified in 2 CFR Part 200 and the ODOT LPA Cost Recovery Guidance, including ODOT Questions and Answers and related supplementary guidance, as applicable. Additionally, if the LPA elects to recover fringe and/or indirect costs, the LPA shall maintain compliance with Appendix VII of 2 CFR Part 200 and the LATP Manual of Procedures.

- 15.2 Financial Reporting and Audit Requirements: If one or more phases of this AGREEMENT include a sub-award of federal funds to the LPA, the LPA shall comply with the financial reporting and audit requirements of 2 CFR Part 200. If not, the financial reporting and audit requirements remain with ODOT.

All non-federal entities, including ODOT's LPA subrecipients, that have aggregate federal awards expenditures from all sources of \$750,000 or more in the non-federal entity's fiscal year must have a Single Audit, or program-specific audit, conducted for that year in accordance with the provisions of 2 CFR Part 200.

LPAs that expend Federal and State funds in the Preliminary Engineering and/or Right of Way phases of the Project must track these payments throughout the life of the project in order to ensure an accurate Schedule of Expenditures of Federal Award (hereinafter referred to as SEFA) is prepared annually for all *Applicable Federal Funds*. *Applicable Federal Funds* are those that are identified with the various project phases of this agreement as a subaward. *Applicable Federal Funds* include not only those LPA project expenditures that ODOT subsequently reimburses with Federal funds, but also those Federal funds project expenditures that are disbursed directly by ODOT upon the request of the LPA.

The LPA must separately identify each ODOT PID and/or Project and the corresponding expenditures on its SEFA. LPAs are responsible for ensuring funds related to this PROJECT are reported when the activity related to the Federal award occurs.<sup>4</sup> Further, the LPA may make this determination consistent with 2 CFR §200.502 and its established accounting method to determine expenditures including accrual, modified accrual or cash basis.

When project expenditures are not accurately reported on the SEFA, the LPA may be required to make corrections to and republish the SEFA to ensure Federal funds are accurately reported in the correct fiscal year. An ODOT request for the restatement of a previously published SEFA will be coordinated with the Ohio Auditor of State.

<sup>3</sup> The fringe benefits and indirect cost rates billed to this project must be determined in accordance with the Rate Agreement periodically negotiated with and approved by the Office of External Audits. The fiscal period when the LPA's direct labor costs are paid will be matched with the ODOT approved rates for that fiscal year to determine which rates are applicable. Accordingly, the rates applicable to different fiscal years throughout the period of performance of the project may fluctuate to match changes to the ODOT approved rates.

<sup>4</sup> Per 2 CFR §200.502

- 15.3 **Record Retention:** The LPA, when requested at reasonable times and in a reasonable manner, shall make available to the agents, officers, and auditors of ODOT and the United States government, its records and financial statements as necessary relating to the LPA's obligations under this Agreement. All such books, documents, and records shall be kept for a period of at least three years after FHWA approves the LPA's final Federal voucher for reimbursement of PROJECT expenses. In the event that an audit-related dispute should arise during this retention period, any such books, documents, and records that are related to the disputed matter shall be preserved for the term of that dispute. The LPA shall require that all contracts and other agreements it enters into for the performance of the PROJECT contain the following specific language:

As the LPA, ODOT or the United States government may legitimately request from time to time, the contractor agrees to make available for inspection and/or reproduction by the LPA, ODOT or United States government, all records, books, and documents of every kind and description that relate to this contract.

Nothing contained in this Agreement shall in any way modify the LPA's legal duties and obligations to maintain and/or retain its records under Ohio public records laws.

- 15.4 **Ohio Ethics Laws:** LPA agrees they are currently in compliance and will continue to adhere to the requirements of Ohio Ethics law as provided by Section 102.03 and 102.04 of the ORC.
- 15.5 **State Property Drug-Free Workplace Compliance:** In accordance with applicable State and Federal laws, rules, and policy, the LPA shall make a good faith effort to ensure that its employees and its contractors will not purchase, transfer, use, or possess alcohol or a controlled substance while working on State property.
- 15.6 **Governing Law:** This Agreement and any claims arising out of this Agreement shall be governed by the laws of the State of Ohio. Any provision of this Agreement prohibited by the laws of Ohio shall be deemed void and of no effect. Any litigation arising out of or relating in any way to this Agreement or the performance thereunder shall be brought only in the courts of Ohio, and the LPA hereby irrevocably consents to such jurisdiction. To the extent that ODOT is a party to any litigation arising out of or relating in any way to this Agreement or the performance thereunder, such an action shall be brought only in a court of competent jurisdiction in Franklin County, Ohio.
- 15.7 **Assignment:** Neither this Agreement nor any rights, duties, or obligations described herein shall be assigned by either party hereto without the prior express written consent of the other party.
- 15.8 **Merger and Modification:** This Agreement and its attachments constitute the entire Agreement between the parties. All prior discussions and understandings between the parties are superseded by this Agreement. Unless otherwise noted herein, this Agreement shall not be altered, modified, or amended except by a written agreement signed by both parties hereto.
- 15.9 **Severability:** If any provision of this Agreement is held to be invalid or unenforceable by a court of competent jurisdiction, such holding shall not affect the validity or the ability to enforce the remainder of this Agreement. All provisions of this Agreement shall be deemed severable.
- 15.10 **Signatures:** Any person executing this Agreement in a representative capacity hereby represents that he/she has been duly authorized by his/her principal to execute this Agreement on such principal's behalf.
- 15.11 **Facsimile Signatures:** Any party hereto may deliver a copy of its counterpart signature page to this Agreement via fax or e-mail. Each party hereto shall be entitled to rely upon a facsimile signature on any other party delivered in such a manner as if such signature were an original.

The parties hereto have caused this Agreement to be duly executed as of the day and year last written below.

**LPA: CITY OF RIVERSIDE**

**STATE OF OHIO  
OHIO DEPARTMENT OF TRANSPORTATION**

By: \_\_\_\_\_

By: \_\_\_\_\_

Title: \_\_\_\_\_

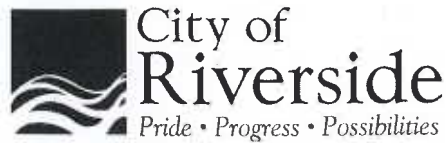
Jack Marchbanks  
Director

Date: \_\_\_\_\_

Date: \_\_\_\_\_

# PROJECT BUDGET – SOURCES AND USES OF FUNDS

| SOURCES<br>USES                                      | LPA FUNDS |     |      | FHWA FUNDS |    |     | STATE FUNDS |   |     | TOTAL       |
|--|-----------|-----|------|------------|----|-----|-------------|---|-----|-------------|
|  | Amount    | %   | SAC  | Amount     | %  | SAC | Amount      | % | SAC |             |
| PRELIMINARY DEVELOPMENT                              | \$124,500 | 100 | LNTP |            |    |     |             |   |     | \$124,500   |
| FINAL DESIGN, CONSTRUCTION<br>PLANS & SPECIFICATIONS |           |     |      |            |    |     |             |   |     |             |
| ACQUISITION OF RIGHT OF WAY &<br>UTILITY RELOCATION  |           |     |      |            |    |     |             |   |     |             |
| PROJECT CONSTRUCTION COSTS<br>(CO)                   | \$113,870 | 20  | LNTP | \$455,476  | 80 | STP |             |   |     | \$569,346   |
| PROJECT CONSTRUCTION COSTS<br>– LOCAL (CO)           | \$260,805 | 100 | LNTP |            |    |     |             |   |     | \$260,805   |
| PROJECT INSPECTION (CE)                              | \$13,280  | 20  | LNTP | \$53,120   | 80 | STP |             |   |     | \$66,400    |
|  |           |     |      |            |    |     |             |   |     |             |
|  |           |     |      |            |    |     |             |   |     |             |
|  |           |     |      |            |    |     |             |   |     |             |
| TOTALS   | \$512,455 |     | LNTP | \$508,596  |    | STP |             |   |     | \$1,021,051 |



**MEETING DATE: April 21, 2022**

**AGENDA ITEM: New Business**

**TO:** Riverside City Council

**FROM:** Tom Garrett, Finance Director

**SUBJECT:** Resolution No. 22-R-2761 – A resolution updating and authorizing purchase of a snowplow truck and its associated financing terms.

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**EXPLANATION:**

Last year Council passed Resolution 21-R-2667 authorizing purchase of a Freightliner snowplow truck for \$178,628 financed with 5-year dealer financing. The truck chassis and accessory equipment experienced parts delivery delays and price increases, but the finished truck is now ready. The revised cost of the outfitted truck is \$191,480. Daimler Truck Financing requires the City to restructure the loan package. The new financing has the City making a \$36,000 immediate down payment (being paid from FY 2021 funds) and financing the remaining \$155,480 over 5 annual payments of \$36,012.

This Resolution 22-R-2761 is to authorize the updated purchase details.

**RECOMMENDATION**

It is respectfully recommended that the Mayor and City Council approve the attached resolution.

**FISCAL IMPACT**

- Does this item require a new appropriation? No, the FY 2022 budget included funds for the scheduled truck payment. An FY 2021 purchase order reserved the \$36,000 initial payment. The restructured loan does not require another payment until April 2023.
- What is the total cost, if applicable? The initial \$36,000 payment plus 5 loan payments (\$180,058) totals \$216,058.
- What is the net cost impact to the Department/City? The truck will now cost \$23,591 more. (\$216,058 vs \$192,467). Annual loan payments will be incorporated into future annual budgets.

**SOURCE OF FUNDS**

Which fund/line item will be used to pay for this, if applicable?

Capital Equipment Fund, 703.703.5723

# DAIMLER

Daimler Truck Financial

Date of Quote: March 16, 2022

City of Riverside, OH

Daimler Truck Financial Services USA LLC ("DTFS") is pleased to offer the following pricing quote to finance the acquisition of various vehicles as described below:

Borrower: City of Riverside, OH  
Issue Type: Tax-exempt Installment financing of the following equipment, subject to annual appropriation.

| ITEM               | DESCRIPTION OF EQUIPMENT  | No of Units | COST  |
|--------------------|---|-------------|---|
| Equipment          | 2022 FREIGHTLINER M2106 with Henderson Equipment Dump Body, Plow, & Sprea | 1           | \$191,480.00                                |
| Equipment          |   |             |   |
| Equipment          |   |             |   |
| Equipment          |   |             |   |
| Other Equipment    |   |             |   |
| None               |   |             |   |
| Amount to Finance: |   |             | TOTAL: (\$36,000.00)<br><u>\$155,480.00</u> |

| Amortization Schedule |       |             |               |                     |              |               |            |            |
|-----------------------|-------|-------------|---------------|---------------------|--------------|---------------|------------|------------|
| Interest Rate:        | Years | Date        | Financing     | Installment Payment | Interest     | Principal     | Balance    | Payoff     |
| 5.10028%              |       | 04/01/22    | 155,480.00    | -                   | -            | -             | 155,480.00 | 155,480.00 |
|                       |       | 04/01/22    | -             | -                   | -            | -             | 155,480.00 | 158,200.90 |
|                       | 1     | 04/01/23    | -             | 36,011.57           | 7,929.92     | 28,081.65     | 127,398.35 | 129,268.55 |
|                       | 2     | 04/01/24    | -             | 36,011.57           | 6,497.67     | 29,513.90     | 97,884.45  | 99,041.52  |
|                       | 3     | 04/01/25    | -             | 36,011.57           | 4,992.38     | 31,019.19     | 66,865.26  | 67,461.89  |
|                       | 4     | 04/01/26    | -             | 36,011.57           | 3,410.32     | 32,601.25     | 34,264.01  | 34,469.13  |
|                       | 5     | 04/01/27    | -             | 36,011.57           | 1,747.56     | 34,264.01     | -          | -          |
|                       |       | TOTALS      | -             | 180,057.85          | 24,577.85    | 155,480.00    | -          | -          |
|                       |       | Grand Total | \$ 155,480.00 | \$ 180,057.85       | \$ 24,577.85 | \$ 155,480.00 | -          | -          |

Interest Rate Expires: April 14, 2022

Quote No. 44634

The quoted Interest Rate assumes the Borrower designates the Instalment Purchase as Tax Exempt pursuant to the IRS Code. To preserve the Tax Exempt structure of this Instalment Purchase, all payments listed above (including Balloon, if any) are REQUIRED payments, not optional, and are required to be made by Borrower to DTFS.

Subject to credit qualification and based on the terms described above, DTFS is quoting the Interest Rate as shown in the Amortization Schedule provided above. This quote and the Interest Rate stated herein expires as of the Date shown on this pricing quote and shall have no effect on any prior documentation signed by the parties. Should funding of this schedule occur after the expiration date, current pricing may be used. This pricing quote is not a commitment and is subject to credit approval by DTFS; credit qualification based on the terms of the transaction; verification of eligibility for tax-exempt financing; and mutually agreeable documentation executed and submitted to DTFS for funding. Any subsequent pricing quote, Commitment Letter or documentation executed by the parties will supersede and replace this pricing quote.

No changes in federal or applicable state or local tax law, regulations, case law, rulings, or other interpretations by the Internal Revenue Service that would affect any Federal, State or Local tax benefits are assumed in determining the above pricing quote.

**LEGAL OPINION:** In the event that the "Amount to Finance" is over \$500,000., the Borrower's Counsel shall furnish DTFS with an opinion covering this transaction and the documents used herein. This Opinion shall be in a form and substance satisfactory to DTFS.

Sincerely,  
Daimler Truck Financial Services USA LLC  
Diana Gilbertson

DTFS  
Reference



Truck Country - Dayton  
7800 Center Point 70 BLVD  
Dayton, OH 45424  
stoops.com

## INVOICE/BILL OF SALE

Contract #: \_\_\_\_\_  
Contract Date: 03/07/2022  
Deal #: DE-20756  
Customer #: 168434  
Salesperson: Gary Schmaltz

Bill To: **168434**  
**CITY OF RIVERSIDE**  
**5200 SPRINGFIELD ST, SUITE 100**  
**DAYTON OH 45431**  
**Phone: (937)233-1801**

Ship To:  
**CITY OF RIVERSIDE**  
**5200 SPRINGFIELD ST, SUITE 100**  
**DAYTON, OH 45431**

|                |   |                          |                     |
|----------------|---|--------------------------|---------------------|
| Stock#: 730362 | New - 2022 FREIGHTLINER M2106                       | Price:                   | \$83,394.00         |
|                | VIN: 3ALACYFE0NDNK3325                              |                          |                     |
|                | 1 - Henderson Equipment Dump Body, Plow, & Spreader |                          | \$104,847.00        |
|                | 2 - Motorola 2-Way Radio                            |                          | \$2,880.00          |
|                | 3 - P&R Communications Radio Installation           |                          | \$359.00            |
|                |   | <b>Total Unit Price:</b> | <b>\$191,480.00</b> |

**Sub Total** **\$191,480.00**

**Total Purchase Price:** **\$191,480.00**

**Due On Delivery** **-\$36,000.00**  
**NET BALANCE DUE ON DELIVERY** **\$155,480.00**

Lienholder: BANK OF AMERICA, N.A.  
440 KNOX ABBOTT DRIVE  
CAYCE-WEST COLUMBIA, SC 29033

Lien Holder: BANK OF AMERICA, N.A.

|                       |                   |                                |                   |
|-----------------------|-------------------|--------------------------------|-------------------|
| <b>X</b>              | <u>03/07/2022</u> | <u>Gary Schmaltz</u>           |                   |
| Purchaser's Signature | Date              | Sales Representative           |                   |
|                       |                   |                                | <u>03/07/2022</u> |
| Purchaser's Signature | Date              | Sales Representative Signature | Date              |
| SS/FED ID#            |                   |                                |                   |

**A RESOLUTION AMENDING RESOLUTION NO. 21-R-2667 DUE TO A DELAY BY INDUSTRY PARTS SHORTAGES AND MULTIPLE VENDOR PRICE INCREASES.**

WHEREAS, the City Manager and the Service Director did report that the State of Ohio Department of Administrative Services has awarded a contract for the purchase of trucks and vans under the Cooperative Bid Program; and

WHEREAS, the City Manager and Service Director did recommend that one (1) 2021 Freightliner Truck Chassis through Stoops Freightliner be purchased through the Ohio Cooperative Bid Program and (1) Henderson Single Axle Build package be purchased through Henderson Truck and Equipment and be exempt from the Ohio Cooperative Bid Program for use by the Service Department; and

WHEREAS, City Council did pass Resolution No. 21-R-2667 on March 18, 2021 authorizing the purchase of one (1) 2021 Freightliner truck chassis outfitted with one (1) Henderson single axle build at a total price not exceeding \$178,628.00; and financed with a 5-year financing agreement with Daimler Truck Financial; and

WHEREAS, the ordered truck chassis was delayed by industry parts shortages, and experience multiple vendor price increases; resulting in the purchase of one (1) 2022 Freightliner truck chassis outfitted with one (1) Henderson single axle build; and

WHEREAS, the financing agency did insist upon a re-negotiated loan agreement with updated terms.

NOW, THEREFORE, BE IT RESOLVED BY THE COUNCIL OF THE CITY OF RIVERSIDE, STATE OF OHIO:

Section 1: That upon review and consideration of the bid awarded by the Ohio Department of Administrative Services under the Cooperative Bid Program and in accordance with the recommendations of the City Manager and Director of Public Services, this Council does herewith determine that it is in the best interest of the City to purchase one (1) **2022** Freightliner Truck Chassis from Stoops Freightliner under the Ohio Cooperative Bid Program and one (1) Henderson Single Axle Build package exempt from the Ohio Cooperative Bid Program at a total price not to exceed \$191,480.00 Accordingly, the City Manager is hereby authorized to enter into said contract and said contract, together with all bid specifications and documents shall constitute the total agreement between the parties. Said amount shall be paid from the Capital Equipment Fund, 703.703.5723.

Section 2: That in conjunction with this purchase the City Manager is authorized to enter into a 5-year financing agreement with Daimler Truck Financial with

22-R-2761

an initial down payment of \$36,000 and five (5) annual payments not exceeding \$36,115.

Section 3: That the Clerk be and is hereby authorized and directed to forward a certified copy of the Resolution to the City Manager, Finance Director and Service Director.

Section 4: That this Resolution shall take effect and be in full force from and after the date of its passage.

PASSED THIS DAY OF \_\_\_\_\_.

APPROVED:

\_\_\_\_\_  
MAYOR

ATTEST:

\_\_\_\_\_  
CLERK

CERTIFICATE OF THE CLERK

I, \_\_\_\_\_, Clerk of the City of Riverside, Ohio, do hereby certify that the foregoing Resolution is a true and correct copy of Resolution No. 22-R-2761 passed by the Riverside City Council on \_\_\_\_\_.

IN TESTIMONY WHEREOF, witness my hand and official seal this day \_\_\_\_\_.

\_\_\_\_\_  
CLERK

**MEETING DATE: April 21, 2022**

**AGENDA ITEM: New Business**

**TO:** Riverside City Council

**FROM:** Josh Rauch, City Manager

**SUBJECT:** Resolution 22-R-**2762** – A resolution approving a contract for five-year subscription for Code Red emergency notification system.

---

**EXPLANATION:**

In order to better to notify our community of emergencies (Weather, Boil Advisories, Lost persons, etc.), we are requesting to begin a subscription to the Code Red notification system from OnSolve, LLC. Several surrounding communities, including Montgomery County already use Code Red or another system. In our research, we have found that Code Red provides us with the best options and user interface. This type of service is an allowable expense under the American Rescue Plan funds. After the initial five-year subscription, the cost of continuing the subscription will be divided amongst the individual department budgets to continue the service.

**RECOMMENDATION**

It is respectfully recommended that the Mayor and City Council approve the attached resolution.

**FISCAL IMPACT**

None for the initial five-year term. Entering into a five-year contract saves us \$1046/year or \$5230 for the five-year period.

**SOURCE OF FUNDS**

Initial five years – American Rescue Plan funds via general fund  
Continuing – Department operating funds

**EXHIBITS**

Resolution No. 22-R-**2762**

**A RESOLUTION BY THE COUNCIL OF THE CITY OF RIVERSIDE, OHIO  
AUTHORIZING THE CITY MANAGER TO ENTER INTO A CONTRACT FOR  
THE PURCHASE OF CODERED EMERGENCY NOTIFICATION SERVICES.**

WHEREAS, the City of Riverside has a need to notify its citizens of matters relating to urgent and life-threatening emergencies; and

WHEREAS, OnSolve CodeRED service has a proven track record and has already been utilized by many of the surrounding communities; and

WHEREAS, OnSolve CodeRED service has the dialing capacity and technology prowess to quickly dial all residents using state-of-the-art telecommunication tools; and

WHEREAS, American Rescue Plan funding is an appropriate use and available to cover the initial five-year cost for the service;

NOW, THEREFORE, BE IT RESOLVED BY THE COUNCIL OF THE CITY OF RIVERSIDE, STATE OF OHIO:

Section 1: That the city manager is hereby authorized to enter into a contract for the purchase of Code Red emergency notification services provided by OnSolve, LLC of Alpharetta, GA.

Section 2: Bidding requirements are hereby waived consistent with the appropriate provisions of the Riverside City Charter.

Section 3: The amount of this contract for the purchase of the online emergency notification service, CodeRED Standard Plus Package, is not-to-exceed \$30,835.58 for a five-year term.

Section 4: That the Clerk be and is hereby authorized and directed to forward a certified copy of this Resolution to the City Manager, Fire Chief, and the Finance Director.

Section 5: This Resolution shall take effect and be in force from and after the date of its passage.

PASSED THIS DAY OF \_\_\_\_\_.

APPROVED:

\_\_\_\_\_  
MAYOR

22-R-2762

ATTEST:

\_\_\_\_\_  
CLERK

CERTIFICATE OF THE CLERK

I, \_\_\_\_\_, Clerk of the City of Riverside, Ohio, do hereby certify that the foregoing Resolution is a true and correct copy of Resolution No. 22-R-2762 passed by the Riverside City Council on \_\_\_\_\_.

IN TESTIMONY WHEREOF, witness my hand and official seal this day  
\_\_\_\_\_.

\_\_\_\_\_  
CLERK



**MEETING DATE: April 21, 2022**

**AGENDA ITEM: New Business**

**TO:** Riverside City Council

**FROM:** Josh Rauch, City Manager

**SUBJECT:** Resolution No. 22-R-2763

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**EXPLANATION:** Goodrich Corporation/Collins Aerospace wishes to enter into a lease extension with the City for 868 square feet of space in the 5100 Wright Point Office building. There are no material changes to the terms of the lease and monthly rent will remain the same. The lease renewal will be in effect for 12-months, with the option for the Tenant to renew the lease for an additional 12-month period.

**RECOMMENDATION**

It is respectfully recommended that the Mayor and City Council approve the attached legislation.

**FISCAL IMPACT**

The lease will generate approximately \$12,000 per year in rent revenue for the City.

**SOURCE OF FUNDS**

N/A

**EXHIBITS**

Legislation

22-R-2763

**A RESOLUTION BY THE COUNCIL OF THE CITY OF RIVERSIDE, OHIO  
AUTHORIZING THE CITY MANAGER TO ENTER INTO A LEASE RENEWAL  
AGREEMENT WITH GOODRICH CORPORATION/COLLINS AEROSPACE.**

WHEREAS, the City of Riverside is owner of the office building addressed as 5100 Springfield Pike; and

WHEREAS, the City Manager reports that Goodrich Corporation/Collins Aerospace wishes to enter into a lease extension with the City for Suite 401 within the 5100 Wright Point Office Park and has signed a letter of intent to enter a lease extension with the City; and

WHEREAS, the City Manager further reports that a lease renewal agreement has been negotiated by both parties and signed by Goodrich Corporation/Collins Aerospace; and

WHEREAS, it is the recommendation of the City Manager that the City Council authorize the execution of the aforementioned lease renewal with Goodrich Corporation/Collins Aerospace;

NOW, THEREFORE, BE IT RESOLVED BY THE COUNCIL OF THE CITY OF RIVERSIDE, STATE OF OHIO:

- Section 1: In accordance with the recommendation of the City Manager, this Council does determine that it is in best interest of the City to enter into the aforementioned lease renewal with Goodrich Corporation/Collins Aerospace. Accordingly, the City Manager is hereby authorized to enter into said renewal to the lease agreement.
- Section 2: That the Clerk be and is hereby authorized and directed to forward a certified copy of this resolution to the City Manager and Director of Finance, who will submit a certified copy to the duly recognized agent of Goodrich Corporation/Collins Aerospace.
- Section 3: This Resolution shall take effect and be in force from and after the date of its passage.

PASSED THIS DAY OF \_\_\_\_\_.

APPROVED:

\_\_\_\_\_  
MAYOR

22-R-2763

ATTEST:

\_\_\_\_\_  
CLERK

CERTIFICATE OF THE CLERK

I, \_\_\_\_\_, Clerk of the City of Riverside, Ohio, do hereby certify that the foregoing Resolution is a true and correct copy of Resolution No. 22-R-2763 passed by the Riverside City Council on \_\_\_\_\_.

IN TESTIMONY WHEREOF, witness my hand and official seal this day  
\_\_\_\_\_.

\_\_\_\_\_  
CLERK

**MEETING DATE: April 21, 2022**

**AGENDA ITEM: New Business**

**TO:** Riverside City Council

**FROM:** Frank Robinson, Police Chief

**SUBJECT:** Resolution 22-R-2764 – A resolution authorizing the city manager to enter into a contract for the purchase of in-car and body worn cameras for use by the Police Department.

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**EXPLANATION:**

The City of Riverside Police Department use in car camera systems in all the marked units. The police officers also use body worn cameras every shift while on duty. The in-car camera system is more than 10 years old, is failing with outdated equipment that can no longer be repaired and has exhausted all warranty options. The body cameras currently being used have been in service approximately 3 to 8 years. We currently have two different systems in the police cruisers; in-car cameras are WatchGuard while the computers are GETAC. Having multiple systems is functional; however, one complete system will provide the continuity that would increase proficiency, cohesiveness, and user-friendly capabilities.

**RECOMMENDATION**

For the Riverside Police Department to remain on the cutting edge and maintain a superior transparency, it is respectfully requested that the Mayor and Council approve the attached resolution.

**FISCAL IMPACT**

Staff members applied for a body worn camera OCJS grant and was awarded a no match grant in the amount of \$33,614.24 to purchase body worn cameras.

In 2021, the Police Department requested funding regarding an outdated in-car and portable radio system. In the 2021 budget, a line item of \$111,000 was allocated to purchase new radios for the police cruisers as well as a portable radio for every police officer. The money for the radio purchase was taken out of the 2021 budget and the \$111,000 was put into the 2022 budget.

The \$111,000 that was put into the 2022 budget will be used to fund the first-year purchase of in-car cameras and the cost of cloud storage will be spread out over the next four years.

|               | FY2022              | FY2023          | FY2024          | FY2025          | FY2026          |
|---------------|---------------------|-----------------|-----------------|-----------------|-----------------|
| Body Cams     | \$33,613.24         |                 |                 |                 |                 |
| Car Cams      | \$42,757.52         |                 |                 |                 |                 |
| Cloud Storage | \$17,280            | 17,280          | \$17,280        | 17,280          | \$17,280        |
| Installation  | \$8,440             |                 |                 |                 |                 |
| Etc.          |                     |                 |                 |                 |                 |
| <b>Total</b>  | <b>\$102,090.76</b> | <b>\$17,280</b> | <b>\$17,280</b> | <b>\$17,280</b> | <b>\$17,280</b> |

Total expenses before grant = \$171,210.76

Total expenses after grant = \$137,597.52

**SOURCE OF FUNDS**

OCJS (Ohio Criminal Justice Services) = \$33,613.24

Fund 703.703.5531 = \$111,000

**EXHIBITS**

Resolution No. 22-R-2764

**A RESOLUTION AUTHORIZING THE CITY MANAGER TO ENTER INTO A CONTRACT FOR THE PURCHASE OF IN-CAR AND BODY WORN CAMERAS FOR USE BY THE POLICE DEPARTMENT.**

WHEREAS, the City Manager, and Police Chief do report that the Riverside Police Department must replace all in-car cameras, as well as body worn cameras to update the current outdated storage and equipment; and

WHEREAS, the use of in-car and body worn cameras help to capture evidence, facilitate the work of the police department, and create a transparent environment; and

WHEREAS, the City Manager and Police Chief recommend that the cameras and associated equipment be purchased by the City using police funds as well as Ohio Criminal Justice Services (OCJS) grant monies;

NOW, THEREFORE, BE IT RESOLVED BY THE COUNCIL OF THE CITY OF RIVERSIDE, STATE OF OHIO:

Section 1: That the City Manager is hereby authorized to enter into said contract with GETAC for the purchase of 13 GETAC in-car cameras, and 32 GETAC body worn cameras that also includes installation and first year cloud storage at a cost not-to-exceed \$102,090.76. Said amount shall be paid with OCJS grant monies of \$33,613.24 and the remaining amount of \$68,477.52 from Fund 703.703.5531.

Section 2: Accordingly, the City Manager is hereby authorized to enter into a 5-year cloud storage contract with GETAC with annual payments of \$17,280 starting in the second year thru year five of the contract.

Section 3: That the Clerk be and is hereby authorized and directed to forward a certified copy of the within resolution to the City Manager, and Police Chief and Finance Director.

Section 4: This Resolution shall take effect and be in force from and after the date of its passage.

PASSED THIS DAY OF \_\_\_\_\_.

APPROVED:

\_\_\_\_\_  
MAYOR

22-R-2764

ATTEST:

\_\_\_\_\_  
CLERK

CERTIFICATE OF THE CLERK

I, \_\_\_\_\_, Clerk of the City of Riverside, Ohio, do hereby certify that the foregoing Resolution is a true and correct copy of Resolution No. 22-R-2764 passed by the Riverside City Council on \_\_\_\_\_.

IN TESTIMONY WHEREOF, witness my hand and official seal this day  
\_\_\_\_\_.

\_\_\_\_\_  
CLERK

**MEETING DATE: April 21, 2022****AGENDA ITEM: New Business****TO:** Riverside City Council**FROM:** Josh Rauch, City Manager**SUBJECT:** Resolution No. 22-R-2765 .

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**EXPLANATION:**

The City currently uses CMI Client/Server for financial software. CMI will cease supporting the City's current software implementation in mid-2023. As a result, the City must upgrade its current financial software package. Earlier this year, the City issued an RFP for new financial software. After bids were received and scored, City staff requested demonstrations with three vendors: BS&A Software; Software Solutions, Inc. (SSI); and Tyler Technologies. The Year 1 (purchase and implementation) and annual costs of each vendor are illustrated below:

| <b>Vendor</b> | <b>Year 1 Cost</b> | <b>Annual Maintenance (Beginning Year 2)</b> |
|---------------|--------------------|--|
| BS&A          | \$157,570          | \$54,570                                     |
| SSI           | \$87,700           | \$18,370                                     |
| Tyler         | \$130,708          | \$33,840                                     |

Based on cost, vendor team experience and qualifications, demonstrated capabilities, and reference checks, staff recommend proceeding with SSI for financial software. SSI is located in Dayton, Ohio and has extensive experience with Ohio communities. The software is cloud-based, does not require a City server, and includes budget development and forecasting abilities.

As part of the upgrade process, staff will work closely with the selected vendor to maximize the software's capabilities, review financial processes, increase financial transparency, enhance efficiencies, and improve financial analysis. This upgrade is essential for the City to better understand its financial condition, manage resources, and communicate effectively with residents.

**RECOMMENDATION**

It is respectfully recommended that the Mayor and City Council **approve** the attached resolution.

**FISCAL IMPACT**

- **Does this item require a new appropriation?** No. \$150,400 was appropriated for this purposed in the FY2022 budget in line item 703.703.5501.
- **What is the total cost, if applicable?** \$87,700.00 in FY2022. Annual costs are ~\$19,000 per year beginning in FY2023.

**SOURCE OF FUNDS**

703.703.5501 – Capital Equipment for Administration Dept.

**EXHIBITS**

Resolution No. 22-R-2765

**A RESOLUTION AUTHORIZING THE CITY MANAGER TO ENTER INTO A CONTRACT FOR THE PURCHASE OF FINANCIAL SOFTWARE FROM SOFTWARE SOLUTIONS, INC. (SSI).**

WHEREAS, the city manager and finance director do report that the current software vendor CMI will cease supporting the City's current software implementation in mid-2023; and

WHEREAS, Riverside is in need of upgrading its current financial software; and

WHEREAS, the city manager received and scored bids, and requested demonstrations from three vendors; and

WHEREAS, based on cost, vendor team experience and qualifications, demonstrated capabilities, and reference checks, staff recommends proceeding with SSI for financial software.

NOW, THEREFORE, BE IT RESOLVED BY THE COUNCIL OF THE CITY OF RIVERSIDE, STATE OF OHIO:

Section 1: That the city manager is hereby authorized to enter into a contract for the purchase and implementation of new financial software and first year maintenance from Software Solutions, Inc., of Dayton, Ohio, at a cost not to exceed \$87,700.

Section 2: Accordingly, the city manager is hereby authorized to enter into said contract with Software Solutions, Inc., for annual maintenance beginning in year two at a cost of \$18,370.

Section 3: That said amount as detailed within this resolution shall be paid from the Capital Equipment for Administration Department Fund 703.703.5501.

Section 4: That the Clerk be and is hereby authorized and directed to forward a certified copy of this Resolution to the City Manager and the Finance Director.

Section 5: This Resolution shall take effect and be in force from and after the date of its passage.

PASSED THIS DAY OF \_\_\_\_\_.

22-R-2765

APPROVED:

\_\_\_\_\_  
MAYOR

ATTEST:

\_\_\_\_\_  
CLERK

CERTIFICATE OF THE CLERK

I, \_\_\_\_\_, Clerk of the City of Riverside, Ohio, do hereby certify that the foregoing Resolution is a true and correct copy of Resolution No. 22-R-2765 passed by the Riverside City Council on \_\_\_\_\_.

IN TESTIMONY WHEREOF, witness my hand and official seal this day \_\_\_\_\_.

\_\_\_\_\_  
CLERK

CITY MANAGER

PREVIOUS

UPDATES

# MEMORANDUM

**TO:** Honorable Mayor and Councilmembers

**FROM:** Josh Rauch, City Manager

**DATE:** April 8, 2022

**SUBJECT:** Weekend Update

**CC:** Department Directors, City Clerk, Law Director

## City Manager's Office

- As a reminder, there will be no work session next week. I'll continue to follow up on my data request with Huber Dispatch but am not optimistic they can provide the granularity of information needed for analysis.
- Most of my meeting time this week was spent reviewing Finance Software demonstrations and interviewing applicants for the Finance Administrator position. I'm optimistic we'll be able to make decisions next week.
- I'll be working with Colliers to move
- I attended the Forest Ridge Homeowner's Association meeting early this week to introduce myself.

## Administration

- **Back Up Devices** – Two network attached storage devices and associated software have been ordered to replace our existing Unitrends devices. This will both replace our aged equipment and expand our storage capacity. Installation will be scheduled after delivery of the hardware.

## Community Development Department

- **Planning and Zoning** – The April Planning Commission packet has been sent out.
  - Online Permit Portal testing starting next with administrative staff (Becky & Kelsey).
  - 36 permits and 7 development applications were submitted and reviewed in March 2022.
- **Economic Development** – We've received a number of calls this week from developers interested in various parcels throughout the City. Lori continues to build relationships and direct interested parties to parcels that may best suit them. We are communicating City progress on the land use plan and the PUD in these conversations as well.
- **Code Enforcement** – We are escalating a particularly severe nuisance case to our attorney for review and next steps.

## Finance Department

- Interviews with both Finance Administrator applicants were held Friday. Josh and Tom will meet early next week to discuss next steps. The labor market is hot; of the 5 qualified applicants we had, 3 dropped before interviews to landing other jobs. One of the candidates we interviewed already has another offer, but is waiting for us to respond to their interview.

## Fire Department

- Much of this week was devoted to recruitment processes, particularly regarding Battalion Chief. Multiple staff were involved in an all-day process Friday interviewing candidates.

## Police Department

- We have an intern from Sinclair Courtney Mackie. She has completed her first phase of the internship. She is a resident of Riverside and a graduate of Stebbins's High School. She has done multiple hours at the Police Department in which she worked in the property room, a 3 panel at the Prosecutors office, etc. She will graduate soon with an Associates Degree in Criminal Justice.
- The 2 mobile radio units are now programmed and will be delivered to the Police Department on 4/8/2022.
- The body camera grant has been approved and ready for the next step of purchasing the body cameras.
- We posted to a Hostage Negotiator position with the County SWAT. We have 2 o officers interested and the County will start the process for the selection next week.
- We currently have two Patrol driven grants. IDEP/ STEP, and we are also part of the DUI Task Force in Montgomery County. Both grants are aimed at traffic enforcement and roadway safety initiatives.
- Daily Training Bulletins (DTB's) have been released. This is a function with Lexipol
- We have begun the 5-year re-certification process through Tier 4 with the Ohio Collaborative
- Also started the Tier Group 5 certification of the Ohio Collaborative.

## Public Service Department

- Submitted EPA Annual MS4 Report
- Hosted Spinning Road Phase 2 (Eastman to Burkhardt) Scoping Meeting
- Posted Rodway Projects link to FB
- Searching for watershed for Lilly Creek. Outside of the Little Beavercreek watershed.
- Attended two Financial software demonstrations and Q & A meetings
- Looked at existing signing on Eastman with CM; Changes to come after paving project
- Looked into placing Do Not Drive on Shoulder signs on Planters
- Needmore Road catch basin replacement nearly complete
- Started OPWC applications for: Spinning Road Phase 1, Phase 2 and Urban Paving Program
- Crews continue patching potholes and constructing fence at sign shop.

# MEMORANDUM

**TO:** Honorable Mayor and Councilmembers

**FROM:** Josh Rauch, City Manager

**DATE:** April 15, 2022

**SUBJECT:** Weekend Update

**CC:** Department Directors, City Clerk, Law Director

## City Manager's Office

- I plan to return from quarantine on Wednesday next week (April 20<sup>th</sup>) since that will have been five full days from my positive test. Per [CDC guidelines](#), I'll continue to wear a mask until 10 days after my positive test. If symptoms worsen over the weekend, I'll be in touch.
- Earlier this week (before testing positive) I met with representatives from the Wright Brothers Institute (WBI) which is located at 5000 Springfield St. WBI has extensive experience in strategic planning and I'm exploring opportunities for us to partner with them on a strategic plan for the City organization.
- I had a productive call with representatives from the EPA regarding the Valley Pike Superfund site. Unfortunately I was not able to attend a community meeting this week due to COVID, but am looking forward to connecting with folks in the area.

## Administration

- **Finance Administrator** – We have extended an employment offer to a candidate for the Finance Administrator position. Tentative start date is May 9 pending reference and background check completion. More details about the candidate will follow in the coming weeks.

## Community Development Department

- **Planning and Zoning** – Next week the Planning Commission will consider recommending PUD language to the City Council for consideration. Assuming the PC approves this recommendation, the PUD will come before Council in May for adoption.
- **Economic Development** – The Land Use Plan Steering Committee is scheduled to meet next week on Wednesday, April 20<sup>th</sup>. "Meeting in a Box" envelopes will also be distributed to community stakeholders next week to gather extra feedback.
- **Code Enforcement** – We are over 300 active cases so far for the year. We were contacted by a resident on Kitridge Rd. regarding flooding in a basement which was contaminating nearby wells, and have involved the Montgomery County Public Health Department in addressing the situation.

## Finance Department

- A recommendation for financial software will appear on next week's agenda. This is a critical step towards improving the City's overall financial environment and ability to plan for the future.

## Fire Department

- Harshman Rd. was closed in both directions today due to a power line failure. AES responded to the incident.
- We conducted a Lieutenant promotional process on Tuesday and hope to announce the new lieutenant early next week.
- Worked on putting the legislation and proposal together for Code Red service.
- Crews are flushing and inspecting fire hydrants.
- Crews are working on annual EMS protocol renewals.

## Police Department

- We currently have 1 officer in Field Training. Officer Witt is scheduled to be released to full duty on April 15th, 2022.
- Officers are starting Phase 2 training with the County. Taser, Handgun, Rifle and CPR.
- Staff members are working on 2 grants, SRO, and Decrease violent crime grant.
- Continued Daily Training Bulletins with Lexipol.
- Major Sturgeon and I assisted the Fire Department with their Fire Lieutenant promotion.
- Stebbins High School Law Enforcement kids will be doing ride along program.
- Reimbursement of just under 5 thousand dollars on the Bullet Proof Vest Grant.

## Public Service Department

- Crews completed catch basin replacement on Needmore and moved to Honeyleaf. Concrete crews will start curb on both streets April 21.
- We received Paint Striping bids from MVCC. A&A Safety was the low bid.
- Met with developers regarding access to a site on Linden Ave.